

NATIONAL COMMUNITY FOOTBALL POLICY HANDBOOK

NOVEMBER 2025



PURPOSE

The National Community Football Policy Handbook aims to:

- A. make it easier for leagues, clubs, volunteers and other stakeholders to administer Australian Football at the State and community level;
- B. provide a framework for key organisational requirements in relation to Australian Football at the State and community level;
- C. address appropriate standards of behaviour and the prevention of discrimination and harassment in Australian Football at the State and community level; and
- D. encourage that the game of Australian Football is played in a fair and good-spirited manner.

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PART A - GENERAL

1. Definitions and Interpretation

1.1 Definitions

In this Policy Handbook:

Abuse means any type of behaviour by a Person, whether in-person or online, (including verbal, physical, emotional, psychological, sexual, and inappropriate use of power and/or process) that has caused, is causing or is likely to cause harm to another person's wellbeing.

Accreditation (or Accredited) means:

- (a) in respect of a Coach, registration and accreditation (including re-accreditation) of a Person via the Coach Learning Platform and otherwise in accordance with Section 6; and
- (b) in respect of an Umpire, registration and/or accreditation (including reaccreditation) of a Person via the Umpire Learning Platform and otherwise in accordance with Section 6.

Activity means a Match, program, event or activity (including training) which is reasonably connected to Australian Football and within the purview of this Policy Handbook.

Adult means a person aged 18 years or over.

AFL means Australian Football League (ACN 004 155 211).

AFL Affiliate means any league, association or body responsible for the organisation and conduct of Matches which is affiliated to the AFL, including a State Football Body.

AFL Club means a Club competing in an AFL/AFLW Competition.

AFL National Dispensation Application Form means the Age Dispensation Application to Play Down in the form set out in <u>Appendix 7</u> or such other form prescribed by the Controlling Body from time to time.

AFL NSW/ACT means AFL (NSW/ACT) Commission Ltd (ACN 086 839 385).

AFL NT means AFL Northern Territory Limited (ACN 097 620 525).

AFL Queensland means AFL Queensland Limited (ACN 090 629 342).

AFL Tasmania means Football Tasmania Limited (ACN 085 213 350).

AFL Victoria means Australian Football League (Victoria) Limited (ACN 147 664 579).

AFL Vilification and Discrimination Panel means the panel established by the AFL in accordance with Section <u>10.2</u> from time to time.

AFL/AFLW Competition means the AFL's elite level national men's and women's Competitions (as the context dictates).

AFL/AFLW Listed Player means a Player listed with an AFL Club on their primary or rookie list.

Anti-Doping Code means the World Anti Doping Agency compliant Australian Football Anti-Doping Code regulating the administration and use of substances by Players in Australian Football, as amended from time to time by the AFL Commission.

Appeal Board means an appeal board established by a Controlling Body in accordance with Section 26.2.

Appellant means an appellant under Section <u>26.1(b)</u> and, where applicable, includes an Appellant's representative.

Appendix means an appendix to this Policy Handbook.

Approved Person means a family member such as mother, father, sister, brother, grandparent, aunt, uncle or cousin, a guardian, carer, or a person who has been approved by the parent/carer and has an established relationship with the Child and Young Person and/or their family.

Auditory Offence means a Reportable Offence specified in <u>Table 7</u> of <u>Appendix 1</u> which may be graded by a Controlling Body to determine an appropriate base sanction for that Reportable Offence.

Australian Football has the meaning given to that term in the Laws of the Game.

Batch Testing means the process (to reduce the risk of contamination of a supplement with a World Anti Doping Agency prohibited substance) whereby an accredited, independent, laboratory analyses a batch of Supplements for World Anti Doping Agency prohibited substances.

Bullying means a Person repeatedly and intentionally using words or actions, or the inappropriate use of power, against another Person to cause distress and risk to their wellbeing, whether in-person or online.

Certificate means a written statement from a physician or other medically qualified health care provider which attests to the result of a medical examination of a patient and can serve as evidence of a health condition including a Disability.

Child Abuse includes all forms of physical abuse, emotional or psychological abuse, sexual abuse, sexual exploitation, neglect or negligent treatment, grooming, commercial (e.g. for financial gain) exploitation, exposure to family violence, harassing behaviour, such as bullying or other exploitation of a Child or Young Person and includes any actions that results in actual or potential Harm to a Child or Young Person. Child abuse can be a single incident, but usually takes place over time.

Child Protection Legislation means all State or Territory Child and Young Person protection legislation as amended from time to time.

Children and Young People means a person under the age of eighteen years. Child or Young Person shall mean a single person falling within the definition of Children and Young People.

Classifiable Offence means a Reportable Offence specified in <u>Table 1</u> of <u>Appendix 1</u>, which may be graded by a Controlling Body to determine an appropriate base sanction for that Reportable Offence.

Club means a club fielding a team in a Competition, including a Destination Club or Source Club.

Club Officer means a president, chairperson, vice president, vice chairperson, general manager, chief executive officer, football manager, Coach, board or committee member of a Club or any other servant or agent, whether formally appointed or not, who makes or participates in the decision making that affects the whole, or a substantial part, of the business or operations of a Club.

Coach means a senior coach, reserves coach, assistant coach, volunteer coach or any other Person holding, or seeking to hold, coaching responsibilities at a Club or Controlling Body who is Accredited (or required to be Accredited) in accordance with Section 4.

Coach Learning Platform means the AFL's online coaching platform which incorporates the Accreditation portal for Coaches and a library of online training and other resources available to all Coaches.

Competition means any Australian Football competition conducted by a Controlling Body, including AFL/AFLW Competitions and any Tier 1 Competition or Tier 2 Competition.

Competition Management Platform means PlayHQ.

Conduct means a Person's conduct in relation to a Reportable Offence, which will be assessed in accordance with <u>Appendix 1</u> and may be graded as "Intentional" or "Careless" for a Classifiable Offence or "Threatening", "High-level Abusive, Obscene or Insulting" or "Low-level Abusive, Obscene or Insulting" for an Auditory Offence.

Contact means the level of contact in relation to a Reportable Offence, which will be assessed in accordance with <u>Appendix 1</u> and may be graded as "High/Groin/Chest" or "Body".

Contingency means any contingency related to or in any way connected with a Match.

Contravening Person has the meaning given to that term in Section <u>10.3(a)(i)</u>.

Controlling Body means:

- (a) the AFL;
- (b) an AFL Affiliate;
- (c) any league, association or body responsible for the organisation and conduct of Matches of Australian Football which is affiliated to an AFL Affiliate:
- (d) any league, association or body responsible for the organisation and conduct of Matches of Australian Football, which has determined to adopt and enforce this Policy Handbook or any part of this Policy Handbook.

Controlling Body Officer means a person employed or engaged by the Controlling Body to perform the functions required of a Controlling Body.

Declared Player means a Player who has entered into a current, valid Standard Player Declaration with a Club.

Deregistration means removal of the registration or Accreditation of a Player or Football Official and/or prohibition from a person registering or Accrediting as a Player or Football Official or otherwise acting as a Football Official (**Deregistered** has the same meaning).

Deregistration Warning means the deregistration warning template set out in <u>Appendix 7</u>.

Destination Club means the Club to which a Player is Transferring.

Destination Controlling Body means the Controlling Body to which a Player is Transferring (or Football Official is transferring).

Direct Tribunal Offence means a Reportable Offence specified in Clause <u>4</u> of <u>Appendix</u> <u>1</u>, which is referred directly to the Tribunal for determination without grading.

Disability means a disability as defined in the *Disability Discrimination Act (1992)* (Cth) or in any similar state-based legislation applicable to a particular Controlling Body including those disabilities listed in Appendix 4.

Disciplinary Officer means a person appointed by a Controlling Body in accordance with Section <u>24</u>.

Disciplinary History means the recorded history of any charges laid and sanctions imposed for Reportable Offence and Policy Breaches under Part E (Disciplinary) of this Policy Handbook in respect of a Person.

Early Guilty Plea means an early guilty plea submitted by a Person in relation to:

- (a) a Reportable Offence in accordance with Section 22.4; or
- (b) a Policy Breach in accordance with Section <u>23.5</u>.

Executive Officer means a chief executive officer or head of a Controlling Body.

First Aid Provider means an AFL First Aider, Level 1 Sports Trainer, Level 2 Sports Trainer or a Qualified Medical Professional as outlined in 15.3(b).

Football Official means a Club Officer, Disciplinary Officer, Umpire, Umpire escort or coach, Coach, trainer, team manager, interchange steward, water carrier, timekeeper, scoreboard attendant, runner, employee of a Club or any other Match official.

Game Permit means a permit as defined under Section 3.7(b)(i) (otherwise known as a 'Type 1 permit' and previously known as a 'Match-Day Permit').

Grooming means behaviours that manipulate or control a Child/Young Person, their family, guardian and carers or other support networks, or organisations, with the intention to gain access to the Child/Young Person, obtain the Child/Young Person's compliance, maintain the Child/Young Person's silence, and avoid discovery of sexual abuse.

Harassment means behaviour by a Person towards another Person that they do not want and that is offensive, abusive, belittling or threatening and is reasonably likely to cause harm to the Person who is the subject of the harassment, whether in-person or online.

Harm means any detrimental effect of a significant nature on a Child's or Young Person's physical, psychological or emotional wellbeing and can be caused by:

- (a) emotional or psychological abuse, physical abuse or neglect;
- (b) sexual abuse;
- (c) a single act, omission or circumstance; and
- (d) a series or combination of acts, omissions or circumstances.

Impact means the impact of Conduct in relation to a Reportable Offence, which will be assessed in accordance with <u>Appendix 1</u> and may be graded as "Low", "Medium", "High" or "Severe".

Incident Referral Form means an incident referral form in relation to an alleged Reportable Offence in the form set out in <u>Appendix 7</u> or such other form prescribed by the relevant Controlling Body from time to time.

Interchange Agreement means an agreement entered into between two or more Controlling Bodies allowing Players to participate in Matches between those Controlling Bodies under a Permit.

Junior Football means any Match for an under 18 age group or below.

Laws of the Game means the Laws of Australian Football as administered and controlled by the AFL and set out at https://play.afl/learning-resource/laws-game.

Low-level Offence means a low-level Reportable Offence specified in Clause <u>5</u> of <u>Appendix 1</u>, which does not require grading.

Match means any Australian Football match played in a Competition.

Match Review Officer means a Match Review Panel comprising one Person.

Match Review Panel means a match review panel appointed pursuant to Section 22.2(b)(i).

Medical Specialist means a doctor who has completed advanced education and clinical training in a specified area of medicine and includes a Paediatrician, Sports Physician or Controlling Body approved general medical practitioner.

Notice of Appeal means a notice of appeal in relation to an appeal under Section <u>26.1</u> in the form set out in <u>Appendix 7</u> or such other form prescribed by the relevant Controlling Body from time to time.

Notice of Breach means a notice of breach in relation to an alleged Policy Breach in the form set out in <u>Appendix 7</u> or such other form prescribed by the relevant Controlling Body from time to time.

Notice of Charge means a notice of charge in relation to an alleged Reportable Offence in the form set out in <u>Appendix 7</u> or such other form prescribed by the relevant Controlling Body from time to time.

Notice of Complaint means a notice of complaint in the form set out in <u>Appendix 7</u> or such other form prescribed by the Controlling Body from time to time.

Paediatrician means a medical practitioner who specialises in medical care and treatment for children and babies.

Permit means a Season Permit or Game Permit.

Permit Committee means a committee appointed under Section 3.9.

Person means a Club (where applicable), Football Official, Player, Club member, parent or guardian of a Player, spectator of a Match or any other person reasonably connected to Australian Football and within the purview of this Policy Handbook.

Physical Size Considerations means, in respect of a Player:

- (a) a body mass index (i.e. weight (in kilograms) divided by height (in metres squared)) below the 5th percentile for that Player's age; or
- (b) a height below the 5th percentile for that Player's age,

as measured by an appropriately qualified General Practitioner or Medical Specialist.

Player includes a player registered to play Australian Football for a Club and/or participate in a Competition, including a Declared Player or Underage Player.

PlayHQ means the AFL's online competition management system currently operated by PlayHQ designed to assist Controlling Bodies with the management of their competitions and membership data.

Policy Breach means a breach of any Section of this Policy Handbook (other than a breach constituting a Reportable Offence).

Position of Authority means a position of employment or engagement, including a volunteer position, with a Controlling Body or Club in which a Person can exercise power, control, or influence over a Child or Young Person.

Protective Equipment has the meaning described in Law 9.1 in the Laws of the Game and will be categorised as Class 1 Protective Equipment, Class 2 Protective Equipment or Class 3 Protective Equipment as specified in <u>Appendix 5</u>.

Receiver means the person to whom an Auditory Offence was directed which will be assessed in accordance with Appendix 1 and may be graded as "Umpire" or "Another Person".

Relevant Body means the relevant Controlling Body or Club to whom a Person is connected for the purpose of a role that involves engaging or working with Children and Young People.

Reportable Offence means any reportable offence identified in the Laws of Australian Football, including the reportable offences specified in Appendix 1.

SANFL means South Australian National Football League Inc (ABN 59 518 757 737).

Season Permit means a permit as defined under Section 3.7(b)(ii) (otherwise known as a 'Type 2 permit' and previously known as a 'Local Interchange Permit').

Serious Criminal Offence means criminal offence falling within the scope of the list of offences set out in <u>Appendix 3</u>.

Sexual Misconduct means:

- (a) a Person engaging in a sexually inappropriate relationship with a person that the Person coaches, supervises, or has influence, authority or power over;
- (b) sexual harassment by a Person, which is any unwanted or unwelcome sexual behaviour where a reasonable person would anticipate the possibility that the person being harassed would feel offended, humiliated, or intimidated; or
- (c) behaviour by a Person that may constitute a sexual offence that is unlawful.

Social Media means digital technology that allows interactions among people in which they create, share, and/or exchange information and ideas in virtual communities and networks including, but not limited to, Facebook, Instagram, instant messaging (e.g. SMS), LinkedIn, Snapchat, TikTok, WhatsApp, X (formerly known as Twitter) and YouTube.

Source Club means the Club from which a Player is Transferring, being the Club with which the Player is registered.

Source Controlling Body means the Controlling Body from which a Player is Transferring (or Football Official is transferring).

Sports Physician means a medical practitioner who specialises in the treatment of injuries resulting from athletic activities.

Standard Player Declaration means a standard player declaration as set out in Section 5 and:

- (a) in the form attached at Appendix 7; or
- (b) in such other form as approved for use by a State Football Body or the AFL,

which sets out the details of a Player's arrangement to play for a Club.

State Football Body means each of the following AFL Affiliates:

- (a) AFL NSW/ACT;
- (b) AFL NT;
- (c) AFL Queensland;
- (d) AFL Tasmania;
- (e) AFL Victoria;
- (f) SANFL; and
- (g) WAFC.

Supplement means one or a combination of the following: vitamins and minerals, proteins or amino acids, herbs or botanicals, fatty acids, enzymes, probiotics and other bioactive substances, when not consumed in food form, which are commonly used or maintain, enhance and improve the function, performance and/or recovery.

Tier 1 Club means a Club competing in a Tier 1 Competition.

Tier 1 Competition means each of the following Competitions (male and female, as applicable):

- (a) Victorian Football League senior Competitions conducted by AFL Victoria;
- (b) Coates League under 18s Competitions conducted by AFL;
- (c) SANFL senior, reserves and under 18s Competitions conducted by SANFL; and
- (d) West Australian Football League senior, reserves and under 18s Competitions conducted by WAFC.

Tier 2 Club means a Club competing in a Tier 2 Competition.

Tier 2 Competition means any Competition other than a Tier 1 Competition or an AFL/AFLW Competition.

Transfer means the movement of a Player from one Club to another Club in accordance with Section 3 (**Transferring** and **Transferred** have the same meaning).

Transfer Request means a request to Transfer a Player which has been initiated in accordance with Section 3.3(a)(i).

Tribunal means a tribunal established by a Controlling Body in accordance with Section 25.2.

Umpire includes a registered field, boundary, goal or emergency umpire.

Umpire Learning Platform means the AFL's online umpire management platform which incorporates the Accreditation portal for Umpires and a library of online training and other resources available to Umpires.

Underage Player means a Player under eighteen (18) years of age as of 31 December in the year prior to that Player's applicable playing year.

Victimisation means conduct by a Person, either in-person or online, that subjects, or threatens to subject, another person, to any unfair treatment because that other person has made, or intends to pursue their right to make, a complaint, report or lawful disclosure, including under applicable legislation or this Policy, or for supporting another person to take such action.

Victim Player means a person against whom a Reportable Offence is alleged to have occurred.

Volume means the loudness and exposure of Conduct in relation to an Auditory Offence, which will be assessed in accordance with <u>Appendix 1</u> and may be graded as "Low", "Medium" or "Loud".

WAFC means West Australian Football Commission Inc (ABN 51 167 923 136).

1.2 Interpretation

In the interpretation of this Policy Handbook, unless there is something in the subject or context inconsistent therewith:

(a) words importing the singular will be deemed to include the plural and vice versa;

- (b) words importing persons will be deemed to include all bodies and associations, incorporated or unincorporated and vice versa;
- (c) headings are included for convenience only and will not affect the interpretation of this Policy Handbook;
- (d) "including" and similar words are not words of limitation;
- (e) a reference to a business day means any day, other than a Saturday or Sunday, that is not a designated public holiday in the relevant location, and if not otherwise specified in the Policy Handbook, a business day ends at 5.00pm in the relevant location;
- (f) words, terms and phrases not otherwise defined in this Policy Handbook will be given their ordinary meaning;
- (g) [Guidance notes] throughout the Policy Handbook are for guidance only and not operative terms of the Policy Handbook; and
- (h) this Policy Handbook includes this Policy Handbook as amended, varied, supplemented or replaced from time to time and any Appendix, schedule, attachment, annexure or exhibit to this Policy Handbook or that document.

1.3 Amendment

The AFL may from time to time amend this Policy Handbook as it, in its absolute discretion, deems fit.

1.4 General

Any matter of any kind whatsoever not dealt with or provided for in this Handbook may be dealt in such a manner as the AFL determines.

2. Application

2.1 Laws of the Game

The Laws of the Game are adopted as part of this Policy Handbook and its terms are deemed terms of this Policy Handbook.

2.2 Governing body

The AFL is recognised by the Australian Sports Commission as the governing body responsible for the management and development of Australian Football in Australia. The AFL recognises the legislative and regulatory mandate of the Australian Sports Commission to develop sport and sporting excellence in Australia and to increase participation, including through the provision of funding, in accordance with the *Australian Sports Commission Act 1989* (Cth). Wherever reasonably practicable, the AFL adopts the guidelines, governance, accountability and transparency mechanisms promulgated by the Australian Sports Commission.

As part of the AFL's recognition as the governing body responsible for the management and development of Australian Football, the AFL is committed to being accountable at the national level for providing all Persons with technically and ethically sound sport programs,

policies and services. This Policy Handbook has been prepared having regard to that commitment and is formally endorsed by the AFL.

2.3 Responsibility of Controlling Body

A Controlling Body must:

- (a) adopt, implement and comply with this Policy Handbook;
- (b) make such amendments to its constitution, rules, regulations and by-laws as may be necessary to ensure the enforceability of this Policy Handbook;
- (c) use best endeavours to make this Policy Handbook available to each Person involved with or related to the Controlling Body and educate such Persons about this Policy Handbook and the consequences for breaches of this Policy Handbook;
- (d) promote and model appropriate standards of conduct at all times and in accordance with this Policy Handbook;
- (e) if required under this Policy Handbook, promptly deal with any breaches of this Policy Handbook or complaints made under this Policy Handbook;
- (f) monitor and adopt updates to this Policy Handbook as notified by the AFL from time to time.

2.4 AFL national policies, regulations and guidelines superseded

- (a) This Policy Handbook supersedes the following AFL national policies, regulations and guidelines:
 - (i) Complaint and Investigation Guidelines dated June 2013;
 - (ii) Member Protection Policy dated June 2013;
 - (iii) National Age Dispensation Policy dated October 2018;
 - (iv) National Child Protection Policy dated March 2015;
 - (v) National Extreme Weather Policy dated June 2013;
 - (vi) National Gambling Policy dated June 2013;
 - (vii) National Coaching Accreditation Policy dated November 2018;
 - (viii) National Player and Official Deregistration Policy dated July 2021;
 - (ix) National Player Registration and Transfer Regulations dated March 2022;
 - (x) National Vilification & Discrimination Policy dated February 2013;
 - (xi) National Sexuality & Pregnancy Guidelines dated February 2013;
 - (xii) National Social Media Engagement Policy dated June 2013;
 - (xiii) National Injury Management Policy dated April 2019;

- (xiv) State and Territory Disciplinary Committee Guidelines dated 2021; and
- (xv) State and Territory Tribunal Guidelines dated 2021,

(Superseded Policies, Regulations and Guidelines).

(b) The Superseded Policies, Regulations and Guidelines have no further force or effect.All AFL issued policies, regulations and guidelines other than the Superseded Policies, Regulations and Guidelines in effect at the date of this Policy Handbook, some of which are incorporated by reference in this Policy Handbook, remain in full force and effect unless determined otherwise by the AFL.

PART B - ELIGIBILITY & REGISTRATION

What is the purpose of Part B?

- This Part sets out how someone can get involved in Australian Football as a Player, Coach or Umpire.
- Section <u>3</u> governs the registration and transfer of Players within Australian Football and aims to ensure the movement of Players is fair, transparent and consistent.
- Section 4 provides for the dispensation of a Player to participate in a Competition below their applicable age group where a disability and/or physical size considerations exist. A Player who wishes to apply for dispensation may make an application in accordance with Section 4.
- The national framework for the accreditation of Coaches and Umpires of Australian Football is set out in Section 6. The framework is focused on improving Coach and Umpire education and Player safety at all levels of Australian Football and forms part of the AFL's commitment to creating a fun and safe environment for every Person to participate in Australian Football.
- The AFL is committed to providing a physiologically and psychologically safe environment for all participants and Section 7, which deals with the deregistration of Players and Football Officials, provides a risk management framework and policy basis for the AFL and community football stakeholders to support that objective.

The points above are for background only and are not operative terms of this Policy Handbook.

3. Player registration, transfers and permits

3.1 Player eligibility

(a) **Ineligibility**

A Player who is:

- (i) unregistered; or
- (ii) registered based on false or misleading information,

will be ineligible to play for a Club and/or participate in a Competition.

(b) Age group eligibility

- (i) To participate in a Competition, a Player must be seven years of age by the following dates in the applicable playing year in each respective State and Territory:
 - (A) 1 January Tasmania;
 - (B) 30 April South Australia, Victoria;
 - (C) 30 June Northern Territory, Queensland, Western Australia; and
 - (D) 31 July Australian Capital Territory, New South Wales.

(ii) Subject to Section 3.1(b)(iii), a Player's age eligibility to participate in a Competition age group is as per the following table:

Age Group	Minimum age to participate in age group (age as at 31 December in the year prior to the applicable playing year)	Age turning in the applicable playing year
Under 8	7 - subject to Section 3.1(b)(i)	7 or 8 - subject to Section 3.1(b)(i)
Under 9	7	7, 8 or 9 - subject to Section 3.1(b)(i)
Under 10	7	8, 9 or 10
Under 11	8	9, 10 or 11
Under 12	9	10, 11 or 12
Under 13	10	11, 12 or 13
Under 14	11	12, 13 or 14
Under 15	12	13, 14 or 15
Under 16	13	14, 15 or 16
Under 17	14	15, 16 or 17
Under 18	15	16, 17 or 18
Under 19	16	17, 18 or 19
Seniors	16	17 or older

[Guidance note: For example, if a Player is 11 years of age as at 31 December 2025 then that Player's Competition age group for the 2026 calendar year will be Under 12s (assuming single age groups).]

- (iii) A Controlling Body may permit a Player to participate in a higher age group than that specified in the table above where it determines that there are exceptional and compelling circumstances that warrant such permission being granted. [Guidance note: In assessing whether there are exceptional and compelling circumstances, a Controlling Body must have regard to the Player's football development interests including their physical capacity, experience, skill level and social maturity.]
- (iv) A Player who is 14 years of age or older as at 31 December in the year prior to their applicable playing year must play in a Competition age group that accords to their gender except where:
 - (A) approved under the AFL Gender Diversity Policy Community Football (refer to Section 8 (Gender Diversity)); or
 - (B) a State Football Body determines, in its absolute discretion, that there are exceptional and compelling circumstances that warrant otherwise, provided that the AFL Gender Diversity Policy Community Football does not apply.
- (v) For the avoidance of doubt, mixed Competition age groups are only permitted up to and including Under 14.
- (vi) Upon request by a Controlling Body from time to time, a Player must provide evidence or confirmation of a Player's age.

(c) Playing in multiple Competitions

Unless otherwise specified in this Policy Handbook, a Player who is 14 years of age or older as at 31 December in the year prior to their applicable playing year must not participate in both a boys Competition and a girls Competition at the same time or interchangeably.

[Guidance note: This Section prevents a Player who has reached 14 years of age from participating in a boys Competition and a girls Competition at the same time or switching back and forth.]

3.2 Player Registration

- (a) A Player must be registered via the Competition Management Platform to play Australian Football for a Club and/or participate in a Competition.
- (b) A Player may only register under one name and must not submit multiple concurrent registrations. Clubs must monitor compliance with this Section 3.2(b) and where a Club becomes aware of an issue under this Section 3.2(b) they must immediately notify the relevant Controlling Body.
- (c) Each Player registration must be submitted electronically via the Competition Management Platform by the Player or, where the Player is under the age of 18, by that Player's parent or legal guardian.
- (d) For a Player registration to be active, the Club must accept the Player registration via the Competition Management Platform.
- (e) Unless otherwise specified in this Policy Handbook, the Player registration period in respect of each season commences on 1 October.

3.3 Transfer Request

(a) Initiating a Transfer Request

- (i) Subject to Section 3.3(a)(ii), a Transfer may be initiated by:
 - (A) a Player; or
 - (B) where a Player is under the age of 18, that Player's parent or legal guardian; or
 - (C) a Destination Club with the Player's consent,

submitting a Transfer Request electronically via the Competition Management Platform.

- (ii) Any active Permit applying to the Player will be voided by a Transfer Request.
- (iii) Once a Transfer Request is submitted under Section 3.3(a)(i), notification of the Transfer Request will be automatically sent via the Competition Management Platform to the Source Club.

(b) Transfer Request Window

- (i) Subject to Sections 3.3(b)(ii) and 3.3(b)(iii), a Transfer Request must be submitted between:
 - (A) 12:01am (AEDT) on 1 November and 11:59pm (AEDT) on 30 November during the calendar year preceding the relevant season; or
 - (B) 12:01am (AEDT) on 1 February and 11:59pm (AEST) on 30 June during the calendar year of the relevant season,

(the Transfer Periods).

- (ii) A State Football Body may permit an intrastate Transfer Request, or the relevant State Football Bodies may jointly permit an interstate Transfer Request, outside of the Transfer Periods where:
 - (A) exceptional and compelling circumstances apply to the Transfer Request; or
 - (B) the '24-month rule' set out in Section 3.5(c) applies to the Transfer Request.
- (iii) The Transfer Periods are not applicable to the following Competitions conducted by AFL NT (and any other Competitions notified by AFLNT from time to time):
 - (A) Northern Territory Football League;
 - (B) Tiwi Islands Football League;
 - (C) Lajamanu Football League;
 - (D) Maningrida Football League.

(c) Source Club to approve or refuse Transfer Request

A Source Club may, within six (6) calendar days from notification of a Transfer Request under Section 3.3(a)(iii), either:

- (i) approve the Transfer Request; or
- (ii) refuse the Transfer Request under one or more of the grounds specified in Section 3.5(b).

(d) Transfer Request withdrawal

- (i) A Player wishing to withdraw their Transfer Request must do so in writing to the Source Controlling Body within six (6) calendar days from notification of the Transfer Request under Section 3.3(a)(iii).
- (ii) For the avoidance of doubt, if a Transfer Request is approved by the Source Club before the Player lodges a transfer withdrawal under Section 3.3(d)(i), the Player is not eligible for a transfer withdrawal.

3.4 Transfer approval

(a) How Transfer is approved

- (i) If a Source Club fails to either approve or refuse a Transfer Request in accordance with Section 3.3(c), the Transfer will be approved automatically in the Competition Management Platform following the expiry of the prescribed period of six (6) calendar days.
- (ii) Subject to Section 3.3(d), if a Transfer Request is approved under Section 3.3(c) or 3.4(a)(i):
 - (A) notification of such approval will be automatically sent via the Competition Management Platform to the Source Controlling Body; and

- (B) if applicable, notification of such approval together with the playing history of the Player (including their Disciplinary History) will be automatically sent via the Competition Management Platform to the Destination Controlling Body; and
- (C) notification of such approval will be automatically sent via the Competition Management Platform to the Destination Club and the Destination Club must either approve or refuse the Transfer Request.
- (iii) If a Transfer Request is approved under Section 3.4(a)(ii)(C), the Player will be "pending" in the Competition Management Platform and ineligible to be entered on a team sheet and play for the Destination Club until the Player or, where the Player is under the age of 18, that Player's parent or legal guardian completes the Player's registration to the Destination Club via the Competition Management Platform after which the Player will be "active" in the Competition Management Platform and eligible to be entered on a team sheet and play for the Destination Club.

(b) Transfer Request completed incorrectly

If a Transfer Request is submitted and/or approved incorrectly, the relevant Controlling Body may deal with the Transfer Request in any manner it reasonably determines.

3.5 Transfer refusal

(a) How Transfer is refused

If a Source Club refuses a Transfer Request under Section 3.3(c):

- (i) the Transfer will be refused in the Competition Management Platform; and
- (ii) a Person or Destination Club may not submit a further Transfer Request unless the dispute has been resolved in accordance with Section 3.5.

(b) Grounds for refusal

- (i) Subject to Sections 3.5(b)(ii) and 3.5(c), a Source Club may refuse a Transfer Request where it can substantiate that the Player:
 - (A) is a Declared Player whose current player arrangement requires the Player to continue to play for the Source Club (as substantiated in accordance with Section 3.5(b)(iii)); or
 - (B) is financially indebted to the Source Club as evidenced by proper financial records; or
 - (C) is in possession of Source Club property (for example, Source Club jumper or Source Club equipment) that must be returned; or
 - (D) wishes to withdraw their Transfer Request in accordance with Section 3.3(d).

For the avoidance of doubt a Source Club may only refuse a Transfer Request on grounds set out in Section 3.5(b)(i).

(ii) Where a Source Club refuses a Transfer Request on grounds specified in Section 3.5(b)(i)(B) or 3.5(b)(i)(C) in respect of a Player, those grounds will not be valid

grounds for that Source Club to refuse a Transfer Request for that Player once 24 months has elapsed since the date of the initial refusal of Transfer Request. [Guidance note: This Section is distinct from the '24-month rule' set out in Section 3.5(c).]

- (iii) Upon request by its affiliate Controlling Body, a Source Club refusing to Transfer a Player must provide evidence (for example, written documentation acknowledged by both parties) in order to substantiate the refusal within four (4) calendar days of such request. Failure to provide such evidence may result in the Controlling Body approving the Transfer Request upon resubmission of the Transfer Request by the Player or, where the Player is under the age of 18, that Player's parent or guardian.
- (iv) A Destination Club may refuse a Transfer Request initiated under Section 3.3(a)(i)(A) or 3.3(a)(i)(B).

(c) 24-month rule

(i) A Player who has not played a Match for a period of 24 months or greater may submit a Transfer Request in accordance with Section 3.3(a) at any time and, subject to Section 3.5(c)(ii), that Player's Source Club may not refuse the Transfer Request.

[Guidance note: A Player in these circumstances cannot register at a new Club without following the Transfer process. The Player is still required to submit a Transfer Request, even though it cannot be declined.]

- (ii) A Transfer Request for a Player who has not played a Match for a period of 24 months or greater may be refused by that Player's Source Club on one or more of the grounds under Section 3.5(b) if that Player has:
 - (A) had an approved Transfer Request within the previous 24 months; or
 - (B) registered with the Source Club within the previous 24 months.

(d) Appealing a Transfer refusal

- (i) Where a Player disputes a Transfer refusal, that Player and/or their Destination Club and the Source Club must use best endeavours to resolve the dispute.
- (ii) Where a dispute cannot be resolved under Section 3.5(d)(i), a Player and their Destination Club may appeal the Transfer refusal by the Source Club provided that:
 - (A) an appeal involving Clubs affiliated with the same Controlling Body will be heard by that Controlling Body's Appeal Board in accordance with Section 26;
 - (B) an appeal involving Clubs from two different Controlling Bodies located within the same State will be heard by the relevant State Football Body's Appeal Board in accordance with Section 26; and
 - (C) an appeal involving Clubs from two different Controlling Bodies not located within the same State will be referred to the Permit Committee for resolution in accordance with Section 3.9.

3.6 Transfer fee

Without limiting any applicable player payment or transfer rules enacted by a Controlling Body in respect of a Competition, no Club or Controlling Body may directly or indirectly receive or pay any transfer fee or any other consideration in respect of a Transfer.

3.7 Player Permits

(a) Interchange Agreement

- (i) A Controlling Body may enter into an Interchange Agreement with another Controlling Body in respect of the movement of Players between:
 - (A) Tier 2 Competitions with the approval of the relevant State Football Body;
 - (B) a Tier 1 Competition and Tier 2 Competition;
 - (C) Tier 1 Competitions;
 - (D) a Tier 1 Competition and AFL/AFLW Competition,

provided that any Interchange Agreement must be in place by 30 June in the applicable playing year.

- (ii) A copy of an Interchange Agreement entered into under Section 3.7(a)(i)(A) must be lodged with the relevant State Football Body within ten (10) calendar days of its execution for approval by the relevant State Football Body.
- (iii) An Interchange Agreement will be for an indefinite term unless otherwise specified in the Interchange Agreement and may be terminated:
 - (A) by a party to the Interchange Agreement giving notice to the other party to the Interchange Agreement at any time; or
 - (B) in respect of an Interchange Agreement entered into under Section 3.7(a)(i)(A), by the relevant State Football Body giving notice to each party to the Interchange Agreement at any time.
- (iv) Where an Interchange Agreement involves Controlling Bodies from different States, reference to the State Football Body in this Section 3.7(a) will read as the relevant State Football Bodies

(b) Permits

- (i) A Game Permit is a Permit which:
 - (A) allows a Player to play a single Match for a Club (other than their Source Club); and
 - (B) does not require Source Club approval via the Competition Management Platform.
- (ii) A Season Permit is a Permit which:
 - (A) allows a Player to play more than a single Match for a Club (other than their Source Club); and

- (B) requires Source Club approval via the Competition Management Platform.
- (iii) If a Source Club fails to either approve or refuse a Season Permit in accordance with Section 3.7(b)(ii)(B), the Season Permit will be approved automatically in the Competition Management Platform following the expiry of the prescribed period of six (6) calendar days.
- (iv) Permits are to be applied and managed in accordance with the respective State Football Body rules, regulations or by-laws and it is the responsibility of the relevant Controlling Body to monitor the application and management of Permits.
- (v) Other than pursuant to Section 3.7(c), a Permit may not be granted unless there is an Interchange Agreement in place between the relevant Controlling Bodies.
- (vi) Any Player granted a Season Permit will remain registered with their Source Club for the term of the Season Permit.

(c) Season Permits (and Northern Territory Football League)

- (i) A Player may Transfer to or from the Northern Territory Football League under a Season Permit using the Competition Management Platform.
- (ii) Season Permits are valid for one (1) season only. Players wishing to continue on a Season Permit basis will be required to complete a new Competition Management Platform application for each subsequent season.
- (iii) Where a Tier 1 Club recruits a Player on a Season Permit, the Tier 1 Competition shall be responsible for ensuring that the Player participates with the Club for one (1) season only.
- (iv) Where a Player has played under a Season Permit and has not played or made themselves available for selection for their Source Club for more than five (5) home and away Matches for a period of twenty-four (24) months, the Player must lodge a Transfer Request through the Competition Management Platform for any subsequent Transfer.
- (v) Where a Player is drafted as an AFL/AFLW Listed Player during the period of the Season Permit, they shall be regarded as having been recruited from the Source Club of the Controlling Body granting the Season Permit.

3.8 Underage Players

- (a) An Underage Player who resides and is registered in one State may not be registered with a Club in a Tier 1 Competition in another State without the prior approval of the Permit Committee.
- (b) In granting any approval under Section <u>3.8(a)</u>, the Permit Committee may consider the following factors (without limitation):
 - (i) whether the Underage Player has transferred interstate with their family;
 - (ii) whether the Underage Player has undergone a bona fide transfer of employment to another State;
 - (iii) whether the Underage Player has enrolled in a tertiary education course in another State:

(iv) whether the AFL's Head of Talent Pathways and National Diversity Talent Manager (or equivalent) support the move in the interests of developing the Underage Player's football career.

3.9 Permit Committee

- (a) The AFL may, from time to time, appoint a Permit Committee comprising at least three (3) members who in the opinion of the AFL possess sufficient knowledge of Australian Football and are sufficiently qualified to competently perform the role of Permit Committee member.
- (b) The Permit Committee may, upon receipt of a bond amount of \$550.00 (including GST) (or such other amount determined by the Permit Committee) from each Club, consider and determine an appeal involving Clubs from two different States referred to the Permit Committee in accordance with Section 3.5(d)(ii)(C) and the following provisions will apply:
 - (i) the parties will be required to provide brief written submissions to the Permit Committee in respect of matter; and
 - (ii) 50% of the bond amount will be refunded to each Club (or such other amount determined by the Permit Committee) unless the Permit Committee considers that the appellant Club's appeal or the defendant Club's defence (as applicable) is vexatious or frivolous in which case the whole bond amount paid by the Club whose appeal or defence is considered vexatious or frivolous may be forfeited.
- (c) The Permit Committee may:
 - (i) mediate disputes that arise between State Football Bodies in respect of the application and interpretation of this Section 3 and provide final judgment as appropriate;
 - (ii) consider and determine interstate Transfer Requests in relation to Underage Players under Section 3.8;
 - (iii) consider and determine matters raised under Sections 5 and 3.8; or
 - (iv) deal with any other matters as determined by the AFL.
- (d) To refer a matter to the Permit Committee under Sections 3.9(b) and 3.9(c), the relevant party must provide written notice to the AFL (via the AFL Community Football Operations Manager).
- (e) The Permit Committee may:
 - (i) exercise its powers under Section <u>3.9(c)</u> in any manner it reasonably determines; and
 - (ii) levy a fee for the administration of matters under Section 3.9(b) (with the fee amount to be determined by the Permit Committee).

3.10 False or misleading information

- (a) If a Player submits false or misleading information or intentionally fails to disclose information during the registration process under Section 3.2 or in relation to any Transfer Request under Section 3.3 or to a Permit Committee under Section 3.9(b)(i), that Player:
 - (i) will be deemed to have also breached Section 9.2(a) of this Policy Handbook; and

- (ii) notwithstanding any other provision of this Policy Handbook, may be dealt with in such manner as the Controlling Body determines including a retrospective determination that the Player was ineligible for Matches in which they participated.
- (b) In addition to any sanction imposed or determination made by the Controlling Body in respect of a Player under Section 3.10(a), the Controlling Body may impose a sanction on that Player's Club, except where the Club satisfies the Controlling Body that the Player engaged in the relevant conduct without the knowledge of the Club.

3.11 General provisions

(a) Power of AFL and State Football Body

The AFL or a State Football Body may override any rule or other mechanism of a Club or other Controlling Body relating to the registration, transfer or permit of Players where the AFL or State Football Body (as applicable) determines (acting reasonably) that the relevant rule or other mechanism is inconsistent with this Policy Handbook.

(b) State Football Body responsible

Where a Tier 1 Competition is separately constituted, the Controlling Body to which the Tier 1 Competition is affiliated will be responsible for ensuring that the Tier 1 Competition observes and complies with this Section 3.

(c) Team in another Tier 1 Competition

Where a Club or team located in one State (**State A**) competes in a Tier 1 Competition based and administered in another State, the Players of the Club or team located in State A will be considered Players from State A.

(d) State affiliation – Tier 2 Competitions

A Controlling Body administering a Tier 2 Competition with two thirds or more of its Clubs domiciled in a State shall be required to affiliate with the State Football Body recognised by the AFL as responsible for the State concerned.

(e) Transfer of Player to an AFL Competition

- (i) A Player will be automatically registered to an AFL Club upon becoming an AFL/AFLW Listed Player.
- (ii) Should a Player be listed as an AFL/AFLW Listed Player by an AFL Club located in a State different to that Player's Tier 1 Club, the Player may play with a Tier 1 Club in that State (i.e. the new State).
- (iii) A Player delisted by an AFL Club who returns to play for a Club in the Tier 1 Competition from which the Player was drafted will be bound by any applicable transfer and registration rules of that Tier 1 Competition.
- (iv) Where a Player is delisted as an AFL/AFLW Listed Player and becomes an AFL/AFLW Listed Player of another AFL Club for the following AFL/AFLW Competition season that Player shall be regarded as having continuous AFL/AFLW Competition registration.

(f) Non-use of Competition Management Platform

Where a Controlling Body does not use the Competition Management Platform, this Section 3 will not apply.

4. Age dispensation

4.1 Application process

(a) A Player may apply for dispensation to play in a Competition age group below their applicable age group on the basis of a Disability or for Physical Size Considerations by submitting an application in accordance with Section 4.1(b).

[Guidance Note: for the purpose of this Age Dispensation section, a Disability is not intended to include a temporary injury from which the Person is recovering]

- (b) An application for dispensation under Section 4.1(a) must be:
 - (i) in the form of the AFL Age Dispensation Application to Play Down or such other form prescribed by the relevant Controlling Body;
 - (ii) submitted via the Player's Club to the Controlling Body;
 - (iii) supported by written consent from the Player's parent or guardian where the Player is an Underage Player; and
 - (iv) supported by a certificate from a Medical Specialist (**Certificate**) appropriately qualified in an area of practice directly related to the dispensation being sought. Such Certificate, to be completed within three (3) months prior to submission of the application, must state the clinical rationale for the dispensation being sought and have regard to all relevant matters pertaining to the Player including:
 - (A) the Player's Disability; and/or
 - (B) the Player's Physical Size Considerations; and
 - (C) the qualifications of the Medical Specialist providing the Certificate.

[Guidance note: The NSW Government Healthy Weight Calculator for children and teenagers may assist a General Practitioner or Medical Specialist in determining a Player's body mass index for their age. The Royal Children's Hospital Melbourne Growth Charts may assist a General Practitioner or Medical Specialist in determining a Player's height for their age.]

- (c) In relation to an application for dispensation on the basis of a Disability, a Medical Specialist may, in their supporting Certificate, recommend that dispensation be approved for two seasons.
- (d) Following its assessment of an application for dispensation, the Controlling Body may:
 - (i) approve the application;
 - (ii) refuse the application; or
 - (iii) request additional information from the Player or Club and, following its assessment of such additional information, either approve or refuse the application.

4.2 Relevant factors in assessing application

In assessing an application for dispensation, a Controlling Body will have regard to the following factors:

- (a) the effect of the Player's Disability or Physical Size Considerations on their capacity to effectively participate in Australian Football, in particular, their capacity to participate against the oldest Players in their age group as prescribed under Section 3.1(b)(ii);
- (b) the supporting Certificate provided under Section <u>4.1(b)</u> and any recommendation under Section <u>4.1(c)</u>;
- (c) what reasonable adjustments have been considered, attempted or undertaken to facilitate the Player remaining in their eligible age group, and any reasons why those adjustments have been unsuccessful or not implemented;
- (d) how it is proposed that the grant of age dispensation will support the Player to overcome any barriers to their effective participation in Australian Football arising from their Disability or Physical Size Considerations;
- (e) the availability of other assistance to the Player to enable them to effectively participate in Australian Football;
- (f) the safety and welfare of the Player and those Players they will be participating with and against; and
- (g) any other relevant factors in relation to the Player's Disability and/or the Player's Physical Size Considerations which may impact the Player's capacity to effectively participate in Australian Football as determined by the Controlling Body.

4.3 Effect of approved application

- (a) A Player who has received dispensation approval (**Permitted Player**) will be allocated to a Competition age group below their applicable age group. Unless medical advice recommends otherwise or exceptional and compelling circumstances apply, a Permitted Player will be allocated to the next lowest Competition age group.
- (b) If, following receipt of dispensation approval, a Permitted Player plays in a Competition age group other than the Competition age group originally approved, the dispensation approval will be automatically withdrawn.
- (c) A dispensation approval applies for the season in which the dispensation approval is granted unless the Controlling Body endorses a recommendation under Section 4.1(c) in which case the dispensation approval will apply for the season in which the dispensation approval is granted and the following season (i.e. two seasons).
- (d) A Controlling Body may, acting reasonably, revoke a dispensation approval at any time provided that the reasons for such revocation are provided to the Permitted Player.

4.4 Appeal

A decision by a Controlling Body under Section $\frac{4.1(d)}{2}$ may be appealed in accordance with Section $\frac{26}{2}$.

5. Player declaration

- (a) Where a Club and Player wish to, or are required to, enter into an arrangement in relation to the Player's participation at the Club, the parties must use a Standard Player Declaration.
- (b) A Club must provide a copy of each Player's current Standard Player Declaration upon request by a State Football Body.
- (c) Each Club and Player must ensure all player payments required to be made pursuant to any Standard Playing Declaration are made in accordance with:
 - (i) any applicable player payment rules; and
 - (ii) all applicable State and Commonwealth laws.

(d) General provisions relating to a Standard Player Declaration

- (i) A Player must be at least 18 years old to sign a Standard Player Declaration (where a Player is under the age of 18, the Standard Player Declaration must be signed by a parent or guardian).
- (ii) For a Standard Player Declaration to be valid both the Club and Player (or where the Player is under the age of 18, that Player's parent or guardian) must sign the Standard Player Declaration.
- (iii) Subject to Section <u>5(d)(iv)</u>, a Standard Player Declaration will expire on 31 October each year.
- (iv) A Standard Player Declaration that is:
 - (A) expressed to be valid for more than one year will expire on 31 October in the final year of the Standard Player Declaration; and
 - (B) executed between a Club in the Northern Territory Football League Competition and Player will expire on 31 March in the final year of the Standard Player Declaration.
- (v) A Standard Player Declaration will remain effective until the expiration or earlier termination of the Standard Player Declaration.
- (vi) For the avoidance of doubt, a Club and Player may agree to an early release of the Player from a Standard Player Declaration.
- (e) A Tier 1 Club or AFL/AFLW Competition Standard Player Declaration will take precedence over a Tier 2 Club Standard Player Declaration should the relevant Player wish to participate in a Tier 1 Competition or AFL/AFLW Competition, provided that where a Tier 1 Club or AFL/AFLW Competition Standard Player Declaration expires or is validly terminated within the term of the Tier 2 Club Standard Player Declaration the relevant Player will be bound by the Tier 2 Club Standard Player Declaration until expiration or earlier termination.
- (f) If there is any dispute concerning this Section 5, including between a Player and Tier 1 Club or Tier 1 Competition as to whether that Player is a Declared Player, that dispute may be referred to the Permit Committee for determination.

- (g) A Tier 1 Club Declared Player must not play with a Tier 2 Club in a Match unless:
 - (i) that Player's Standard Player Declaration is validly terminated; or
 - (ii) that Player does so pursuant to the rules of the relevant Tier 1 Competition; or
 - (iii) that Player does so under a Permit or Interchange Agreement.

If a Player breaches Section 5(g), that Player and the relevant Tier 2 Club may be dealt with in such manner as the relevant Controlling Body determines.

6. Coach and Umpire eligibility and accreditation

6.1 General

- (a) A Person must be eligible and Accredited to:
 - (i) coach Australian Football at a Club or Controlling Body; or
 - (ii) umpire a Match, unless otherwise permitted by a Controlling Body in accordance with that Controlling Body's rules and regulations.
- (b) A Club or Controlling Body may only appoint a Person as a:
 - (i) Coach if that Person is eligible and Accredited; or
 - (ii) Umpire if that Person is eligible and Accredited unless otherwise permitted by the Controlling Body in accordance with the Controlling Body's rules and regulations.
- (c) For the avoidance of doubt, the AFL does not represent that a Person who has obtained Accreditation is a fit and proper person to be appointed as a Coach or Umpire (as applicable). Without limiting the foregoing:
 - (i) it is a matter for the Club or Controlling Body appointing a Coach or Umpire (as applicable) to satisfy itself that a Person is a fit and proper person to be appointed as a Coach or Umpire (as applicable);
 - (ii) the function of the Accreditation process is primarily to ensure that all Coaches and Umpires meet basic registration requirements and satisfactorily complete the required educational modules for their Accreditation level;
 - (iii) as part of the Accreditation process a Person may be required to submit to the AFL, a National Police Check, a working with children certificate (or equivalent) or other relevant information.

6.2 Eligibility and Accreditation requirements

- (a) Coach Eligibility
 - (i) A Person will be ineligible to be a Coach if they:
 - (A) are not Accredited as required under Section <u>6.2(b)</u>;
 - (B) are Accredited based on false or misleading information; or

- (C) do not meet the age eligibility requirements as per Sections <u>6.2(a)(ii)</u> to <u>6.2(a)(iv)</u>.
- (ii) A head Coach must be fifteen (15) years of age or older in the year prior to the applicable playing year and must meet the minimum age to coach a Competition age group as per the following table:

Age Group	Age in the year prior to the applicable playing year	Minimum age turning in the applicable playing year
Under 8	15	16
Under 9	15	16
Under 10	15	16
Under 11	15	16
Under 12	15	16
Under 13	15	16
Under 14	15	16
Under 15	16	17
Under 16	17	18
Under 17	18	19
Under 18	18	19
Under 19	18	19
Seniors	18	19

- (iii) A head Coach who is under eighteen (18) years of age must have an adult assistant Coach (who is over 18 years of age).
- (iv) An assistant Coach must be twelve (12) years of age or older in the year prior to the applicable playing year.
- (v) Clubs should have regard to the Coach's experience, social maturity and any child safeguarding considerations when making coaching appointments.

(b) Coach Accreditation

To apply for Accreditation as a Coach, a Person (Applicant) must:

- (i) register on the Coach Learning Platform;
- (ii) accurately and honestly complete the relevant Accreditation application form(s) via the Coach Learning Platform;
- (iii) complete:
 - (A) the relevant training for Registered Accreditation, Bronze Accreditation, Silver Accreditation or Gold Accreditation (as applicable); and/or
 - (B) the relevant update or refresher training (as applicable); and
- (iv) hold a current working with children check (or equivalent) or otherwise meet the working with children requirements in their State or Territory.

(c) Umpire eligibility

- (i) A Person will be ineligible to be an Umpire if they:
 - (A) are not Accredited as required under Section <u>6.2(d)</u> (unless Section <u>6.1(a)(ii)</u> applies);

- (B) are Accredited based on false or misleading information; or
- (C) do not meet the age eligibility requirements as per Sections <u>6.2(c)(ii)</u> and <u>6.2(c)(iv)</u> (unless Section <u>6.2(c)(iii)</u> applies).
- (ii) A field Umpire must be twelve (12) years of age or older in the year prior to the applicable playing year and their eligibility to umpire a Competition age group is as per the following table:

Age Group	Age in the year prior to the applicable playing year	Minimum age turning in the applicable playing year
Under 8	12	13
Under 9	12	13
Under 10	12	13
Under 11	12	13
Under 12	13	14
Under 13	14	15
Under 14	15	16
Under 15	16	17
Under 16	16	17
Under 17	16	17
Under 18	16	17
Under 19	16	17
Seniors	16	17

(iii) A Controlling Body or umpiring group may appoint a field Umpire to a higher age group than that specified in the table above where it determines that there are exceptional and compelling circumstances that warrant such permission being granted.

[Guidance note: in assessing whether there are exceptional and compelling circumstances, a Controlling Body and Umpiring Group must have regard to the Umpire's physical capacity, experience, skill level and social maturity along with any child safeguarding considerations]

- (iv) Boundary Umpires and goal Umpires must be nine (9) years of age or older in the year prior to the applicable playing year. A Controlling Body or umpiring group must have regard to the Umpire's physical capacity, experience, skill level and social maturity along with any child safeguarding considerations when appointing boundary and goal Umpires.
- (v) Where a Club is appointing an Umpire in accordance with the Controlling Body's rules and regulations, the principles of Sections 6.2(c)(ii), 6.2(c)(iii) and 6.2(c)(iv) should be applied.

(d) Umpire Accreditation

To apply for Accreditation as an Umpire, a Person (Applicant) must:

- (i) register on the Umpire Learning Platform;
- (ii) accurately and honestly complete the relevant Accreditation application form(s) via the Umpire Learning Platform;
- (iii) if applicable, complete the relevant training applicable for Accreditation, including any relevant update or refresher training; and

(iv) hold a current working with children check (or equivalent) or otherwise meet the working with children requirements in their State or Territory.

6.3 Accreditation determination

- (a) Following completion by an Applicant of the Coach or Umpire Accreditation requirements set out in Section <u>6.2(b)</u> or <u>6.2(d)</u> (as applicable), the AFL may:
 - (i) grant Accreditation to the Applicant with no further requirements; or
 - (ii) grant provisional Accreditation to the Applicant and request additional information from the Applicant including a current National Police Check; or
 - (iii) revoke an Applicant's Accreditation; or
 - (iv) deal with the matter in such other manner as the AFL sees fit.

(b) Additional information requested by AFL

- (i) Upon receipt of a request for additional information by the AFL under Section 6.3(a)(ii), the Applicant must provide such requested information to the AFL within the timeframe specified by the AFL.
- (ii) Following its assessment of the information provided, the AFL may revoke or confirm the Applicant's Accreditation at its absolute discretion.
- (iii) If the information (including any National Police Check) provided discloses that the Applicant has been convicted of, or is charged with, a Serious Criminal Offence, that Applicant's Accreditation may be revoked.
- (iv) If an Applicant fails to provide the requested information within the timeframe specified by the AFL, that Applicant's Accreditation may be revoked.
- (v) If an Applicant's Accreditation is revoked under Section 6.3(b)(iv) and the Applicant subsequently provides the requested information, the AFL may reinstate the Applicant's Accreditation without the need for the review process under Section 6.4.
- (vi) A decision by the AFL under this Section <u>6.3(b)</u> will be notified to the Applicant confidentially and as soon as reasonably practicable.

6.4 Review of determination regarding Accreditation

- (a) Subject to Section 6.3(b)(v), where a Person is not granted Accreditation or has their Accreditation revoked under Section 6.3, that Person may by written application have that determination reviewed by a panel comprising at least two (2) members appointed by the AFL General Manager Game Development (or their nominee) (Accreditation Panel) provided that no person involved in the decision under review may be appointed to the Accreditation Panel.
- (b) A written application under Section <u>6.4(a)</u>:
 - (i) must be submitted by email to <u>coachregistrar@afl.com.au</u> or <u>umpireregistrar@afl.com.au</u> (as applicable);

- (ii) must set out why the Person considers the relevant determination to be incorrect; and
- (iii) may include any further information the Person considers relevant.
- (c) In reviewing a written application submitted in accordance with <u>6.4(b)</u>, the Accreditation Panel may have regard to any matter they consider relevant including but not limited to:
 - (i) the nature of any information provided under Sections 6.2 or 6.3(b);
 - (ii) the time elapsed since the date of any charge(s) or conviction(s) disclosed in any information provided under Section 6.3(b);
 - (iii) any evidence of a Person's:
 - (A) good standing in the community, especially since the date of any charge(s) or conviction(s) disclosed in information provided under Section 6.3(b); and
 - (B) positive behavioural remediation, especially since the date of any charge(s) or conviction(s) in information provided under Section 6.3(b);
 - (iv) the Disciplinary History of the Coach or Umpire, including in respect of Reportable Offences and any Policy Breaches committed by the Coach or Umpire;
 - (v) the health and safety of other Persons; and
 - (vi) evidence that the Person can meet working with children accreditation requirements of Section <u>6.2(b)(iv)</u> or Section <u>6.2(d)(iv)</u> (as applicable).
- (d) Following its review of the written application, the Accreditation Panel may confirm, reverse or modify the original determination.
- (e) A determination of the Accreditation Panel is final and subject only to any rights of appeal provided by law.
- (f) Where a decision to not grant or to revoke an Applicant's Accreditation is confirmed by the Accreditation Panel, the Applicant may re-apply to have their Accreditation status reviewed provided that any such application is made:
 - (i) no less than 12 months from the date of the Accreditation Panel's decision; and
 - (ii) in the manner set out in Section <u>6.4(b)</u>.
- (g) Where a decision to not grant or to revoke an Applicant's Accreditation is reversed by the Accreditation Panel, the Applicant is still required to meet all eligibility and Accreditation requirements under Section 6.2.

6.5 Accreditation status

(a) The AFL will endeavour to note each Person's Accreditation status on their Coach Learning Platform or Umpire Learning Platform account (as applicable), including

any relevant details about that Person's Accreditation history (including any review history).

- (b) For the avoidance of doubt:
 - (i) a decision under Section <u>6.3</u> to not grant or to revoke an Applicant's Accreditation has ongoing effect until such time as that decision is reversed or modified in accordance with Section <u>6.4</u>;
 - (ii) the AFL may, at any time after Accreditation is granted:
 - (A) reassess a Person's Accreditation; and
 - (B) in its absolute discretion, revoke a Person's Accreditation; and
 - (iii) if, at any time, including after receiving Accreditation, a Person is found to not comply with the requirements of Sections <u>6.2(b)</u> or <u>6.2(d)</u> (as applicable), the AFL may, in its absolute discretion, revoke that Person's Accreditation.
- (c) Where a Person is not granted Accreditation as an Umpire or has their Accreditation as an Umpire revoked under Section <u>6.3</u>, that Person cannot undertake any other role in umpiring, including but not limited to as an Umpire coach.

6.6 Coach and Umpire disclosure requirements

- (a) If after applying for or being granted Accreditation, a Coach or Umpire:
 - (i) is charged with, or convicted of, a criminal offence; or
 - (ii) has a working with children check (or equivalent) which has expired, been suspended or revoked,

the Coach or Umpire must notify the AFL of this matter within seven (7) days by email to coachregistrar@afl.com.au or umpireregistrar@afl.com.au (as applicable).

(b) Where further information is received by the AFL under Section <u>6.6(a)</u> or where the AFL becomes aware that a Coach or Umpire may have been charged with or committed a Serious Criminal Offence, or may have had a working with children check (or equivalent) which has expired, been suspended or revoked, the AFL may deal with the matter in accordance with Section <u>6.3</u>.

7. Deregistration

7.1 Disciplinary History

- (a) The Disciplinary History of a Player or Football Official will apply in respect of all Competitions. For the avoidance of doubt, all Players and Football Officials moving from one Club and/or Controlling Body to another do so on the basis that their Disciplinary History will continue to apply and will not be erased or amended.
- (b) During the Transfer process under Section 3.4(a)(ii)(B), the Disciplinary History of a Player will be automatically sent to the Destination Controlling Body via the Competition Management Platform.

- (c) Where a Football Official transfers to or registers with a Controlling Body, the following will apply:
 - (i) the Source Controlling Body (if applicable) will use reasonable endeavours to disclose that Football Official's Disciplinary History to the Destination Controlling Body; and
 - (ii) upon request by a Destination Controlling Body, the Source Controlling Body (if applicable) will provide that Person's Disciplinary History to the Destination Controlling Body.
- (d) Club imposed penalties will not be included on the Disciplinary History of a Person.

7.2 Criteria for Deregistration – Reportable Offences

(a) Suspension threshold

- (i) Subject to Section 7.5(b), a Player or Football Official shall be automatically Deregistered and not allowed further registration with any Club or Controlling Body if the Player or Football Official has been suspended for a total of sixteen (16) matches (or greater) as a Player and/or Football Official (including during an AFL/AFLW Competition career, subject to Section 7.2(c)) as a result of Reportable Offences (Reportable Offences Suspension Threshold).
- (ii) For the avoidance of doubt:
 - (A) a Player or Football Official may apply for re-registration in accordance with Section 7.7;
 - (B) the Reportable Offences Suspension Threshold relates to suspensions imposed as a result of Reportable Offences; and
 - (C) any suspension or sanction imposed on a Player or Football Official in relation to a Policy Breach will not count in relation to the Reportable Offences Suspension Threshold.

(b) Suspensions attained once 16 years or older apply

Only suspensions relating to Reportable Offences committed by a Player or Football Official after attaining the age of 16 years will count for the purposes of Section 7.2(a).

(c) AFL/AFLW Competition Career

Any suspension served by a Player or Football Official during their AFL/AFLW Competition career shall carry over and apply to Tier 1 and Tier 2 Competitions except that the total suspension period shall be reduced by 25% for the purposes of this Section 7 (to the decimal point).

[Guidance note: For example, if a Player is suspended for six (6) matches whilst playing in the AFL/AFLW Competition, only four and one half (4.5) matches shall carry over for the purposes of this Section 7. For the avoidance of doubt, the 25% discount will not apply to any suspension imposed on an AFL/AFLW Listed Player for a Reportable Offence committed while playing outside of the AFL/AFLW Competition.]

7.3 Criteria for Deregistration – serious or cumulative sanctions

- (a) In addition to any sanction imposed on a Player or Football Official under Part E (Disciplinary) of this Policy Handbook, the AFL or relevant State Football Body may determine to Deregister that Player or Football Official if the AFL or relevant State Football Body (in consultation with the AFL) is satisfied that the Policy Breach or Reportable Offence and sanction imposed, when assessed together with the factors in Section 7.3(c), warrants Deregistration.
- (b) If the AFL or relevant State Football Body establishes that a Player or Football Official has contravened the rules of a sport other than Australian Football (**Contravention**) and is reasonably satisfied that such Contravention warrants deregistration when assessed together with the factors in Section <u>7.3(c)</u>, then the AFL, or relevant State Football Body with the prior approval of the AFL, may determine to Deregister that Player or Football Official.
- (c) In making a determination under Section <u>7.3(a)</u> and <u>7.3(b)</u>, the AFL or relevant State Football Body (as applicable) will have regard to the following factors:
 - (i) the nature and seriousness of the Policy Breach, Reportable Offence or Contravention;
 - (ii) the Disciplinary History of the Player or Football Official;
 - (iii) the health and safety of other Persons; and
 - (iv) any other matter considered relevant by the AFL or relevant State Football Body.
- (d) For the avoidance of doubt, a Player or Football Official may be subject of Deregistration under Section 7.3 (with section 7.5 to apply to such Player or Football Official) even though that person may not be a registered Player or Football Official at the time of the deregistration determination and any determination that a Player or Football Official be Deregistered under sections 7.3(a) or 7.3(b) above will be taken to be references to section 7.5 applying. [Guidance note: For example, where an offence was committed in the final round of a season and ensuing policy breach and deregistration processes were undertaken post-season after the persons registration had lapsed].

7.4 Criteria for Deregistration – Serious Criminal Offence by a Football Official

- (a) If the AFL becomes aware of information regarding a Football Official which the AFL reasonably considers gives rise to an inference that the Football Official may have committed a Serious Criminal Offence, then the AFL may request additional information (including a current National Police Check) or clarification from the Football Official.
- (b) If:
 - (i) a Football Official does not within a reasonable period comply with a request by the AFL under Section 7.4(a); or
 - (ii) following its assessment of the information or clarification provided under Section 7.4(a) or the provision of verified information from the police, the AFL is satisfied that a Football Official has committed a Serious Criminal Offence,

then the AFL may Deregister that Football Official.

- (c) In making a determination under Section <u>7.4(b)</u>, the AFL will have regard to the following factors:
 - (i) the nature of the Serious Criminal Offence;
 - (ii) the Disciplinary History of the Football Official, including in respect of Reportable Offences and any Policy Breaches committed by the Football Official:
 - (iii) the health and safety of other Persons; and
 - (iv) any other matter considered relevant by the AFL or relevant State Football Body.
- (d) For the avoidance of doubt, a Football Official may be subject of Deregistration under Section <u>7.4</u> even though:
 - (i) the relevant Serious Criminal Offence was committed when the person was not a Football Official; or
 - (ii) the person may not be a registered Football Official at the time of the Deregistration determination.
- (e) When making a determination to Deregister a Football Official under Section 7.4, the AFL may determine that the Deregistered Football Official can continue to participate in Australian Football as a Player. [Guidance note: Where a determination under Section 7.4 does not provide for a Deregistered Football Official to participate as a Player, Section 7.7 will apply to that person should they wish to participate in the future as either a Football Official or Player].

7.5 Deregistration – general provisions

(a) Effect of Deregistration

- (i) Subject to Section <u>7.4(e)</u>, if a Player or Football Official is Deregistered that Player or Football Official cannot:
 - (A) register to play Australian Football for a Club or participate in a Competition; or
 - (B) officiate or act as a Football Official for a Club or Competition; or
 - (C) be entered on a team sheet.
- (ii) For the avoidance of doubt:
 - (A) if a Player is Deregistered, that Player will also be prohibited from being a Football Official in any Competition; and
 - (B) if a Football Official is Deregistered, that Football Official will also be prohibited from being a Player in any Competition unless Section 7.4(e) applies.

(b) First Offence

Should a Player or Football Official receive a sixteen (16) match (or greater) suspension as a "first offence" it shall be at the discretion of the AFL or relevant State Football Body (in consultation with any other relevant Controlling Body) as to whether or not that Player or Football Official will be Deregistered following suspension.

7.6 Notice of Deregistration

(a) Deregistration Warning – Reportable Offences only

- (i) Where a Player or Football Official has served a total of ten (10) matches of suspensions as a Player or Football Official in respect of Reportable Offences, the relevant Controlling Body will use reasonable endeavours to notify the Player or Football Official and their Club in writing that the Player or Football Official faces the risk of Deregistration should the Player or Football Official incur further suspensions resulting in that Player or Football Official meeting the Reportable Offences Suspension Threshold (Deregistration Warning).
- (ii) A Deregistration Warning should be in the form of the Deregistration Warning template set out in <u>Appendix 7</u> or such other form approved by the Controlling Body.

(b) Controlling Body obligations

- (i) The relevant Controlling Body will provide written notification of a Deregistration under Section 7.2 to the Player or Football Official and their Club and the relevant State Football Body (if applicable). [Guidance note: Where Deregistration occurs due to Reportable Offences, the local league will usually be the "relevant Controlling Body" for the purposes of this Section. In which case, the local league must notify the relevant State Football Body of the Deregistration.]
- (ii) The AFL or relevant State Football Body (as applicable) will provide written notification of a Deregistration under Section <u>7.3</u> or <u>7.4</u> to the Player or Football Official and their Club and any other relevant Controlling Body.
- (iii) A central database of all Deregistered Players and Football Officials will be maintained by the AFL and State Football Bodies via the Competition Management Platform.

(c) Club obligations

- (i) Each Club will at all times strive to ensure its Players and Football Officials do not risk Deregistration and implement measures (such as anger management training) to achieve this objective.
- (ii) Upon receipt of a Deregistration Warning or written notification of a Deregistration under Section 7.6(b), a Club must use its best endeavours to confirm that its Player or Football Official has received such notice and promptly acknowledge to the relevant Controlling Body the steps taken by the Club to obtain this confirmation.

(d) Commencement of Deregistration

(i) A Deregistration under Section <u>7.2</u> will commence on the date on which the most recent suspension of the Player or Football Official ends (being the

- suspension which resulted in that Player or Football Official being Deregistered).
- (ii) A Deregistration under Section <u>7.3</u> or <u>7.4</u> will commence on the date of notification of Deregistration by the AFL or State Football Body in accordance with Section <u>7.6(b)(ii)</u>.
- (iii) A Player or Football Official will be categorised as deregistered in the Competition Management Platform (as distinct from Deregistration as defined in this Policy) at the time that the Player or Football Official receives a sanction which results in Deregistration.
- (e) The failure of a Controlling Body to issue a notice to a Player or Football Official and their Club in accordance with Section 7.6(a) or 7.6(b)(i), or the failure of a Club to provide notice to a Player or Football Official in accordance with Section 7.6(c)(ii), does not invalidate the Deregistration of that Player or Football Official.

7.7 Application for re-registration

- (a) Subject to Sections <u>7.7(e)</u> and <u>7.7(f)</u>, a Deregistered Player or Football Official may, by written application to the relevant State Football Body in the form prescribed by that State Football Body, apply for re-registration no less than 12 calendar months after the date on which their Deregistration commenced (**Re-Registration Application**). The relevant State Football Body may levy a fee for the administration of a Re-Registration Application.
- (b) Following receipt of a Re-Registration Application, the relevant State Football Body will convene a panel of at least three members (**Re-Registration Panel**) and arrange a hearing of the Re-Registration Panel to consider the Re-Registration Application (**Re-Registration Hearing**).
- (c) The Re-Registration Panel must comprise of persons who in the opinion of the State Football Body possess sufficient knowledge of Australian Football and are sufficiently qualified to competently perform the role of Re-Registration Panel member.

(d) General conduct of a Re-Registration Hearing

- (i) A Re-Registration Hearing will be heard at the date, time and place/forum notified by the State Football Body.
- (ii) Prior to the Re-Registration Hearing, the relevant Controlling Body will provide the Re-Registration Panel with a list of the suspension(s) of the Deregistered Player or Football Official, the grounds for those suspension(s) (i.e. the relevant Reportable Offence or Policy Breach) and any other relevant details regarding the deregistration of the Player or Football Official.
- (iii) The Deregistered Player or Football Official, their Club and the relevant Controlling Body may make brief submissions to the Re-Registration Panel regarding the Re-Registration Application.
- (iv) The Re-Registration Panel may either approve or reject a Re-Registration Application provided that the Re-Registration Panel must not approve a Player's or Football Official's Re-Registration Application unless the panel is reasonably satisfied that:

- (A) the Player or Football Official is genuinely rehabilitated and committed to ongoing rehabilitation; and
- (B) the Player or Football Official is unlikely to re-offend; and
- (C) the Player or Football Official does not pose an unacceptable risk to other Persons;
- (v) The Re-Registration Panel must not approve a conditional re-registration in respect of a Player or Football Official (for example, allow re-registration as a particular kind of Football Official), except where a Football Official is Deregistered under Section 7.4 and is seeking to participate in Australian Football only as a Player.
- (vi) The Re-Registration Panel:
 - (A) may regulate any Re-Registration Hearing in such manner as the Re-Registration Panel determines; and
 - (B) is not bound by the rules of evidence or by practices and procedures applicable to a court of law and may inform itself as to any matter in such manner as it determines.
- (vii) The decision of the Re-Registration Panel shall be final and binding.
- (e) A Player or Football Official may only submit one (1) Re-Registration Application per 12-month period.
- (f) If a State Football Body considers that exceptional and compelling circumstances exist which may reasonably justify a Re-Registration Panel considering a Re-Registration Application prior to the end of the 12-month period specified in Section 7.7(a), then the State Football Body may, with the prior approval of the AFL General Manager Game Development (or their nominee), waive a portion of that 12-month period and arrange an early Re-Registration Hearing.
- (g) If a Player or Football Official is re-registered and subsequently receives a suspension as a result of a Reportable Offence or Policy Breach:
 - (i) where that Player or Football Official was previously Deregistered under Section 7.2 or 7.3, the Player or Football Official will be permanently Deregistered from participating in any Competition as a Player or Football Official with no further right of appeal or right to apply for re-registration; or
 - (ii) where that Player or Football Official was previously deregistered under Section 7.4, the State Football Body (in consultation with the AFL) may determine to permanently Deregister the Player or Football Official or grant continued conditional registration.

[Guidance note: Under Section 7.7(g)(ii), permanent Deregistration will not occur automatically and it will be at the discretion of the State Controlling Body, in consultation with the AFL, whether the Player or Football Official is permanently Deregistered.]

- (h) For the avoidance of doubt:
 - (i) a Re-Registration Hearing is an application for re-registration only and is not a review or appeal of any previous sanctions;

(ii) there is no review or appeal process in relation to a Deregistration.

8. Gender diversity (participation of transgender and non-binary people)

The <u>AFL Gender Diversity Policy Community Football</u> (as amended from time to time) is adopted as part of this Policy Handbook and its terms are deemed terms of this Policy Handbook.

PART C - MEMBER PROTECTION & INTEGRITY

Member protection statement

The AFL regards violence, discrimination, sexual harassment, bullying and abuse in any form, including online, as unacceptable. The AFL believes that all people have the right to work, play and socialise in an environment which is safe and inclusive. In other words, our members (i.e. participants in Australian Football) have the right to be protected from unacceptable conduct whilst participating in our sport.

Any complaints of inappropriate behaviour will be treated seriously and sensitively and, if required under this Policy Handbook, investigated thoroughly. Best endeavours will be used to ensure that persons affected by a complaint are not victimised in any way.

The AFL is responsible for developing and implementing an education plan addressing the content and subject matter of this Part C.

The AFL may, as required under the education plan, direct Relevant Bodies or Persons in particular roles to complete education modules applicable to those roles.

What is the purpose of Part C?

- Part C aims to promote ethical and informed decision-making and responsible behaviours within Australian Football and records the AFL's commitment to upholding the rights of its participants to be treated with respect and dignity and to be safe and protected from all forms of discrimination and abuse within Australian Football.
- Part C sets out standards of behaviour that the AFL requires of all individuals associated with Australian Football.
- The general responsibilities and expectations of each Person, Club and Controlling Body are clearly defined in Section 9 while vilification and discrimination, safeguarding children and young people, social media, gambling, respect and responsibility and anti-doping matters are also dealt with under Part C.

The points above are for background only and are not operative terms of this Policy Handbook.

9. Member protection

9.1 Responsibility of a Person

A Person must:

- (a) make themself aware of this Policy Handbook and its contents;
- (b) comply with this Policy Handbook and any rules, regulations, by-laws, emergency protocols and policies formally approved and/or adopted by the AFL from time to time, including without limitation, the Laws of the Game;
- (c) respect the spirit of fair play;
- (d) be ethical, fair, honest and respectful in all their dealings with other people;
- (e) contribute to a safe sporting environment and respectful culture which is accepting of individual differences:

- (f) prioritise the safety and welfare of children;
- (g) recognise the essential role that Umpires, other Football Officials and Controlling Body Officers play in Australian Football;
- (h) display and foster respect for Umpires, other Football Officials and Controlling Body Officers;
- (i) comply with all relevant laws including anti-discrimination and child protection laws;
- (j) comply with any direction given or investigative or disciplinary measure or procedure imposed in accordance with this Policy Handbook;
- (k) where applicable, consent to any screening requirements set out in this Policy Handbook including in relation to national police checks or working with children checks; and
- (I) comply with a sanction imposed after a finding that the Person has committed a Reportable Offence or breached this Policy Handbook.

9.2 General code of conduct

A Person or, where applicable, a Controlling Body must not:

- (a) engage in conduct which brings, or is likely to bring, the interests of Australian Football or the Controlling Body into disrepute;
- (b) act in a manner which is, or is likely to be, prejudicial to the interests of Australian Football or the Controlling Body;
- (c) commit a Serious Criminal Offence;
- (d) engage in direct or indirect Harassment or Bullying of another person;
- (e) make or post inappropriate, offensive or discriminatory comments in public (including via Social Media) about another person or Controlling Body;
- (f) engage in Victimisation of another person;
- (g) engage in Sexual Misconduct;
- (h) engage in Abuse of another person;
- (i) threaten another person, assault or engage in violence with another person, intimidate another person or create a hostile environment;
- (j) consume alcohol, smoke or vape at Junior Football;
- (k) disclose to a person or organisation any information related to Australian Football that is of a private, confidential or privileged nature without the required consent; and
- (I) make a complaint under this Policy Handbook that the Person or Controlling Body knows to be untrue, vexatious, malicious or improper.

9.3 Coach code of conduct

In addition to the obligations under Sections <u>9.1</u> and <u>9.2</u>, a Coach must:

- (a) be Accredited;
- (b) be reasonable in the demands made on the time commitments of Players, having regard to their health and well-being;
- (c) be considerate of the varying maturity and levels of ability of Players when designing practice schedules and practice activities;
- (d) if coaching Junior Football, use best endeavours to ensure that every Player plays a minimum of 50% playing time;
- (e) always monitor and ensure the health and safety of Players;
- (f) seek and follow the advice of appropriately qualified health specialists in relation to the participation of injured or ill Players provided that, where such advice is that a Player is fit to play but that advice is inconsistent with any restrictions in this Policy Handbook on the participation of injured or ill Players, the restrictions in this Policy Handbook must be complied with;
- (g) keep up to date with the principles of coaching including skill development and requirements of Accreditation;
- (h) display and foster appropriate sporting behaviour, including using best endeavours to procure that Players comply with their obligations under this Policy Handbook;
- (i) display and foster respect for Football Officials, opponents, parents and spectators;
- (j) ensure that Players are involved in a positive environment where skill learning and development are priorities; and
- (k) keep up to date with and adhere to all child safeguarding requirements including the requirements detailed in Section 11 and Appendix 6.

9.4 Additional responsibilities of a Club

In addition to its obligations under Sections 9.1 and 9.2, a Club (including Club Football Officials) must:

- (a) provide an inclusive, respectful and safe, including a culturally safe, Club environment for all Persons; and
- (b) comply with, and use its best endeavours to procure compliance by its Football Officials, Players, members and supporters with, any sanctions imposed under Part E of this Policy Handbook.

9.5 Prohibited Conduct

A Person, including a Club, as applicable, will be in breach of this Policy Handbook if they engage in conduct contrary to the requirements of this Section 9.

10. Vilification and discrimination (Peek Rule)

10.1 Prohibited conduct

No Person shall act towards or speak to any other person in a manner, or engage in any other conduct which threatens, disparages, vilifies or insults another person or group of persons on any basis, including but not limited to, a person's race, religion, colour, descent or national or ethnic origin, disability, sexual orientation or gender identity.

[Guidance note: Refer to Section 23.1 for the process and timeframe for making a complaint for a potential breach of this Section]

10.2 AFL Vilification and Discrimination Panel

(a) Appointment by AFL

The AFL may, from time to time, appoint persons to the AFL Vilification and Discrimination Panel.

(b) Qualifications of panel members

The AFL Vilification and Discrimination Panel will consist of a panel of persons who:

- (i) meet the Tribunal qualification requirements specified in Section <u>25.2(c)</u>; and
- (ii) in the opinion of the AFL:
 - (A) have demonstrated knowledge and skills in the resolution of vilification and discrimination matters;
 - (B) possess sufficient knowledge of Australian Football; and
 - (C) are sufficiently qualified to competently perform the role of AFL Vilification and Discrimination Panel member.

(c) Function

The function of the AFL Vilification and Discrimination Panel is to provide expert assistance to the AFL and other Controlling Bodies in respect of:

- (i) the conciliation process under Section 10; and
- (ii) any Tribunal process under Section <u>25</u> that relates to a potential breach of Section <u>10.1</u>.

10.3 Investigation and conciliation process

- (a) Where Section 23.2(a)(ii) or 23.2(c)(ii) applies in relation to an alleged breach of Section 10.1, the AFL or Controlling Body (as applicable) must as soon as practicable:
 - (i) inform the person alleged to have breached Section <u>10.1</u> (**Contravening Person**) of the alleged breach and provide that person with an opportunity to respond to the complaint;

(ii) use reasonable measures to establish the facts of the alleged Policy Breach.

[**Guidance note**: Sections <u>23.2(h)</u> (Cooperation with an investigation) and <u>23.2(i)</u> (Investigations involving minors) apply to any investigation undertaken by the AFL or Controlling Body in establishing the facts of the alleged Policy Breach.]

- (b) If, following completion of the steps in Section 10.3(a), the AFL or Controlling Body (as applicable) is reasonably satisfied that a breach of Section 10.1 may have occurred, it may arrange for the complaint to be conciliated where the AFL determines that it is appropriate in all the circumstances, otherwise the matter will proceed to Section 10.6.
- (c) Where conciliation is to proceed, the AFL or Controlling Body will determine the arrangements for the conciliation, including appointment of a conciliator and the date, time and place/forum (e.g. in person or virtually).
- (d) Where a Controlling Body (other than the AFL) arranges to hold a conciliation:
 - (i) it must inform the AFL prior to the conciliation and, in consultation with the AFL, appoint a conciliator; and
 - (ii) it may, by written notice, request the assistance of an AFL Vilification and Discrimination Panel member to conduct the conciliation and the AFL will, subject to the availability of the AFL Vilification and Discrimination Panel, arrange for that requested assistance.
- (e) Persons entitled to attend a conciliation are as follows:
 - (i) person(s) vilified;
 - (ii) the Contravening Person;
 - (iii) other person(s) directly involved in the complaint whom in the reasonable opinion of the AFL or Controlling Body ought to attend the conciliation;
 - (iv) where the alleged breach of Section 10.1 was not about or did not directly impact a specific person or persons (e.g. the prohibited conduct vilified a group of persons generally without specifying any individual), a representative of that group may attend the conciliation at the invitation of the AFL or Controlling Body for the purpose of providing a victim impact statement and more generally to provide the perspectives of that group of vilified persons in the conduct of the conciliation;
 - (v) an employee of the AFL and/or the Controlling Body directly involved in the conduct of the conciliation:
 - (vi) conciliator; and
 - (vii) where a Person involved in a conciliation is under the age of eighteen (18) years:
 - (A) a Club Officer (who must not be a parent or guardian of the Person) must attend to assist and support the Person; and
 - (B) a parent or guardian of the Person may attend a conciliation in support of the Person.

- (f) All conciliation participants are permitted and encouraged to have a support person present at the conciliation. Support persons will not be advocates or actively participate in the conciliation.
- (g) All conciliation participants are discouraged from having a legal practitioner as their support person or in any other capacity in conciliation.

10.4 Agreed outcomes at conciliation

- (a) Notwithstanding any other provision of this Policy Handbook, the conciliation participants will be at liberty to consider and agree to any outcome or sanction including but not limited to any of the following (or a combination of them):
 - (i) suspension of a Contravening Person from playing and/or officiating in Matches at any level;
 - (ii) if reasonably practicable, attendance at or participation in a community service program by the Contravening Person;
 - (iii) completion of relevant education by a Contravening Person;
 - (iv) the provision of a public apology or apologies by a Contravening Person.
- (b) For the avoidance of doubt:
 - (i) the person(s) vilified, the Contravening Person and the AFL or Controlling Body must all agree to any outcome or sanction;
 - (ii) the conciliation participants may not agree to any outcome that binds or sanctions any Person that is not a conciliation participant;
 - (iii) the effect of any suspension agreed under Section 10.4(a)(i) will be subject to the requirements outlined under Section 27.1.
- (c) In their consideration of agreed outcomes and sanctions, the conciliation participants should have regard to the following:
 - the nature of the Policy Breach and all the circumstances in which it was undertaken including the setting (e.g. during the course of a Match, during the course of an official or unofficial Club activity, in a public place, in a private setting);
 - (ii) the extent to which the Policy Breach caused offence or hurt to the vilified person or others (e.g. was it undertaken in an aggressive or threatening way, did it offend or hurt a large number of people);
 - (iii) whether there have been previous instances of Policy Breaches by the Contravening Person;
 - (iv) whether the Contravening Person has received any relevant training and education.

10.5 Confidentiality and public statement

(a) Subject to Section 10.5(b):

- (i) the particulars of a complaint and the conciliation shall at all times remain confidential; and
- (ii) a person shall not publicly comment on or disseminate to any person information concerning a complaint or conciliation at any time prior to, during or after the conciliation.
- (b) Where a complaint is resolved by conciliation, any public statement (including any apology) made concerning the complaint and its resolution shall be agreed upon by the parties.

10.6 No conciliation outcome

Where the matter has not proceeded to conciliation pursuant to Section 10.3(b), or the AFL or Controlling Body (as applicable) is of the opinion that the matter has not been resolved by conciliation, the AFL or Controlling Body must proceed to the issuing of a Notice of Breach in accordance with Section 23.4 and Section 23.5 unless the AFL or Controlling Body (with the approval of the AFL) determines that exceptional and compelling circumstances apply such that the matter should not proceed to a Notice of Breach, in which case the matter will be closed or dealt with in such other manner as determined by the AFL. [Guidance note: Where a matter is being managed by a Controlling Body, the Controlling Body must seek the approval of the AFL if it considers that exceptional and compelling circumstances apply].

11. Safeguarding Children and Young People

11.1 Introduction

(a) AFL commitment to safeguarding Children and Young People

- (i) All Children and Young People, regardless of their gender, race, religious beliefs, age, disability, sexual orientation, or family or social background, have equal rights to:
 - (A) feel safe and protected from all forms of abuse, harm and neglect; and
 - (B) take part in Activities in a safe, positive, and enjoyable environment.
- (ii) The AFL has a zero tolerance for Child Abuse and is committed to:
 - (A) promoting and protecting the safety and wellbeing of all Children and Young People; and
 - (B) ensuring that all Relevant Bodies and Persons are aware of their rights and responsibilities in relation to Children and Young People.

(b) Policy intent

This Section 11, together with Appendix 6, sets out:

- (i) the responsibilities and behaviours required of Persons when engaging or working with Children and Young People;
- (ii) what behaviours will constitute "Prohibited conduct" and will result in a disciplinary process under <u>Part E</u> of the Policy Handbook;

- (iii) the expected commitments and responsibilities of a Relevant Body when managing Activities that involve Children and Young People;
- (iv) Reporting requirements in relation to harmful conduct toward Children and Young People or conduct that may constitute a breach of this Section 11.
- (c) If any Person considers that a Child or Young Person is at risk of Harm, that Person must follow the Reporting procedures under Section 11.5.

11.2 Relevant Body commitment to safeguarding Children and Young People

- (a) To support the AFL's commitment under Section 11.1, each Relevant Body will:
 - (i) have zero tolerance for Child Abuse;
 - (ii) seek to provide an environment in which all Children and Young People feel supported and respected;
 - (iii) recognise the particular needs of Aboriginal and Torres Strait Islander Children and Young People, LGBTIQA+ Children and Young People, Children and Young People from culturally and/or linguistically diverse backgrounds and Children and Young People with a disability and will implement culturally appropriate practices and procedures to address those needs;
 - (iv) consider the opinions of Children and Young People and use their opinions to inform the development of policies and procedures in relation to the protection of Children and Young People;
 - (v) engage with Children and Young People and their parents/guardians about safeguarding practices and help empower them to speak up when they see or hear something that makes them feel unsafe and ensure that relevant information and resources are accessible to them; and
 - (vi) ensure that any concern or allegation raised in relation to Child Abuse or any other inappropriate behaviour towards a Child or Young Person that has occurred while a Child or Young Person is under the Relevant Body's care, is treated seriously, in a culturally sensitive manner and fully investigated in accordance with this Policy Handbook and any other related policies or procedures and relevant legislation;
 - (vii) comply with all statutory requirements in respect of safeguarding Children and Young People, including ensuring all relevant Persons who work with Children and Young People have complied with their working with children obligations applicable to their State or Territory;
 - (viii) undertake appropriate screening measures when appointing a Person to a child-related position.

11.3 Responsibilities of a Person

(a) For the purpose of this Section <u>11</u>, a 'Person', as defined under Section <u>1.1</u> of the Policy Handbook, also includes a Person employed or engaged by a Controlling Body.

(b) A Person must:

- (i) treat all Children and Young People with respect;
- (ii) make themselves aware of and comply with all obligations that they are subject to under relevant state/territory Child Protection Legislation;
- (iii) make themselves aware of and comply with the requirements of this Policy Handbook including the standards detailed in Appendix 6;
- (iv) immediately report any concern for the safety or wellbeing of a Child or Young Person, or a suspected breach of this Section 11, in accordance with this Policy Handbook; or
- (v) provide true and accurate information during any recruitment and screening processes for a role that involves working with Children or Young People.

11.4 Prohibited conduct

- (a) A Person will be in breach of Section 11 if they:
 - (i) engage in conduct contrary to Section <u>11.4(b)</u>;
 - (ii) are found guilty of any breach of a relevant state or territory or Commonwealth law relating to or involving Child Abuse or Grooming; or
 - (iii) continue in a Child and Young Person-related position where charged or convicted of a crime that would make them ineligible to be granted a WWCC;
 - (iv) without reasonable cause, fail to comply with the reporting requirement in Section 11.3(b)(iv).

(b) A Person must not:

- (i) engage in conduct relevant to a Person that is contrary to Appendix 6 Children & Young People Safe Practices;
- (ii) engage in any behaviour that may reasonably be considered to be Child Abuse as defined in Section 11.4(c), or Grooming as defined in Section 11.4(d);
- (iii) engage in direct or indirect Harassment or Bullying of a Child or Young Person:
- (iv) engage in Victimisation of a Child or Young Person;
- (v) engage in Sexual Misconduct with a Child or Young Person; or
- (vi) request or infer that the Child and Young Person keep any communication secret from their parents/carer, or other Person such as a coach or administrator, or Organisation.
- (c) In addition to the requirements of Section 11.4(a) and Section 11.4(b), a Person in a Position of Authority will be in breach of Section 11 if they:

- (i) engage in conduct relevant to a Person of Authority that is contrary to Appendix 6 Children & Young People Safe Practices;
- (ii) fail to immediately disclose to the Relevant Body any charges or convictions affecting their suitability to engage with Children and Young People; or
- (iii) continue in a Child and Young Person-related position where charged or convicted of a crime that would make them ineligible to be granted a WWCC.
- (d) A Relevant Body will be in breach of Section 11 if it:
 - (i) engages a Person who does not have a satisfactory WWCC as required under this Policy Handbook or the relevant Child Protection Legislation;
 - (ii) does not confirm the validity of a Person's WWCC currency;
 - (iii) does not undertake any screening measures when appointing a Person to a child-related position;
 - (iv) does not obtain adequate informed consent from an Approved Person prior to any sport sanctioned transportation or overnight accommodation of a Child or Young Person;
 - (v) has knowledge of a potential breach by a Person of this Section <u>11</u> and fails to:
 - (A) follow the reporting procedures under Section 11.5; or
 - (B) manage the potential breach in accordance with Section 23.

11.5 Reporting procedures

- (a) Child Protection Legislation places obligations on persons to report any reasonable suspicion or knowledge that a Child/Young Person is or is likely to be at risk of Harm, and failure to comply with this:
 - (i) could result in criminal proceedings;
 - (ii) may result in disciplinary proceedings under this Policy Handbook where that failure is by a Person including a Person in a Position of Authority.
- (b) If a Person believes that a Child or Young Person is in imminent risk of Harm or immediate danger, or that any conduct toward a Child or Young Person may constitute a criminal offence that Person must:
 - (i) call '000' to report a matter to police; and
 - (ii) lodge a report with the AFL via the AFL's electronic complaint submission platform (accessible here).
- (c) If a Person believes that another person has committed a breach of Section 11, that Person is to:
 - (i) contact the relevant Controlling Body immediately; and

- (ii) as soon as practicable, submit a written complaint to the AFL under Section 23.1(a).
- (d) When a Controlling Body is notified by a Person of an alleged breach of Section 11, the Controlling Body must use best endeavours to ensure Person submits a written complaint to the AFL under Section 23.1(a) and support that Person to do so.

11.6 Complaint management

- (a) A complaint received by the Controlling Body under Section <u>23.1(a)</u>, is to be assessed by the Controlling Body under Section <u>23.2(c)</u>.
- (b) A complaint received by the AFL under Section <u>23.1(a)</u> will then be processed under the applicable provisions of <u>Part E</u> Disciplinary, including an assessment of the written complaint under Section <u>23.2(a)</u>.

11.7 General safeguarding matters

(a) State & Territory child safe standards

This Policy is underpinned by the National Principles for Child Safe Organisations. Each State and Territory may establish its own child safe standards with compliance requirements. Relevant Bodies are required to familiarise themselves with the legislative requirements in their State or Territory and to take steps to ensure that they are meeting any additional compliance requirements not covered by this Section 11, including by adopting additional procedures, policies or standards where necessary.

(b) Resources

Further information and resources for Relevant Bodies and Persons in relation to AFL safeguarding requirements can be found at https://play.afl/safeguarding

12. Social Media

12.1 AFL commitment to online safety

The AFL has signed the Online Safety Statement of Commitment alongside 23 other major sporting organisations from around Australia to actively support the work of the Commonwealth Government eSafety Commissioner to help keep all Australians, from grassroots to professional athletes, team members and officials, safe online.

12.2 AFL statement on Social Media

- (a) It is important to understand that content posted on Social Media can have serious ramifications for the Person involved, the AFL and other Controlling Bodies, their people, commercial partners or other related organisations and individuals. Comments may be mistakenly attributed to the AFL or other Controlling Body in some circumstances. It is therefore important that a Person always think twice before posting.
- (b) Before using Social Media, the AFL encourages all Persons to ask themselves the following questions:
 - (i) Am I revealing any sensitive or confidential information?

- (ii) Would I want my Club, Coach, team, family or friends to see this?
- (iii) Will I regret my actions?
- (iv) Could this negatively impact the reputation of the AFL, other Controlling Body or a Club?
- (v) Could this be seen as inappropriate, discriminatory, defamatory or in breach of any laws?

12.3 Behavioural standards on Social Media

When using Social Media, a Person must:

- (a) respect the privacy of others;
- (b) ensure that content published is factually accurate;
- (c) be polite and respectful with others; and
- (d) adhere to the terms of use of the relevant Social Media, as well as copyright, privacy, defamation, contempt of court, discrimination, harassment and other applicable laws.

12.4 Prohibited conduct on Social Media

When using Social Media, a Person must not:

- (a) post or engage with (e.g. like, comment on, share, forward) material that is abusive, offensive, obscene, disparaging, defamatory, threatening, Harassment, Bullying, discriminatory, homophobic, hateful, racist, sexist, infringes copyright, constitutes a contempt of court, breaches a court suppression order, or is otherwise unlawful;
- (b) talk negatively about a Controlling Body, its employees, its competitors, corporate partners, broadcast partners, sponsors, or customers/fans or any other related organisation;
- (c) represent a personal view as that of a Controlling Body;
- (d) bring a Controlling Body's brand and reputation into disrepute;
- (e) post or release any Controlling Body information or material (including images or video) prior to its official launch or announcement by the Controlling Body in the public domain;
- (f) plagiarise or breach copyright of another person;
- (g) access, download or transmit any kind of sexually explicit material (including child pornography), violent and/or graphic images (without medical purpose);
- (h) access, download or transmit information on the use and construction of weapons, explosives and/or other tools of violence or terrorism;
- (i) breach the reasonable expectation of privacy of a person; or

(j) access to the computing resources of a Controlling Body without the prior consent of the Controlling Body.

12.5 Official Social Media engagement

Before engaging in Social Media as a representative of a Controlling Body, a Person must be formally authorised to do so by the relevant Controlling Body.

13. Gambling and match fixing

13.1 Corrupt conduct

A Person must not contrive or attempt to contrive the result of a Match or Contingency or any aspect of a Match or Contingency in exchange for a bribe, benefit or reward.

13.2 No gambling

- (a) Subject to Section <u>13.2(b)</u>, a Player or Football Official must:
 - (i) not bet or wager on a Contingency;
 - (ii) ensure that no other person has access to their betting accounts which would enable such person to bet on any Contingency;
 - (iii) not have an interest in any bet or wager on a Contingency or have someone else place a bet or wager on their behalf;
 - (iv) not facilitate or assist the making of a bet or wager on a Contingency; or
 - (v) not without the prior permission of the relevant Controlling Body, encourage, induce, advertise or promote betting on a Contingency.
- (b) Section <u>13.2(a)</u> does not apply where a Player or Football Official has no direct or indirect connection with the relevant Contingency.

[Guidance note: For example, a Player or Football Official who is 18 years or older may bet on an AFL or AFLW Match where that Player or Football Official participates in a Tier 2 Competition and otherwise has no indirect or direct connection with the AFL or AFLW Match.]

(c) For the avoidance of doubt, Section <u>13.2(b)</u> does not permit a Person associated with a Club to engage in conduct under Section <u>13.2(a)</u> in respect of a Contingency relating to the relevant Controlling Body which does not involve their Club.

13.3 Insider information

A Player or Football Official must not disclose or provide any information, advice or opinion to any person about the teams playing in any Match (including but not limited to, the actual or likely composition of the team, player injuries, the form of players and tactics), unless the Person can establish to the satisfaction of the Controlling Body that:

- (a) such information, advice or opinion was already in the public domain or given in a bona fide media interview; or
- (b) the information, advice or opinion was not provided in any of the following circumstances:

- (i) for the purpose of betting or wagering by any person on a Contingency;
- (ii) negligently without regard to whether it would be used for betting or wagering by any person on a Contingency;
- (iii) for consideration or reward.

13.4 Performance on merits

A Player or Football Official must at all times perform on their merits and must not induce or encourage any other Player or Football Official not to perform on their merits, in any Match or in relation to any aspect of a Match for any reason whatsoever.

13.5 Notification

Any Person who is contacted by another person, corporation or entity requesting to engage in conduct which may be prohibited by Section 13 must, within 24 hours of such contact, advise the relevant Controlling Body.

14. Anti-doping and Supplements

14.1 AFL statement on anti-doping and Supplements

- (a) The AFL subscribes to a philosophy and adopts a stance that:
 - (i) ensures that Australian Football competitions are conducted upon the basis of athletic prowess and natural levels of fitness and development and not on any pharmacologically enhanced performance;
 - (ii) protects participants from using substances which may cause acute or longterm harm to their bodies;
 - (iii) educates the participants to understand the dangers and consequences of the use of performance enhancing substances; and
 - (iv) sets an example for all participants in the sport of Australian Football by condemning the use of performance enhancing substances.
- (b) The AFL acknowledges that Supplements are used by athletes including Players of Australian Football. The Supplement industry is largely unregulated, and some Supplements may contain substances that are prohibited in sport and may lead to a potential anti-doping rule violation along with health and reputational risks.
- (c) Anti-doping rules are based upon 'strict liability' and Players are responsible for any prohibited substances found in their body or their possession.

14.2 Anti-Doping

The <u>Australian Football Anti-Doping Code</u> (as amended from time to time) is adopted as part of this Policy Handbook and its terms are deemed terms of this Policy Handbook.

14.3 Supplements – Player Responsibility

(a) Players are encouraged to adopt a "food first" philosophy, whereby an individualised nutrition plan should be adequate for most Players. Supplements

may be required in cases where diet does not meet the nutritional needs of a Player, or in the context of a specific training regime.

- (b) Where Players consider Supplement use, they:
 - (i) Must ensure compliance with the <u>Australian Football Anti-Doping Code</u>;
 - (ii) Should only consider use on an individual basis and as part of a comprehensive nutrition and training program;
 - (iii) Should ensure that any use is safe and effective based on evidence including by using the <u>AIS Sports Supplement Framework</u> which categorises Supplement use into four groups according to scientific evidence and other practical considerations that determine whether a product is safe, permitted and effective in improving sports performance:

Supplement Group	Supplement Category
A	Strong scientific evidence for the use in specific situations in sport using evidence-based protocols. May include sports foods, medical supplements, and performance supplements.
В	Emerging scientific support, deserving of further research. Considered for use by athletes under research protocol or case-managed monitoring situation.
С	Scientific evidence not supportive of benefit amongst athletes or no research undertaken to guide an informed opinion.
D	Banned or high risk of contamination with substances that could lead to a positive doping test and should not be taken by any Player.

(iv) Should use risk mitigation measures including ensuring products have been through Batch Testing and are registered with the Therapeutic Goods Administration (TGA).

PART D - HEALTH & SAFETY

What is the purpose of Part D?

- Part D aims to promote a healthy and safe environment within Australian Football. It sets out requirements around injury management, the use of protective equipment and key considerations for Player health and safety including concussion management, managing extreme weather, the importance of ensuring protection from the sun and ultraviolet (UV) light, playing whilst pregnant or post-partum and managing active bleeding.

15. Injury management

15.1 AFL statement on injury management

- (a) The AFL expects that Matches at all levels are played in a safe environment. To ensure the prevention of, and prompt attention to, injuries in Australian Football, it is important that adequate and timely first aid is delivered. First Aid Providers play a key role in player preparation and safety at all levels.
- (b) It is important that First Aid Providers are trained in the first aid needs relevant to Australian Football at the level at which they are involved.
- (c) A First Aid Provider involved with a Club should have a clear understanding of the role and importance of emergency and injury management in Australian Football and injury prevention.
- (d) A First Aid Provider must read and understand <u>The Management of Sport-Related</u> Concussion in Australian Football.

15.2 Minimum requirements for matches and training

- (a) Unless otherwise notified by the AFL, each Controlling Body and Club must ensure that at each Match or training session:
 - (i) at least one First Aid Provider with Appropriate Minimum Qualifications (see Section 15.3) for the relevant level of Australian Football is in attendance;
 - (ii) a first aid kit and adequate sport-specific rescue/transport equipment (e.g. stretcher) are available; and
 - (iii) there is marked venue access for emergency vehicles.
- (b) Where the minimum requirements specified in Section <u>15.2(a)</u> are not met, the Match or training session may be postponed, rescheduled or cancelled and must not commence until such time as the minimum requirements are met.
- (c) In addition to the minimum requirements, the AFL strongly recommends that at each Match and training session:
 - (i) each Club has access to an automated external defibrillator (AED); and
 - (ii) each First Aid Provider in attendance is easily identifiable (e.g. by wearing a vest or armband).

15.3 Appropriate Minimum Qualifications

(a) Unless otherwise notified by the AFL, for the purposes of this Policy Handbook, **Appropriate Minimum Qualifications** means the minimum qualifications set out in the following Table:

Level	Tier 1 Competition (e.g. State League)	All Other Competitions
Recommended	AFL Level 2 Sports Trainer or Qualified Medical Professional	AFL Level 1 or Level 2 Sports Trainer or Qualified Medical Professional
Minimum	AFL Level 1 Sports Trainer or Qualified Medical Professional	AFL First Aider or Qualified Medical Professional

- (b) The terms specified in Table 1 have the following meanings:
 - (i) AFL First Aider means a person who has:
 - (A) obtained a nationally accredited first aid and CPR qualification which is current and up-to-date and includes assessed competencies HLTAID011 (Provide First Aid) and HLTAID009 (Provide Cardiopulmonary Resuscitation); and
 - (B) has completed the AFL First Aid and Concussion Management online module within the previous 24 months.

[Guidance note: HLTAID011 (Provide First Aid) remains valid for 3 years after completion. HLTAID009 Provide Cardiopulmonary Resuscitation remains valid for 1 year (and must be renewed annually).]

- (ii) Level 2 Sports Trainer means a person who has:
 - (A) completed a Controlling Body-approved Level 2 Sports Trainer Course which is current and up to date; and
 - (B) has completed the AFL First Aid and Concussion Management online module within the previous 24 months.

[Guidance note: HLTAID011 (Provide First Aid) and HLTAID009 Provide Cardiopulmonary Resuscitation are both prerequisites for a Level 2 Sports Trainer Course. HLTAID011 (Provide First Aid) remains valid for 3 years after completion. HLTAID009 Provide Cardiopulmonary Resuscitation remains valid for 1 year (and must be renewed annually).]

- (iii) Level 1 Sports Trainer means a person who has:
 - (A) completed a Controlling Body-approved Level 1 Sports Trainer Course which is current and up to date; and
 - (B) has completed the AFL First Aid and Concussion Management online module within the previous 24 months.

[Guidance note: HLTAID011 (Provide First Aid) and HLTAID009 Provide Cardiopulmonary Resuscitation are both prerequisites for a Level 1 Sports Trainer Course. HLTAID011 (Provide First Aid) remains valid for 3 years after completion. HLTAID009 Provide Cardiopulmonary Resuscitation remains valid for 1 year (and must be renewed annually).];

(iv) Qualified Medical Professional (QMP) means a person who:

- (A) is a qualified and AHPRA registered medical practitioner, paramedic, physiotherapist, or nurse with appropriate first aid competencies (including or equivalent to HLTAID011 (Provide First Aid) and HLTAID009 (Provide Cardiopulmonary Resuscitation)); and
- (B) has completed the AFL First Aid and Concussion Management online module within the previous 24 months.
- (c) A First Aid Provider must hold a current working with children check (or equivalent) or otherwise meet the working with children requirements in their State or Territory.

15.4 Registration of First Aid Providers

- (a) To register as a First Aid Provider, a person must complete registration on PlayHQ by accurately and honestly completing the relevant registration form.
- (b) Following completion of the registration process set out in 15.4(a), the AFL may, based on the information provided, request additional information from the applicant including a current National Police Check.
- (c) If information (including any National Police Check) provided to the AFL discloses that a First Aid Provider has been convicted of, or is charged with, a Serious Criminal Offence the AFL may revoke that Person's registration and notify that person's Club.

16. Protective equipment

16.1 Laws of the Game

- (a) Law 9 of the Laws of the Game regulates the management and use of Protective Equipment in Australian Football.
- (b) Section 16 is supplementary to Law 9 of the Laws of the Game and aims to assist Controlling Bodies to apply Law 9 of the Laws of the Game and regulate the use of Protective Equipment.

16.2 Categories of Protective Equipment

- (a) Protective Equipment will be categorised as follows:
 - (i) Category 1 Protective Equipment;
 - (ii) Category 2 Protective Equipment; and
 - (iii) Category 3 Protective Equipment.
- (b) The three categories of Protective Equipment are defined in Appendix 5.

16.3 Using Protective Equipment

- (a) During a Match, a Player may use:
 - (i) Category 1 Protective Equipment: no prior notification, inspection or approval is required;

- (ii) Category 2 Protective Equipment: inspection of Class 2 Protective Equipment by relevant Controlling Body required prior to the relevant Match;
- (iii) Category 3 Protective Equipment: prior approval of the relevant Controlling Body required in accordance with Section <u>16.3(b)</u>.
- (b) A Controlling Body may only grant approval under Section 16.3(a)(iii) following a physical inspection of the Class 3 Protective Equipment. To assist a Controlling Body with its assessment, Players are encouraged to provide medical certification from a Qualified Medical Practitioner which outlines the clinical need for the Class 3 Protective Equipment and confirms that it does not pose any unreasonable safety risk to the Player or others.
- (c) Any approval granted in respect of Category 3 Protective Equipment may be withdrawn by the relevant Controlling Body at any time.

16.4 Prohibited protective equipment

Equipment with any of the following characteristics will not be classified as Protective Equipment and must not be approved by a Controlling Body:

- (a) equipment made with metal;
- (b) equipment with an exposed hinge;
- (c) equipment with an exposed point, strap or edge;
- (d) equipment with sharp edges;
- (e) equipment made with hard plastic (other than Thermoplastic where there is sufficient exterior padding).

16.5 Mouthguards

- (a) Mouthguards have a definite role in preventing injuries to the teeth and face and for this reason they are strongly recommended at all levels of football. Mouthguards should be worn for all Matches and contact training sessions.
- (b) Custom made mouthguards offer the best protection and comfort for the Player and should be used by all Players.

[Guidance note: a 'boil and bite' mouthguard will provide better protection than no mouthguard and should be used if a custom made mouthguard cannot be sourced.]

16.6 Spectacles

Players who wish to wear spectacles during Matches and training sessions should wear spectacles with plastic frames and plastic lenses. A band must also hold the spectacles on securely.

17. Extreme weather

17.1 AFL statement on extreme weather

Environmental factors may affect the playing of Australian Football. On some occasions, extreme weather conditions (for example, heat, humidity, lightning, poor air quality) may lead to postponement or cancellation of matches, or the implementation of other measures to ensure the safety of Players and Football Officials. This Section sets out the approach that each Controlling Body must adopt when assessing extreme weather conditions.

17.2 Extreme heat

(a) Effects of extreme heat

Heat stress can impair the wellbeing of Players and Football Officials (for example, dizziness, headaches and collapse). In extreme cases, heat stroke can develop, which may be life threatening. Preventing and managing heat stress and injury will ensure safe performance and may improve Player recovery. To prevent and manage heat stress, careful planning and preparation is required in accordance with this Section.

(b) Controlling Body responsibility

- (i) A Controlling Body must:
 - (A) assess the heat stress risk by regularly reviewing weather information provided by the Bureau of Meteorology; and
 - (B) use reasonable endeavours to monitor the implementation of heat stress management strategies by Clubs and Players.
- (ii) Where there is a risk of heat stress, a Controlling Body must use reasonable endeavours to schedule Matches:
 - (A) to avoid extremes of heat;
 - (B) allow for increased rest and recovery breaks; and
 - (C) at venues equipped with cooling facilities (for example, cool room (where possible), misting fans, shade and air conditioning).
- (iii) In addition, a Controlling Body may undertake the following measures to mitigate heat stress:
 - (A) increase the number of water carriers to run drinks at Matches;
 - (B) increase the length of intervals to enable teams to leave the field for the shade of the rooms at each break;
 - (C) reduce length of quarters;
 - (D) postpone or reschedule Matches.

(c) Club responsibility

- (i) A Club must monitor environmental factors such as extreme heat in respect of Matches and any training sessions administered by the Club. The Club should assess the heat stress risk by regularly reviewing information provided by the Bureau of Meteorology. Heat stress management strategies should also be implemented at all Matches and training sessions.
- (ii) A Club must use reasonable endeavours to:
 - (A) coordinate training times to avoid extreme heat conditions;
 - (B) use cooling aids during Matches and training sessions such as ice vests, water spray bottles, cold or iced towels, misting fans (in change rooms and on interchange bench) and shade including portable shade structures;
 - (C) provide heat permeable apparel to Players;
 - (D) report incidents of heat stress illness to the relevant Controlling Body;
 - (E) ensure a Club Football Official is available to monitor and manage Players for heat stress issues as they arise during a Match;
 - (F) provide adequate fluids (water and/or sport drinks) in appropriate bottles; and
 - (G) ensure trainers are fit enough to access as many players as possible during the game.

(d) Player responsibility

A Player must ensure that the impact of environmental factors such as extreme heat is not exacerbated by their own conduct and take measures to:

- (i) use cooling strategies before, during and after Matches (for example, cool shower, ice towels or vest on neck and torso)
- (ii) ensure adequate fluid intake prior to and during Matches (500-700mls per quarter);
- (iii) monitor hydration, if practical, by pre-and post weighing and replace lost fluids (weight)
- (iv) notify Club Football Officials when affected by heat or when performance is noticeably affected;
- (v) not play in the heat with an existing illness; and
- (vi) apply sun protection sunscreen at least 30 minutes before exposure to the sun.

[Guidance Note: Sunscreen with a UPF rating above 15 is suitable however the AFL recommends a 50+ UPF rating]

17.3 Lightning

(a) **AS1768-2007**

- (i) A Controlling Body must use best endeavours to comply with AS1768-2007, entitled The Lightning Protection Standard, published on 10 January 2007 (**Lightning Standard**).
- (ii) While the Lightning Standard will not necessarily prevent damage or personal injury due to lightning, it will reduce the probability of such damage or injury occurring.

(b) 30/30 Safety Guideline

- (i) In the absence of specific information from a weather radar, lightning location system or specialised warning device then the relevant Controlling Body and Club(s) must refer to the 30/30 Safety Guideline.
- (ii) The 30/30 Safety Guideline specifies that where lightning is considered to be a possible or actual threat to a Match or training session the following procedures and considerations apply:
 - (A) The observation of approaching storm clouds, the first flash of lightning or clap of thunder, no matter how far away should heighten lightning awareness. The level of risk depends on one's location (direction and distance) relative to the storm cell and the direction in which the storm system is traveling.
 - (B) A simple method of determining the distance to the storm cell is to measure the time elapsed from when the lightning flash is observed and when the associated clap of thunder is heard.
 - (C) Light travels faster than sound. Assuming that the light from the flash reaches the observer instantaneously, and knowing that sound takes approximately three (3) seconds to travel one (1) kilometre, the distance can be determined by using the following rule:
 - distance (in km) = time from observing the flash to hearing thunder (in 3 seconds).
 - (D) It is important to remember that lightning may be obscured by clouds so it must be assumed that when thunder is heard, lightning is in the vicinity. In such cases, careful judgment must be used to determine whether a threat exists.
 - (E) The accepted "safe" distance from lightning is greater than 10km. This means that as the time interval between observing the flash and hearing the thunder approaches 30 seconds, all those in exposed areas should be seeking or already inside safe shelters. A storm cell with lightning activity within 10km constitutes a threat.
 - (F) It is recommended that people wait a minimum of 30 minutes after the last sighting of lightning or sound of thunder. This figure is based on the observation that the typical storm moves at about 40km/h. Thus, waiting 30 minutes allows the thunderstorm to be about 20km away, minimising the likelihood of a nearby lightning strike.

(G) It is important to emphasise that blue skies and lack of rainfall are not adequate reasons to breach the 30 minute minimum return-to-activity rule.

(c) General lightning safety guideline

(i) Prior to Match Day

A Controlling Body must assess the thunderstorm activity and lightning risk to Matches and training sessions by regularly reviewing weather information provided by the Bureau of Meteorology.

(ii) Match Day

- (A) If lightning is predicted within 10km of a Match venue at the scheduled starting time, that Match may be delayed or suspended by the relevant Controlling Body or Umpire(s).
- (B) A decision to resume play in respect of a Match which has been delayed or suspended due to lightning will be made by the Controlling Body or Umpire(s) based on information obtained from the Bureau of Meteorology and in consultation with the relevant Clubs. As per 17.3(b)(ii)(F), it is recommended that at least 30 minutes have elapsed since the last sighting of lightning or sound of thunder.

(d) Club responsibility

- (i) A Club must monitor environmental factors such as lightning in respect of Matches and any training sessions administered by the Club. The Club should assess the lightning risk by reviewing information provided by the Bureau of Meteorology.
- (ii) Where there is a risk of lightning, a Club must adhere to the following general guidelines:
 - (A) If a lightning threat emerges, a nominated Club Football Official must contact all relevant coaching, rehabilitation and training staff and provide updates on a regular basis.
 - (B) A decision to delay, suspend or resume training should be made in consultation with relevant coaching and administration staff.
 - (C) If Players are training when the lightning threat becomes real, then they should leave the training venue immediately and take shelter inside a building or car. They should not shelter under or near trees.
 - (D) Once the storm's path has been reassessed, there must be a minimum of 30 minutes elapsed before returning to training.
 - (E) Where there is no access to Bureau of Meteorology information, the 30/30 Safety Guideline serves as a guide for the suspension and subsequent resumption of activities.

17.4 Air Quality

(a) Effects of poor air quality

Exposure to poor air quality – such as bushfire smoke or pollution – affects individuals differently based on their overall health and pre-existing conditions (e.g. asthma or other respiratory illnesses). Physical activity during such conditions can significantly increase respiratory rate and volume, heightening the risk of breathing difficulties and respiratory complications.

(b) General air quality guideline

- (i) PM2.5 refers to fine particulate matter with a diameter of less than 2.5 micrometres. These particles are a key indicator of air quality and are commonly elevated during bushfires and other pollution events. Elevated PM2.5 levels can pose risks to health, particularly for individuals with respiratory conditions.
- (ii) When air quality is compromised or at risk, the following recommended actions should be considered:

PM2.5 Level (µg/m³)	Air Quality	Recommended action
PM2.5 less than 25	Good	Normal outdoor activity
PM2.5 between 25 – 30	Fair	Normal outdoor activity; players with existing respiratory conditions should take additional care
PM2.5 between 51 – 150	Poor	Where possible, limit duration of outdoor training or train indoors
PM2.5 greater than 150	Hazardous	Avoid outdoor training and consider postponing or suspending matches

(iii)

The PM2.5 level can be monitored by reviewing information provided by the Bureau of Meteorology or apps including <u>AirRater</u> and <u>AirMatters</u>.

(c) Controlling Body and Club responsibility

- (i) Where a Controlling Body or Club is notified or it is apparent, that air quality may be poor or hazardous:
 - (A) A Controlling Body and/or Club should monitor and assess air quality risk in respect of Matches
 - (B) A Club should monitor and assess air quality risk in respect of any training sessions administered by the Club
- (ii) Where there is poor or hazardous air quality, a Controlling Body and/or Club should consider following the recommended actions outlined in Section 17.4(b).

18. Sun / UV protection

18.1 AFL statement on sun / UV protection

(a) A Person may be exposed to ultraviolet (UV) light when participating in Australian Football. Prolonged exposure to UV light can result in sunburn, chronic sun damage to both skin and eyes and increase the risk of precancerous and cancerous skin lesions. Sport-specific factors such as increased sweating can increase skin photosensitivity and result in an increased risk of sunburn. A Person should undertake sun protection measures for all outdoor activities, particularly when the UV levels are 3 or greater. The World Health Organisation (WHO) outlines the UV radiation exposure categories or UV Index (UVI) with levels from 0 low to 11+ extreme.

Exposure Category	UVI Range
Low	< 2
Moderate	3 to 5
High	6 to 7
Very High	8 to 10
Extreme	11+

(b) Australian Football is only one contributor to a Person's overall sun exposure and a Person should apply these guidelines to non-football related leisure and work activities.

18.2 Club and Person responsibility

- (a) Clubs are encouraged to develop specific sun protection guidelines that are relevant to their geographic location and training requirements. A sport-specific sun protection guideline template can be found on the SunSmart website.
- (b) Club Officers should download the SunSmart Mobile Application to assist with monitoring of daily UV levels.
- (c) Where possible, Clubs should reduce sun exposure for Players and Football Officials by scheduling outdoor training sessions outside of times of peak UV levels (i.e. early morning or late afternoon noting that UV levels are higher in the middle of the day).
- (d) Training sessions undertaken when UV levels are high should be reduced in duration where possible.
- (e) Clubs should provide shade for Football Officials participating at training sessions and Matches. Additional shade structures on the benches for Matches should also be considered when matches are played when high UV levels are 3 or greater.

- (f) At times when Players and Football Officials have an increased risk of sun exposure, the following additional measures should be employed:
 - (i) SPF 50+ water-resistant sunscreen should be available at all outdoor training sessions and Matches when the UV levels are (or are expected to be) greater than 3. Expired sunscreen should not be used.
 - (ii) Players and Football Officials should be educated by Clubs to apply a generous amount of sunscreen to all exposed skin at least 30 minutes before sun exposure and sweating occurs and reapplied every 2 hours.
 - (iii) Zinc based sunscreens can be used as an alternative and applied to high exposure areas such as the face.
 - (iv) Where possible, long-sleeved clothing, with Ultraviolet Protection Factor (UPF) should be worn. A UPF rating above 15 is suitable however the AFL recommends a 50+ UPF rating.
 - (v) Players and Football Officials should wear a hat and sunglasses for outdoor training sessions where practical.
- (g) Players and Football Officials should perform regular self-examination of their skin and consult a doctor if they become aware of any changes. These might include new freckles or moles or a change in size, colour or shape.

19. Concussion

<u>The Management of Sport-Related Concussion in Australian Football</u> (as amended from time to time) is adopted as part of this Policy Handbook and its terms are deemed terms of this Policy Handbook.

20. Pregnancy and postpartum

20.1 AFL statement on pregnant players

- (a) The AFL respects the rights of Players who are pregnant, breastfeeding or the carer of a child to participate in Australian Football and is committed to providing support to assist them to do so. The AFL prohibits any actions that may amount to discrimination against a Player because of the Player's pregnancy, breastfeeding or status as the carer of a child.
- (b) While many sporting activities are safe for pregnant Players, there may be risks that apply during pregnancy. Those risks will depend on the nature of the sporting activity and the pregnant Player's individual circumstances.
- (c) The AFL respects and supports the rights of pregnant Players who, in consultation with medical professionals, elect to continue to participate in Australian Football competitions, provided that at all times the health and wellbeing of the Player and their unborn child is paramount.
- (d) Notwithstanding Sections 20.2(a) and 20.3(c), the AFL strongly recommends that, once a Player is aware that they are pregnant, that they do not participate in **contact** sporting activities due to the increased risks, including but not limited to, direct trauma, placental injury (in turn to the unborn baby) and maternal injury.

20.2 Club and Player responsibility

- (a) Where a pregnant Player seeks to participate in Australian Football training and / or matches, the Player must
 - (i) obtain written confirmation from an Obstetrician or their treating General Practitioner (GP) supporting the Player's participation in Australian Football training and/or matches whilst pregnant, including medical advice outlining the doctor's opinion regarding:
 - (A) the risks associated with participating in the Australian Football competition and associated training while pregnant;
 - (B) taking into account their individual circumstances, whether it is safe for the Player and their unborn baby to continue participating in the Australian Football competition and associated training, including for how long and whether any modifications are required; and
 - (C) any other information that the doctor considers relevant.
 - (ii) undertake regular review throughout the pregnancy with their Obstetrician or General Practitioner (GP) to provide updated medical advice, in line with the physiological and anatomic changes to the pregnant Player and their unborn baby; and
 - (iii) not participate, or continue to participate, in Australian Football training and/or matches if:
 - (A) written confirmation in accordance with sub-clause (i) above has not been obtained; or
 - (B) in circumstances where the Player has not undertaken regular reviews with their Obstetrician or General Practitioner (GP) in accordance with subclause (ii) above; or
 - (C) the Player's Obstetrician or General Practitioner (GP) no longer supports the Player's participation whilst pregnant.
- (b) A pregnant Player who participates in Australian Football training and / or matches is not covered for injuries wholly or partly attributable to pregnancy or childbirth under the <u>Australian Football National Risk Protection Program.</u>
- (c) Where a Player has advised a Club that they are pregnant, the Club:
 - (i) must confirm that they have sighted the written confirmation, from an appropriately qualified medical practitioner, supporting the player's continued participation in the Australian Football competition and associated training, including for how long and whether any modifications are required; and
 - (ii) must ensure that all information provided by a Player about their pregnancy is kept strictly confidential unless the express written consent of the Player is provided. Announcements regarding the Player's pregnancy must only be made in consultation with the Player.

20.3 Exercising whilst pregnant

- (a) Regular medical assessments with Obstetricians, General Practitioners or midwives, with focus on fetal and maternal health, should continue throughout the pregnancy.
- (b) Every pregnancy is unique, and decisions to continue to participate in exercise throughout the pregnancy (including the nature and intensity of exercise) should be made based on medical advice for the individual Player.
- (c) Decisions to continue to exercise should consider the baseline fitness of the Player, specifics of the pregnancy (e.g. multiple birth, previous complications) and any medical conditions.
- (d) Moderate level exercise in an uncomplicated pregnancy has numerous benefits for the pregnant Player including:
 - (i) Maintaining maternal fitness, strength and endurance;
 - (ii) Reduced risk of high blood pressure in pregnancy;
 - (iii) Prevention of excessive weight gain;
 - (iv) Reduced lower back and pelvic pain;
 - (v) Reduced incidence of continence issues; and
 - (vi) Positive psychological benefits.
- (e) Exercising whilst pregnant has potential risks including:
 - (i) Overheating with risk to the developing baby;
 - (ii) Dehydration;
 - (iii) Trauma to the unborn baby or damage to the placenta;
 - (iv) Changes to balance as the baby develops; and
 - (v) Increased predisposition to lower back and pelvic pain and other soft tissue injuries
- (f) In accordance with Sections 20.3(a) (e), a pregnant Player should:
 - (i) continue to participate in their usual exercise and physical activities (excluding participation in contact sporting activities), ensuring that they remain well hydrated and adequately fuelled noting that morning sickness can result in reduced energy and dehydration.
 - (ii) aim to maintain, rather than increase, their level of fitness during pregnancy.
 - (iii) avoid exercising in very hot or humid conditions and use of saunas or spas to reduce the risk of overheating.

- (iv) consider limiting exercise that causes excessive stress on the pelvic floor or increases the likelihood of soft tissue injury (e.g. hopping, jumping or change of direction activities).
- (v) start regular pelvic floor exercises early in pregnancy and continue throughout.
- (vi) ensure optimal breast support.

20.4 Return to play postpartum (after childbirth)

- (a) Postpartum, a Player:
 - (i) may resume exercise once comfortable (and wounds healed if Caesarean birth);
 - (ii) may generally resume weight bearing exercise (e.g. running) from six weeks (or eight weeks if Caesarean birth) as long as no pelvic floor symptoms / issues:
 - (iii) may return to football training and playing upon obtaining clearance from an appropriately qualified medical practitioner; and
 - (iv) should continue pelvic floor exercises
- (b) If there are any pelvic floor symptoms (leaking urine or faeces or heaviness or dragging in the pelvis) players should seek prompt medical advice and should not run or play football.
- (c) If breast feeding, caloric intake should be increased to match the increased energy demands of high intensity exercise.
- (d) Good breast support during exercise is important.
- (e) Dehydration should be avoided and a focus on good hydration is paramount.

21. Active bleeding

Law 24 of the Laws of the Game regulates the management of active bleeding in Australian Football.

PART E - DISCIPLINARY

What is the purpose of Part E?

- This Part E (Disciplinary) sets out how alleged Reportable Offences and Policy Breaches are dealt with.
- Alleged Reportable Offences are processed in accordance with Section <u>22</u>, which covers Umpire reports, the referral and investigation of alleged Reportable Offences, Notices of Charge and Early Guilty Pleas.
- Alleged Policy Breaches are processed in accordance with Section 23, which covers the submission and investigation of written complaints, Notices of Breach and decisions available to the AFL and Controlling Bodies following an investigation of a Policy Breach.
- Following the processes under Section <u>22</u> or Section <u>23</u>, a matter may be referred to the Tribunal. Section <u>25</u> deals with the operation and powers of the Tribunal. Section <u>26</u> deals with appeals from the Tribunal to the Appeal Board.
- The points above are for background only and are not operative terms of this Policy Handbook.
- The AFL is responsible for developing and implementing an education plan addressing the content and subject matter of this Part E.
- The AFL may, as required under the education plan, direct Relevant Bodies or Persons in particular roles to complete education modules applicable to those roles.

22. Reportable Offences

22.1 Making a report

(a) Notice of Report – Umpire report

- (i) Subject to Section 22.1(a)(ii), if an Umpire considers that a Person has committed a Reportable Offence, the Umpire will use their best endeavours to inform the Person that they have been reported either:
 - (A) immediately following the relevant incident;
 - (B) before the commencement of the quarter following the relevant incident; or
 - (C) if the relevant incident occurs in the final quarter, as soon as reasonably practicable after the completion of the Match.
- (ii) An Umpire may inform the captain, acting captain or Club Officer of a report where it is impractical to inform the Person.
- (iii) As soon as practicable after completion of the Match, the Umpire must:
 - (A) complete a Notice of Report;
 - (B) lodge the Notice of Report together with the Match paperwork to the Controlling Body; and

(C) retain a copy of the Notice of Report,

provided that the Notice of Report must be lodged no later than twenty four (24) hours after completion of the relevant Match or at such other time as the Controlling Body determines.

(b) Incident Referral Form – Umpire or Club incident referral after Match

- (i) If an Umpire considers that a Person may have committed a Reportable Offence but did not report that Person under Section 22.1(a), then as soon as practicable after completion of the Match, the Umpire must:
 - (A) complete an Incident Referral Form; and
 - (B) lodge the Incident Referral Form with the Controlling Body in the manner prescribed by the Controlling Body from time to time (together with the Match paperwork if possible),

provided that the Incident Referral Form must be lodged no later than 5:00pm on the next business day after the relevant Match or at such other time as the Controlling Body determines.

[Guidance note: If an Incident Referral Form is lodged, refer to Section 22.2.]

- (ii) If a Club considers that a Person has committed a Reportable Offence, the Club may:
 - (A) complete an Incident Referral Form, or such other form as prescribed by the Controlling Body; and
 - (B) lodge the Incident Referral Form with the Controlling Body in the manner specified by the Controlling Body from time to time,

provided that the Incident Referral Form must be lodged no later than 5:00pm on the next business day after the relevant Match or at such other time as the Controlling Body determines.

[Guidance note: If an Incident Referral Form is lodged, refer to Section 22.2.]

- (iii) The Controlling Body may levy a fee for the administration of an Incident Referral Form lodged by a Club in accordance with Section 22.1(b)(ii), which may be refunded if the Controlling Body determines that the Person who is the subject of the Incident Referral Form has committed a Reportable Offence.
- (iv) An Incident Referral Form lodged by a Club in accordance with Section 22.1(b)(ii) may not be withdrawn by the Club after it has been lodged with the Controlling Body.

(c) Incident Referral Form - Power of Executive Officer

Notwithstanding any other provision of this Policy Handbook, if an Executive Officer (or their nominee) considers that a Person has committed a Reportable Offence during a Match, the Executive Officer (or their nominee) may report that Person by completing an Incident Referral Form for assessment by the Controlling Body under Section 22.2(c).

22.2 Investigating an incident

(a) **Assessment**

As soon as practicable after a Controlling Body receives:

- (i) a Notice of Report under Section <u>22.1(a)(iii)</u>;
- (ii) an Incident Referral Form under Section 22.1(b)(i), 22.1(b)(ii) or 22.1(c); or
- (iii) a referral under Section 23.2(a)(i)(D) or 23.2(c)(i)(F),

the Controlling Body must undertake an assessment of the alleged Reportable Offence set out in the Notice of Report or Incident Referral Form or referral and following its assessment, the Controlling Body may, subject to Section 22.2(c), complete a Notice of Charge.

[Guidance note: If a Notice of Charge is completed, refer to Section 22.3.]

(b) Match Review Panel

- (i) A Controlling Body may appoint any number of Persons to assist with the assessment of alleged Reportable Offences, including the review of Match footage (**Match Review Panel**). For the avoidance of doubt, the Match Review Panel may comprise one Person.
- (ii) If instructed by a Controlling Body, the Match Review Panel may:
 - (A) assist an Executive Officer in relation to a determination under Section 22.1(c); or
 - (B) assist the Controlling Body in relation to an assessment under Section 22.2(a); or
 - (C) review Match footage and/or investigate any incident which occurred during a Match using reasonable measures (including by interviewing any relevant Person and taking witness statements) to assess whether a Reportable Offence has been committed during a Match.
- (iii) If, after an assessment under Section 22.2(b)(ii)(C), the Match Review Panel considers that a Person has committed a Reportable Offence, the Match Review Panel or the Controlling Body must, subject to Section 22.2(c), complete a Notice of Charge.

[Guidance note: If a Notice of Charge is completed by the Match Review Panel, refer to Section 22.3.]

(c) Section 10 Conduct

- (i) Where any offence reported under Section <u>22.1</u> involves conduct that is considered to constitute vilification or discrimination:
 - (A) the offence is to be dealt with under Section <u>10</u> of this Policy Handbook; and

(B) any Notice of Report or Incident Referral Form relating to the offence will be deemed to be a Notice of Complaint for the purposes of Section 23.1.

22.3 Notice of Charge

(a) Issuing Notice of Charge

If:

- (i) a Controlling Body completes a Notice of Charge under Section 22.2(a); or
- (ii) a Match Review Panel completes a Notice of Charge under Section 22.2(b)(iii),

then the Controlling Body must, as soon as practicable after the relevant Match, issue the Notice of Charge to the charged Person and that Person's Club.

[Guidance note: Once a Notice of Charge is issued, refer to Section 22.4.]

(b) Withdrawal of Notice of Charge

- (i) Subject to Section 22.3(a)(ii), a Match Review Panel or Controlling Body may withdraw a Notice of Charge completed under Section 22.2(a) or 22.2(b)(iii) (as applicable) at any time prior to a Tribunal hearing with notice of the withdrawal to be given to the charged Person and that Person's Club.
- (ii) Where a Notice of Charge has been issued with an Early Guilty Plea offer and that Early Guilty Plea offer has been accepted by the charged Person, the Notice of Charge cannot then be withdrawn or amended or a new Notice of Charge issued for the same offence.

(c) Notice of Charge

A Notice of Charge must categorise and grade (if applicable) the alleged Reportable Offence based on the categories and gradings of Reportable Offences specified in Appendix 1.

22.4 Early Guilty Plea – Reportable Offence

(a) Person may enter Early Guilty Plea

Subject to Section 22.4(c), where a Person has been issued a Notice of Charge, that Person may enter an Early Guilty Plea in relation to the Reportable Offence set out in the Notice of Charge and accept the Early Guilty Plea penalty prescribed by the Controlling Body in accordance with Appendix 1 or elect to contest the Notice of Charge, by no later than 5:00pm on the next business day after receipt of the Notice of Charge, or such other time as the Controlling Body determines.

[Guidance note: Sometimes an Early Guilty Plea will not be available because a Controlling Body may refer the Notice of Charge directly to the Tribunal under Section 22.4(c).]

(b) **Proceed to Tribunal hearing**

(i) If a Person elects to contest a Notice of Charge issued under Section <u>22.4(a)</u> then the Controlling Body must refer the matter to the Tribunal and, subject

to Sections $\underline{25.4(a)(iv)}$ and $\underline{25.4(a)(vi)}$, that Person will not be entitled to any reduction to the sanction applicable to the Reportable Offence as per Appendix 1.

- (ii) If a Person fails to respond to a Notice of Charge issued under Section 22.4(a) then the Controlling Body may:
 - (A) refer the matter to the Tribunal to be dealt with in accordance with Section <u>25</u> and, subject to Sections <u>25.4(a)(iv)</u> and <u>25.4(a)(vi)</u>, that Person will not be entitled to any reduction to the sanction applicable to the Reportable Offence as per <u>Appendix 1</u>; or
 - (B) apply the Early Guilty Plea penalty without referring the matter to the Tribunal.

(c) Early plea not available

(i) On issuing a Notice of Charge under Section <u>22.3(a)</u>, a Controlling Body may refer the Notice of Charge directly to the Tribunal to be determined under Section <u>25</u>.

[Guidance note: Refer to the Direct Tribunal Offences provisions set out in Clause $\underline{4}$ of Appendix 1 which must be referred directly to the Tribunal.]

(ii) If a Notice of Charge is referred directly to the Tribunal, the charged Person may not enter an Early Guilty Plea.

[Guidance note: Where a Classifiable Offence is referred directly to the Tribunal under Appendix 1 Clause 2(b)(iv), and the charged Person pleads guilty to the charge at the Tribunal, the Tribunal in its discretion may still apply a sanction under Appendix 1, Table 2 as if an Early Guilty Plea has been entered.]

23. Policy Breaches

23.1 Making a complaint

(a) Written complaint by Person

Subject to Section 23.1(b), if a Person considers that another Person has committed a Policy Breach, the first Person may submit a written complaint to:

- (i) the relevant Controlling Body (other than the AFL) by submitting a Notice of Complaint to that Controlling Body; or [Guidance note: If complaint submitted to Controlling Body, refer to Section 23.2(c).]
- (ii) the AFL via the AFL's electronic complaint submission platform (accessible here); or [Guidance note: If complaint submitted to AFL, refer to Section 23.2(a).]
- (iii) otherwise in the manner specified by the AFL from time to time.

[Guidance note: If a written compliant is made anonymously, or without supporting information details, it may restrict the ability of the Controlling Body to investigate or take direct action in relation to the complaint]

(b) Time limitation

- (i) Subject to Section 23.1(b)(ii), a written complaint under Section 23.1(a) must be submitted as soon as practicable but no later than 30 days after the alleged incident except that a written complaint which relates to an alleged breach of Section 11 (Safeguarding Children and Young People) may be submitted at any time after the alleged incident.
- (ii) If a Club (including a Club Officer) considers that a Person has committed a Policy Breach in connection with a Match, that Club must, by no later than 5:00pm on the next business day after the relevant Match or such other time as the relevant Controlling Body determines, submit a written complaint in accordance with Section 23.1(a).

[Guidance note: Clubs are held to a higher standard. Clubs must submit a complaint in relation to a suspected Policy Breach during a Match, whereas an ordinary person may choose to do so but within the time parameters stated.]

(c) Levy of fee

- (i) Subject to Section 23.1(c)(ii), a Controlling Body may levy a fee for the administration of a written complaint (with the fee amount to be determined by the Controlling Body), which may be refunded if the Controlling Body determines that the Person who is the subject of the written complaint may have committed a Policy Breach.
- (ii) The Controlling Body must not levy a fee to a Person for the lodgement or administration of a Complaint that relates to an alleged breach of Section 10 or Section 11 of the Policy Handbook.

(d) Withdrawal of complaint

A complaint submitted in accordance with Section <u>23.1(a)</u> cannot be withdrawn by the submitting party after it has been submitted, unless otherwise approved by the Controlling Body.

(e) Referral – Power of Executive Officer

Notwithstanding any other provision of this Policy Handbook, if an Executive Officer (or their nominee) considers that a Person may have committed a Policy Breach, the Executive Officer (or their nominee) may refer a matter for assessment by the Controlling Body under Section 23.2(c).

(f) Obligation to report

- (i) A Club, Football Official or Player has an obligation to report, in accordance with Section 23.1, conduct which is reasonably likely to be:
 - (A) prohibited conduct under Section 9 that relates to Abuse, Bullying, Harassment, Sexual Misconduct, Victimisation or a serious criminal offence;
 - (B) vilification or discrimination under Section 10;
 - (C) prohibited conduct under Section 11.4;
 - (D) gambling or match fixing under Section 13; or

- (E) an anti-doping rule violation under Section <u>14.2</u>.
- (ii) Subject to Section 23.1(f)(iii), failure by a Club, Football Official or Player to report such conduct without reasonable cause will be a breach of this Policy Handbook.
- (iii) A Club, Football Official or Player will not be deemed to have breached Section 23.1(f)(i) if they:
 - (A) fail to report a matter on the grounds that doing so would be a breach of any applicable law; or
 - (B) fail to report any potential breach of this Policy Handbook not stipulated under Section 23.1(f)(i).

23.2 Assessing and investigating a complaint

(a) AFL assessment following receipt of complaint

- (i) Subject to Section 23.2(a)(ii), as soon as practicable after the AFL receives a written complaint under Section 23.1(a)(ii) or from a Controlling Body under Section 23.2(c)(i)(A), the AFL must undertake an assessment of the alleged Policy Breach set out in the written complaint and following its assessment, the AFL may:
 - (A) investigate the matter in accordance with Section 23.2(b); or
 - (B) delegate the matter to the relevant Controlling Body to be dealt with in accordance with Section 23.2(d); or
 - (C) refer the matter to a government authority (including the police); or
 - (D) refer the matter to the relevant Controlling Body to be assessed as an alleged Reportable Offence in accordance with Section 22.2(a); or
 - (E) dismiss the matter where the complaint has not been made in accordance with Section 23.1 or where it does not fall within the purview of this Policy Handbook.
- (ii) As soon as practicable after the AFL receives a written complaint under Section 23.1(a)(ii) which relates to an alleged breach of Section 10.1 (Vilification and discrimination Prohibited conduct), the AFL must undertake an assessment of the alleged breach of Section 10.1 and following its assessment, the AFL must either:
 - (A) undertake the preliminary resolution process set out in Section <u>10.3</u>;
 or
 - (B) delegate the matter to the relevant Controlling Body to be dealt with in accordance with Section 23.2(d).

(b) AFL investigation

(i) Except where prohibited by law, the AFL may investigate and deal with any matter in connection with this Policy Handbook including to:

- (A) use reasonable measures to establish the facts of the alleged Policy Breach (including by interviewing any relevant Person and taking witness statements);
- (B) determine all questions arising or objections made in relation to an alleged Policy Breach;
- (C) refer any matter concerning an alleged Policy Breach for hearing and determination, in whole or in part, by a body or person appointed by the AFL;
- (D) stand down any Person subject to any action specified under Sections <u>23.2(a)</u> to <u>23.2(e)</u> (including any investigation or associated Tribunal or Appeal Board hearing) on any conditions including from participating in or in connection with a Competition;
- (E) consider external expert advice regarding cultural or religious matter or other relevant lived experience;
- (F) exercise any other powers conferred by this Policy Handbook; and
- (G) delegate any of its powers under this Policy Handbook.
- (ii) If the AFL elects to investigate a matter under this Policy Handbook, the AFL must give written notice to the Person(s) the subject of the investigation and provide the Person(s) with a reasonable opportunity to be heard, unless the AFL determines in all the circumstances that it is not appropriate to do so.
- (iii) On completion of an investigation under this Section <u>23.2(b)</u>, the AFL must proceed with the matter in accordance with Section <u>23.3(a)</u>.

(c) Controlling Body assessment following receipt of complaint

- (i) Subject to Section 23.2(c)(ii), as soon as practicable after a Controlling Body receives a written complaint under Section 23.1(a)(i) or is referred a matter under Section 23.1(e), the Controlling Body must undertake an assessment of the alleged Policy Breach and following its assessment, the Controlling Body may:
 - (A) escalate the matter to the AFL on behalf of the relevant Person or Executive Officer (as applicable) in accordance with Section 23.1(a)(ii) and notify the relevant Person(s) or Executive Officer (as applicable) of that escalation; or
 - (B) procure the relevant Person to submit their written complaint to the AFL in accordance with Section 23.1(a)(ii); or
 - (C) investigate the matter in accordance with Section 23.2(e); or
 - (D) complete a Notice of Breach in accordance with Section 23.4; or
 - (E) refer the matter to a government authority (including the police); or
 - (F) refer the matter for assessment as an alleged Reportable Offence in accordance with Section 22.2(a); or

- (G) dismiss the matter where the complaint has not been made in accordance with Section 23.1 or where it does not fall within the purview of this Policy Handbook.
- (ii) As soon as practicable after a Controlling Body receives a written complaint under Section 23.1(a)(i) which relates to an alleged breach of Section 10.1 (Vilification and discrimination – Prohibited conduct), the Controlling Body must undertake an assessment of the alleged breach of Section 10.1 and following its assessment, the Controlling Body must either:
 - (A) undertake the preliminary resolution process set out in Section <u>10.3</u>;
 or
 - (B) escalate the written complaint to the AFL on behalf of the relevant Person in accordance with Section 23.1(a)(ii) and notify the relevant Person(s) of that escalation.

[Guidance note: A Controlling Body has numerous options once a complaint is received and assessed. The Controlling Body must be clear about which Section(s) of the Policy Handbook the alleged Policy Breach(es) relates to before choosing a course of action.]

(d) Controlling Body assessment following delegation by AFL

As soon as practicable after the AFL delegates a matter to a Controlling Body under Section 23.2(a)(i)(B), the Controlling Body must undertake an assessment of the alleged Policy Breach set out in the written complaint and following its assessment, the Controlling Body may, having regard to the AFL's findings including any recommendations (if any):

- (i) where the written complaint relates to an alleged breach of Section 10.1 (Vilification and discrimination Prohibited conduct), undertake the preliminary resolution process set out in Section 10.3; or
- (ii) investigate the matter in accordance with Section 23.2(e); or
- (iii) complete a Notice of Breach in accordance with Section 23.4.

[Guidance note: This Section only applies where the AFL delegates a matter to another Controlling Body once the AFL has assessed the matter itself. With any delegation the AFL may provide recommendations as to the appropriate course of action.]

(e) Controlling Body investigation

- (i) If a Controlling Body elects to investigate a matter under Section 23.2(c)(i)(C) or 23.2(d)(ii), the Controlling Body must:
 - (A) notify the Person(s) the subject of the investigation as soon as practicable and provide them with a reasonable opportunity to be heard; and
 - (B) use reasonable measures to establish the facts of the alleged Policy Breach (including by interviewing any relevant Person and taking witness statements).
- (ii) A Controlling Body may engage a third party, appropriately qualified investigator to assist with an investigation.

(iii) On completion of its investigation, a Controlling Body must proceed with the matter in accordance with Section 23.3(b).

(f) State Football Body stand down rule

A State Football Body may, with the prior written consent of the AFL, stand down any Person subject to any action specified under Sections 23.2(a) to 23.2(e) (including any investigation or resulting Tribunal or Appeal Board hearing) from participating in or in connection with a Competition.

[Guidance note: Generally, a Person will only be stood down in the most serious of circumstances and the AFL must consent to any proposed stand down.]

(g) Vexatious complaints

A Person (including a Disciplinary Officer or Club) must not knowingly submit a complaint that is untrue, vexatious or malicious.

(h) Cooperation with investigation

A Person the subject of an investigation under this Section <u>23.2</u> and other Persons relevant to an investigation (including, for the avoidance of doubt, Clubs) must:

- (i) cooperate with the investigation;
- (ii) answer questions and provide statements truthfully during the investigation;
- (iii) not make any false or misleading statement or act in a manner calculated to or which is likely to mislead.

(i) Investigations involving minors

Where a Person involved in an investigation under this Section <u>23.2</u> is under the age of eighteen (18) years then during the investigation that Person must always be supported by:

- (i) a parent or guardian of the Person (who may submit a written complaint on behalf of the Person in accordance with Section 23.1(a)); and
- (ii) where possible, a Club Officer.

[Guidance note: It is critical that all minors are always supported by an adult if they are the subject to or involved in any investigation.]

(j) Confidentiality

- (i) Subject to Section <u>23.2(j)(ii)</u>, a Controlling Body must use reasonable endeavours to keep confidential any information obtained under Section <u>23.2</u>.
- (ii) Information obtained under Section 23.2 may be used or disclosed by a Controlling Body in connection with investigations under this Policy Handbook and in reporting any reasonable suspicion of criminal conduct to relevant authorities. Without limiting the foregoing, a Controlling Body may disclose such information to the public where it is reasonable to do so in the interests of the open administration of AFL and State Football Body

disciplinary processes provided that no information that identifies a person will be disclosed other than a person's name and information about their participation in a Competition.

(k) Conflict of Interest

- (i) If a Controlling Body has an actual, potential or perceived conflict of interest in managing a Complaint under Section 23, it is to refer the matter to the State Football Body.
- (ii) No person who has an actual, potential or perceived conflict of interest is to be involved in the assessment, investigation or determination of a Complaint made under Section 23.

(I) Criminal Conduct

- (i) Where the AFL or Controlling Body considers that any conduct subject of a Complaint under Section 23 may constitute a criminal offence, the AFL or Controlling Body, as applicable, is to refer the matter to police.
- (ii) Where conduct, subject of a complaint under Section <u>23</u>, has been referred to police, the AFL or Controlling Body, as applicable, may continue to investigate and determine a Complaint where:
 - (A) it has the approval of the police to do so;
 - (B) any police investigation has concluded with no charges laid; or
 - (C) any criminal proceedings have been finalised.
- (iii) The AFL or Controlling Body, as applicable, may proceed to a Notice of Breach under Section 23.4 where a Person has been found guilty of a criminal offence in relation to the conduct subject of the Complaint.

23.3 Decision following investigation

(a) AFL decision following investigation

- (i) On completion of an investigation under Section <u>23.2(b)</u>, the AFL may:
 - (A) deliver its findings (including any recommendations) to the relevant Controlling Body and direct that Controlling Body to make a determination in accordance with Section 23.3(b); or
 - impose a sanction on any Person who has committed a Policy Breach on any terms and conditions, including to reprimand, suspend or deregister a Person; or
 - (C) deal with the matter in such other manner as the AFL sees fit which may include the AFL attempting to conciliate or mediate the matter or issuing a warning letter or dismissing the matter altogether.
- (ii) All decisions of the AFL, including in connection with an alleged Policy Breach, are final and subject only to any rights of appeal provided by law.

(b) Controlling Body decision following investigation

- (i) On completion of an investigation under Section 23.2(e) or as soon as practicable after a direction from the AFL under Section 23.3(a)(i)(A), the relevant Controlling Body may, having regard to the AFL's findings including any recommendations (if any):
 - (A) complete a Notice of Breach in accordance with Section <u>23.4</u>; or
 - (B) deal with the matter in such other manner as the Controlling Body determines which may include the Controlling Body attempting to conciliate or mediate the matter or issuing a warning letter or dismissing the matter altogether.
- (ii) On completing a Notice of Breach under Section 23.3(b)(i)(A), a Controlling Body may elect to refer the Notice of Breach directly to the Tribunal (to be determined under Section 24) without prescribing a sanction.

[Guidance note: Refer to the definition of "Notice of Breach" and Section 23.5 when completing a Notice of Breach.]

(c) Appealing Controlling Body decision

A decision by a Controlling Body under Section 23.3(b)(i)(B) may be appealed in accordance with Section 26.

23.4 Issuing or withdrawing Notice of Breach

(a) Issuing Notice of Breach

If a Controlling Body completes a Notice of Breach under Section 23.2(c)(i)(D), 23.2(d)(iii) or 23.3(b)(i)(A) then the Controlling Body:

- (i) must issue that Notice of Breach to the charged Person and that Person's Club (if applicable); and
- (ii) may, where deemed appropriate, provide the other Person and that Person's Club (if applicable) with a copy of the Notice of Breach.

(b) Completing a Notice of Breach

A Notice of Breach must:

- (i) provide details of the conduct that gives rise to the Policy Breach and of the section(s) of the Policy Handbook breached;
- (ii) set out the sanction prescribed by the Controlling Body (for example, a fine, reprimand or suspension) unless the matter is referred directly to the Tribunal in which case no prescribed sanction is required; and
- (iii) include the details set out in the form of the Notice of Breach set out in Appendix 7.

[Guidance note: In categorising the alleged Policy Breach under Section 23.4(b)(i), a Controlling Body may categorise that there have been multiple sections of the Policy Handbook that have been breached. For example, an abusive social media post may breach Sections 9.2(e), 9.2(h) and 12.4(a)]

[Guidance note: In setting out a sanction under Section 23.4(b)(ii), a Controlling Body may, at its discretion, offer a discount to the prescribed sanction for the acceptance of an Early Guilty Plea]

(c) Withdrawal of Notice of Breach

A Controlling Body may withdraw a Notice of Breach completed under Section 23.2(c)(i)(D) or 23.3(b)(i)(A) at any time prior to a Tribunal hearing.

23.5 Early Guilty Plea – Policy Breach

(a) Person may enter Early Guilty Plea

Subject to Section 23.5(c), where a Person has been issued a Notice of Breach, that Person may enter an Early Guilty Plea in relation to the Policy Breach and accept the Early Guilty Plea penalty prescribed by the Controlling Body in the Notice of Breach or elect to contest the Notice of Breach, by no later than 48 hours after receipt of the Notice of Breach, or such other time as the Controlling Body determines.

(b) **Proceed to Tribunal hearing**

- (i) If a Person elects to contest a Notice of Breach issued under Section 23.5(a) then the Controlling Body must refer the matter to the Tribunal and that Person will not be entitled to any reduction to the sanction available with the Early Guilty Plea penalty.
- (ii) If a Person fails to respond to a Notice of Breach issued under Section 23.5(a) then the Controlling Body may:
 - (A) refer the matter to the Tribunal to be dealt with in accordance with Section 25 and that Person will not be entitled to any reduction to the sanction available with the Early Guilty Plea penalty; or
 - (B) apply the Early Guilty Plea penalty without referring the matter to the Tribunal.

(c) Early plea not available

If a Notice of Breach is referred directly to the Tribunal in accordance with Section 23.3(b)(ii), the relevant Person may not enter an Early Guilty Plea.

24. Disciplinary Officer

- (a) The Controlling Body may, from time to time, appoint one or more Disciplinary Officers who may:
 - (i) assist with the assessment and/or investigation of an alleged Policy Breach under Section 23.2(c), 23.2(d) or 23.2(e);
 - (ii) advise the Tribunal of the particulars of a Notice of Charge or Notice of Breach;
 - (iii) advise the Appeal Board of the particulars of a Notice of Appeal;
 - (iv) make submissions in relation to a Notice of Charge, Notice of Breach or Notice of Appeal;

- (v) respond to any matters put in defence in relation to a Notice of Charge or Notice of Breach;
- (vi) ask questions of any Person appearing before the Tribunal or Appeal Board;
- (vii) where necessary or desirable in the interests of general justice and fairness, call any Person to give evidence before the Tribunal; and
- (viii) address the Tribunal or Appeal Board prior to the final submissions of any Person.
- (b) A Disciplinary Officer may, with leave of the Tribunal, amend any Notice of Charge or Notice of Breach to be determined by the Tribunal prior to or at any time during a Tribunal hearing.

[Guidance note: An umpire advocate may be appointed as a Disciplinary Officer from time to time.]

25. Tribunal

25.1 Function

- (a) The function of the Tribunal is to deal with any Notice of Charge or Notice of Breach referred to it under this Policy Handbook.
- (b) A Notice of Charge or a Notice of Breach is, for the purposes of this Section <u>25</u>, a Notice.
- (c) For the avoidance of doubt, this Section <u>25</u> only applies to a Notice of Charge or Notice of Breach referred to the Tribunal under this Policy Handbook.

25.2 Establishment

(a) Appointment of Tribunal

- (i) A Controlling Body must establish a Tribunal to serve the functions prescribed in Section <u>25.1</u>.
- (ii) To establish a Tribunal, a Controlling Body must appoint persons to the Tribunal in accordance with Section 25.2(b).

(b) Tribunal members

- (i) The Tribunal must consist of:
 - (A) a person who in the opinion of the Controlling Body possesses sufficient knowledge of Australian Football and sufficiently qualified to competently perform the role of chairperson (**Chairperson**); and
 - (B) a panel of persons who in the opinion of the Controlling Body possess sufficient knowledge of Australian Football and are sufficiently qualified to competently perform the role of Tribunal panel member (**Tribunal Panel**).
- (ii) A Controlling Body may appoint Tribunal members at any time.

(c) Qualifications of Tribunal members

Except where the Controlling Body determines otherwise, a person must not be appointed to the Tribunal if:

- (i) in the twelve (12) months preceding the relevant appointment date, that person:
 - (A) has been a member of a board of directors of a Club affiliated with the Controlling Body that has appointed the Tribunal;
 - (B) has been a coach or assistant or specialty coach of a Club affiliated with the Controlling Body that has appointed the Tribunal;
 - (C) has been a Player;
 - (D) has been an employee of a Club affiliated with the Controlling Body that has appointed the Tribunal; or
 - (E) has been a Controlling Body Officer; or
- (ii) that person has failed to meet any Tribunal-specific training or education requirements specified by the AFL from time to time.

(d) Resignation and removal of Tribunal members

- (i) A member of the Tribunal may resign by providing written notice to the Controlling Body.
- (ii) The Controlling Body may remove a member of the Tribunal at any time.

25.3 Procedure and evidence

(a) Composition of Tribunal for hearing

- (i) Except where the Controlling Body determines otherwise, at any Tribunal hearing, the Tribunal must comprise:
 - (A) the Chairperson or, in the Chairperson's absence, a member of the Tribunal Panel who will act as Chairperson; and
 - (B) a minimum of two (2) and maximum of four (4) Tribunal Panel members.
- (ii) Persons appointed as Chairperson and to the Tribunal Panel may be rotated from time to time as determined by the Controlling Body.
- (iii) No person who has an actual, potential or perceived conflict of interest in relation to a hearing may sit as the Chairperson or Tribunal Panel member for that hearing.

(b) Representation

- (i) Subject to Section <u>25.3(b)(iii)</u>, at any Tribunal hearing a Person issued with a Notice (in this Section <u>25</u>, the **charged Person**) must:
 - (A) appear in person; and

- (B) subject to Section <u>25.3(b)(ii)</u>, be represented by:
 - (1) a Club Officer;
 - (2) a barrister or solicitor unless such representation is expressly prohibited under applicable Competition rules, or
 - (3) a person who is approved by the Controlling Body.

[**Guidance note**: Refer to Section $\underline{25.3(c)}$ for information about how and when a Person may attend a Tribunal hearing. The wording "appear in person" does not necessarily mean the charged Person must be physically present at the Tribunal hearing.]

- (ii) If a charged Person appears in person and elects not to be represented in accordance with Section <u>25.3(b)(i)(B)</u> then the charged Person may act as their own advocate.
- (iii) Where a Person issued with Notice of Charge or Notice of Breach is under the age of eighteen (18) years at the time of the Tribunal hearing:
 - the Person must be represented under Section <u>25.3(b)(i)(B)</u> (and such representative must not be a parent or guardian of the Person);
 and
 - (B) a parent or guardian of the Person may attend any Tribunal hearing in support of the Person.
- (iv) Where the Chairperson is of the opinion that a Person or representative of a Person appearing before the Tribunal has failed to observe directions of the Tribunal or otherwise acted in a contemptuous, irresponsible or discourteous manner, the Chairperson may dismiss the Person or Person's representative and, if appropriate, adjourn the proceedings to enable the Person to obtain fresh representation.

[Guidance note: in nominating a representative, the charged Person must have regard to the availability of that representative to attend the Tribunal hearing at the time and date notified by the Controlling Body. The Tribunal may exercise its right to apply Section 25.3(c)(ii)(D) where a nominated representative is unavailable to attend the hearing at the scheduled time and date.]

(c) Attendance at Tribunal hearing

- (i) Subject to Section <u>25.3(c)(ii)</u>, the following persons must attend a Tribunal hearing at the date, time and place/forum (including by video or telephone conferencing) notified by the Controlling Body:
 - (A) the charged Person and their representative;
 - (B) any Football Official, Player, parent or guardian of a Player if requested to do so by the Controlling Body or Tribunal;
 - (C) any person nominated and permitted to give evidence under Section <u>25.3(e)</u>;
 - (D) a Disciplinary Officer nominated by a Controlling Body under Section 24(a).
- (ii) In respect of a Tribunal hearing:

- (A) the Chairperson may excuse a Person from appearing at a Tribunal hearing if the Chairperson is satisfied that the Person is suffering from an injury or medical condition that will reasonably prevent that Person's attendance;
- (B) any person required to attend a tribunal hearing under Section 25.3(c) who wishes to attend a Tribunal hearing via video or telephone must first seek the prior approval of the Controlling Body (except where video or telephone conferencing is the forum fixed by the Controlling Body);
- (C) the Controlling Body or Tribunal may, at any time prior to the Tribunal hearing, vary the date, time and place/forum of the Tribunal hearing and upon doing so, must advise all directly interested parties of such variation: and
- (D) if any person who is required to attend a Tribunal under Section 25.3(c), fails to appear at a Tribunal hearing at the notified date, time and place/forum, the Tribunal may, in the absence of that person, proceed to hear and determine the matter and impose a sanction on the charged Person or postpone the Tribunal hearing provided that Section 27.1 will apply to a charged Person for the period of any postponement (as if the charged Person was suspended).

(d) General conduct of Tribunal hearing

- (i) A Tribunal hearing:
 - (A) must be held in the State or Territory of the charged Person's registered Club, unless otherwise notified or approved by the Controlling Body; and
 - (B) will be conducted by the Tribunal with as little formality and technicality and as much expedition as a proper consideration of the matter permits.
- (ii) The Tribunal is not bound by the rules of evidence or by practices and procedures applicable to a court of law and may inform itself as to any matter in any such manner as it determines.
- (iii) A Controlling Body may establish and apply guidelines for the practice and procedure of a Tribunal hearing provided that such guidelines are not inconsistent with this Policy Handbook. Any such guidelines must be directory in nature and no decision of the Tribunal will be invalidated by reason of a guideline not being followed.
- (iv) The Tribunal must:
 - subject to Section <u>25.3(d)(v)</u>, provide any Person whose interest will be directly and adversely affected by its decision, a reasonable opportunity to be heard;
 - (B) hear and determine the matter before it in an unbiased manner; and
 - (C) make a decision that a reasonable Tribunal could honestly arrive at.

- (v) The Tribunal will use reasonable endeavours to hear and determine any Notice of Charge or Notice of Breach referred to it before the Club of the relevant Person(s) is next scheduled to compete but to the extent that natural justice principles require that:
 - (A) a Person be given adequate notice of or sufficient time to prepare for a hearing; or
 - (B) a Tribunal hearing be scheduled at a time which does not affect the preparation of the Person(s) or the Club of the Person(s) for the next scheduled Match.

those requirements are expressly excluded from this Policy Handbook.

(vi) The Chairperson:

- (A) shall determine all questions of law, evidence or procedure and give such instructions in such manner as the Chairperson thinks fit;
- (B) may, without limitation, give instructions as to the length, form and nature of submissions, the reception of evidence, amendment of a report, adjournment of hearings and all other matters of procedure including those referred to in any Guidelines established under Section 25.3(d)(iii);
- (C) will not be required to provide instructions on matters that are expressly dealt with in this Policy Handbook or any Guidelines established under Section 25.3(d)(iii);
- (D) may give instructions to any person appearing before the Tribunal and to the Tribunal Panel Members prior to or during any deliberation on any question of fact and the Chairperson (along with the Tribunal Panel Members) will decide questions of fact.

(e) Evidence

- (i) A charged Person may, subject to the following provisions of 25.3(e)(i), request to adduce witness evidence at the Tribunal hearing:
 - (A) any such request must be submitted to the Controlling Body in writing by no later than 10.00am on the day after the Notice of Breach or Notice of Charge is issued (or such other time determined by the Controlling Body);
 - (B) the request must contain:
 - (1) the name, email address and phone number of the witness, and, in the case of any expert witness, evidence proposed to be adduced, their qualifications and experience;
 - (2) a summary of the substance of the evidence the charged Person proposes to adduce from the witness;
- (ii) In this Section <u>25</u>, the word witness includes the charged Person, where the charged Person intends to, or does give evidence at the Tribunal hearing.

- (iii) Leave of the Chairperson is required in order for a Person to adduce the evidence of a person where:
 - (A) the charged Person has failed to comply with Section <u>25.3(e)(i)</u>;
 - (B) the person is being called to give evidence as an expert witness; or
 - (C) the person is the Victim Player,

and the Chairperson shall only grant such leave if the Chairperson is satisfied that such evidence has significant probative value.

- (iv) After receiving a request submitted in accordance with Section <u>25.3(e)(i)</u>, the Chairperson may permit a Person to adduce the evidence of a witness.
- (v) Where expert evidence from a witness is adduced, or on any other occasion, the Tribunal, at the direction of the Chairperson, may hear any other expert evidence in relation to the matters requiring its determination.
- (vi) In relation to a Notice of Charge only, and only in exceptional and compelling circumstances, as determined by the Controlling Body in its absolute discretion, will the Tribunal receive evidence from a person who is not an Umpire or recorded on the team sheet for a relevant Match.
- (vii) Any video or medical evidence which is not already in the possession of the Controlling Body must be submitted by a Club to the Controlling Body if requested by the relevant Controlling Body.
- (viii) A charged Person may, subject to the following provisions of <u>25.3(e)(viii)</u>, request to adduce video or photographic evidence at the Tribunal hearing of the incident in respect of which the Person is charged:
 - (A) any such request must be submitted to the Controlling Body in writing by no later than 10.00am on the day after the Notice of Breach or Notice of Charge is issued (or such other time determined by the Controlling Body);
 - (B) the request must:
 - (1) attach the video or photographic evidence or a link to the video or photographic evidence;
 - (2) contain the name and address of the person who took the video or photographic evidence;
 - (3) contain with a summary of the substance of the evidence the charged Person proposes to adduce in relation to the video or photographic evidence.
- (ix) Unless permission is granted by the Chairperson, a Person shall not adduce video or photographic evidence unless that Person complies with Section 25.3(e)(viii).
- (x) After receiving a request submitted in accordance with Section <u>25.3(e)(viii)</u>, the Chairperson may permit a Person to adduce the video or photographic evidence.

- (xi) At a Tribunal hearing, a Person may rely on any incident contained in the prescribed video examples set out in Appendix 2 as evidence of an incident comparable to the incident in respect of which the Person is charged, subject to the following provisions:
 - (A) it will not be necessary to obtain the leave of the Chairperson to adduce such evidence;
 - (B) the Chairperson may give some directions to the Tribunal as to the use of such evidence;
 - (C) subject to the Chairperson's directions, it will be a matter for the Tribunal to determine the assistance such evidence provides and the weight given to it;
 - (D) adequate notice must be given by the Person to the Controlling Body of any prescribed video example sought to be relied upon; and
 - (E) the Tribunal will not consider evidence (including video evidence) of any other incidents.

(f) Matters referred under Anti-Doping Code

Where a Notice of Breach referred to the Tribunal relates to an alleged breach of the Anti-Doping Code, this Section <u>25</u> must be read in conjunction with the provisions of the Anti-Doping Code, provided that to the extent of any inconsistency, the provisions of the Anti-Doping Code will prevail.

(g) Challenges

If a Person intends to:

- (i) challenge the jurisdiction of the Tribunal to deal with a matter;
- (ii) challenge the constitution of the Tribunal;
- (iii) challenge the formalities relating to a Notice of Charge or Notice of Breach; or
- (iv) raise any other matter requiring a legal or technical interpretation,

that Person must provide full written particulars of all relevant matters and forward a copy of those particulars to the Controlling Body and any other Person who has a direct interest in the proceedings (including, if applicable, the Disciplinary Officer) by midday on the day after the issue of the Notice of Charge or Notice of Breach.

(h) Standard of proof

- (i) In relation to a Notice of Charge, the Tribunal will decide whether a Reportable Offence against a Person has been committed on the balance of probabilities.
- (ii) In relation to a Notice of Breach, the Tribunal will decide whether a Policy Breach has been committed to its comfortable satisfaction.

(i) Onus of proof

No person appearing before the Tribunal shall bear an onus of establishing that an alleged Reportable Offence or Policy Breach has been committed.

(j) Cooperation with Tribunal

If in the opinion of the Tribunal, a Person who appears before the Tribunal:

- (i) fails to fully cooperate with the Tribunal;
- (ii) fails to truthfully answer any questions asked by a Disciplinary Officer or Tribunal;
- (iii) fails to provide any document in that Person's possession or control relevant to the Tribunal hearing following a request by a Disciplinary Officer or Tribunal;
- (iv) makes any false or misleading statement or makes a statement or acts in a manner which may mislead a Disciplinary Officer or Tribunal; or
- (v) fails to produce video evidence to the Controlling Body where requested to do so under Section 25.3(e)(vii),

that Person may be dealt with in such manner as the Tribunal determines, including, where relevant, factoring in that Person's conduct in imposing a sanction under Section <u>25.4(a)</u>.

(k) Prohibited conduct

- (i) A charged Person, that Person's representative or any Person acting in concert with a charged Person, must not contact, or procure another Person to contact, a Person who may be required to give evidence before the Tribunal, where that contact is intended to or may otherwise mislead the Tribunal or unfairly affect the conduct of the Tribunal hearing.
- (ii) A Person must not comment publicly on:
 - (A) the contents of a Notice of Charge or Notice of Breach prior to the conclusion of any Tribunal hearing and/or completion of a matter; or
 - (B) any matter relating to an investigation under this Policy Handbook, until completion of such investigation and/or relevant determination by the Tribunal,

unless the Person establishes, to the reasonable satisfaction of the Controlling Body, that such public comment was not intended to influence or affect the conduct of a Tribunal hearing or the process of an investigation.

- (iii) If a Controlling Body determines that a Person has breached this Section 25.3(k), that Person:
 - (A) will be deemed to have also breached Section <u>9.2(a)</u> of this Policy Handbook; and
 - (B) notwithstanding any other provision of this Policy Handbook, may be dealt with in such manner as the Controlling Body determines including referral of such breach to the Tribunal.

(I) Sanction on Person's Club

In addition to any sanction imposed or determination made under Section 25.3(k)(iii), the Controlling Body may impose a sanction on that Person's Club:

- (A) where the Person was a Football Official of the Club; and
- (B) where the Person was not a Football Official of the Club, unless the Club satisfies the Controlling Body that the Person engaged in the relevant conduct without the knowledge of the Club.

(m) Costs

Each party to a Tribunal hearing will bear their own costs in relation to the Tribunal process regardless of the outcome.

(n) Recording a Tribunal hearing

- (i) A Tribunal hearing may be recorded by a person authorised to do so by the Tribunal Chairperson.
- (ii) No other person shall record a Tribunal hearing without the prior consent of the Tribunal Chairperson.

25.4 Outcomes

(a) Tribunal decision

- (i) A decision of the Tribunal must be determined according to the opinion of a majority of the sitting Tribunal.
- (ii) Subject to Section 25.4(a)(iv), if the Tribunal determines, in relation to a Notice of Charge, that a Reportable Offence has been committed by a Person, the Tribunal must:
 - (A) impose the sanction applicable to the Reportable Offence in accordance with Appendix 1; and
 - (B) where the sanction is a suspension, impose that suspension in accordance with Section <u>27.1(a)</u>.
- (iii) If the Tribunal determines, in relation to a Notice of Breach, that a Policy Breach has been committed by a Person, the Tribunal may:
 - (A) impose a sanction on that Person, on any terms and conditions, including to reprimand or suspend that Person providing that the Tribunal may not deregister that Person or revoke the Coach or Umpire Accreditation of that Person and providing that any suspension of that Person is in accordance with Section <u>27.1(a)</u>; and
 - (B) be guided, to the extent applicable and relevant, by the sanctions applicable to Reportable Offences in accordance with <u>Appendix 1</u>.
- (iv) The Tribunal may determine that a reduced sanction is applicable in exceptional and compelling circumstances which must relate directly to the Person's involvement in Australian Football and which may arise where:

(A) a Person has a verifiable exemplary Disciplinary History;

[Guidance note: refer to Appendix 1, Clause 7(b)]

- (B) a Reportable Offence or Policy Breach was committed in response to provocation;
- (C) a Reportable Offence or Policy Breach was committed in selfdefence; or
- (D) there are multiple Reportable Offences and/or Policy Breaches arising from the same incident or course of conduct;

and it is appropriate in such exceptional and compelling circumstances to reduce the sanction.

Exceptional and compelling circumstances must not include any circumstances related to:

- (E) the player's character or standing in the community;
- (F) the nature or perceived importance of any future Matches the Person may be eligible to participate in (e.g. finals Match, milestone Match, representative Match).
- (v) In determining any sanction, the Tribunal will have no regard to the effect of the sanction on deregistration.
- (vi) Should a Person plead guilty to a Reportable Offence subject to an alternative classification, and successfully contest the Notice of Charge at the Tribunal (such that the Tribunal determines to downgrade the charge), the Person will be entitled to receive a reduction in the sanction equivalent to the reduction obtained had the Player submitted an Early Guilty Plea for that lesser offence.
- (vii) The Tribunal is not obliged to give reasons for any decision it makes under this Policy Handbook.
- (viii) If the Tribunal makes a decision under this Section <u>25.4(a)</u> in relation to a Person who was under the age of eighteen (18) years at the time the Reportable Offence or Policy Breach occurred, the Controlling Body must not publish the decision of the Tribunal insofar that it is viewable by the general public without the express permission of the relevant Person and their parent/guardian except that, to ensure effective administration of Competitions, access to such decisions and related sanctions will be available to the Controlling Body and any Club Officer who has access to Competition records.

(b) Validity of charge and hearings

(i) Where there is any procedural irregularity in relation to a Notice of Charge or Notice of Breach or any other relevant matter, the Tribunal must still hear and determine the relevant matter unless the Tribunal is satisfied that the irregularity has caused or may cause injustice.

- (ii) The Chairperson may direct that a Notice of Charge or Notice of Breach be amended to ensure that the Notice of Charge or Notice of Breach is heard and determined according to its merits and not on the basis of a technicality. For the avoidance of doubt, the power to amend includes the power to substitute another charge.
- (iii) A decision of the Tribunal is not invalid because of a defect or irregularity in, or in connection with, the appointment of a Tribunal member.
- (iv) Subject to Sections <u>25.3(d)(v)</u>, <u>25.3(h)</u> and <u>25.3(i)</u>, any procedure or requirement regulating the function of the Tribunal is directory in nature and a decision of the Tribunal will not be invalid by reason of that procedure or requirement not being fulfilled.

26. Appeal Board

26.1 General

(a) Function

The function of the Appeal Board is to deal with any appeal commenced by a Controlling Body or Person in respect of:

- (i) a decision made by a Controlling Body under Section 23.3(c); or
- (ii) a decision made by the Tribunal under Section 25; or
- (iii) a decision made by a Controlling Body under Section 4.1(d)(ii); or
- (iv) a dispute referred in accordance with Section 3.5(d)(ii)(A) or 3.5(d)(ii)(B) in relation a Transfer refusal by a Source Club which cannot be resolved.

(b) Grounds for appeal

- (i) Except where the Controlling Body determines otherwise, a Person the subject of a decision or a Controlling Body affected by a decision made by a Controlling Body under Section 4.1(d) or 23.3(c) or the Tribunal under Section 25 (Appellant) may appeal to the Appeal Board in respect of such decision on one or more of the following grounds:
 - (A) the decision involved an error of law that had a material impact on the Tribunal's decision;
 - (B) the decision was so unreasonable that no Controlling Body or Tribunal acting reasonably could have come to that decision having regard to the evidence before it;
 - (C) the classification of the Reportable Offence or Policy Breach or other conduct (as applicable) was manifestly excessive or inadequate; or
 - (D) that the sanction imposed was manifestly excessive or inadequate,

(each, an **Appeal Ground**).

- (ii) For the avoidance of doubt, only the Appeals Grounds in Sections 26.1(b)(i)(A) and 26.1(b)(i)(B) apply in respect of an appeal brought under Section 4.1(d).
- (iii) A Person (**Appellant**) may appeal to the Appeal Board under Section 3.5(d)(ii)(A) or 3.5(d)(ii)(B) in respect of a Transfer refusal by a Source Club where the relevant Transfer dispute cannot be resolved by the parties under Section 3.5(d)(i) (also **Appeal Ground**).
- (iv) For the avoidance of doubt, an Appellant may not appeal any decision of the AFL to the Appeal Board.

(c) Notice of appeal

- (i) An appeal must be submitted by the Appellant lodging with the Controlling Body, by no later than 5:00pm on the day following the relevant decision of the Tribunal or Controlling Body or such other time as the Controlling Body determines, the following:
 - (A) a duly completed Notice of Appeal which must specify the Appeal Ground(s) relied upon by the Appellant and supporting submissions in respect of the Appeal Ground(s); and
 - (B) payment of a fixed fee determined by the Controlling Body (which will be dealt with in accordance with Sections <u>26.4(d)</u> and <u>26.4(e)</u>).
- (ii) The Controlling Body must fix a date, time and place/forum (including by video or telephone conferencing) for the Appeal Board hearing as soon as practicable after the lodgement of a Notice of Appeal and payment in accordance with Section 26.1(c)(i)(B) and must advise all interested parties of those particulars.

[Guidance note: Refer to the definition of "Notice of Appeal" when completing a Notice of Appeal. Appendix 7 of the Policy Handbook provides a link to relevant templates, including the Notice of Appeal.]

(d) Lodgement of appeal

An Appellant may appeal to the relevant Controlling Body's Appeal Board (e.g. local league appeals board) where constituted or, where no such body is constituted, to the relevant State Football Body's Appeal Board.

(e) Appeal Board may dismiss appeal

- (i) The Appeal Board may dismiss an appeal to the Appeal Board without conducting any hearing if the Appeal Board determines that:
 - (A) the subject matter of the appeal is trivial or unrelated to the functions of the Appeal Board;
 - (B) the appeal is frivolous or vexatious;
 - (C) the appeal lacks substance or credibility; or
 - (D) the appellant does not have a sufficient interest in the decision that is the subject of the appeal.

(ii) Where the Appeal Board dismisses an appeal under Section <u>26.1(e)(i)</u> the Chairperson will notify the Appellant and Controlling Body in writing as soon as practicable after the appeal has been dismissed.

26.2 Establishment

(a) **Appointment**

A Controlling Body may, from time to time, appoint persons to the Appeal Board.

(b) Appeal Board Members

The Appeal Board must consist of:

- a person who in the opinion of the Controlling Body possesses sufficient knowledge of Australian Football and the law to competently perform the role of chairperson of the Appeal Board (Chairperson of the Appeal Board); and
- (ii) a panel of persons who in the opinion of the Controlling Body possess sufficient knowledge of Australian Football and the law to competently perform the role of Appeal Board panel member (**Appeal Board Panel**).

(c) Qualifications of Appeal Board members

Except where the Controlling Body determines otherwise, a person must not be appointed to the Appeal Board if:

- (i) in the twelve (12) months preceding the relevant appointment date, that person:
 - (A) has been a member of a board of directors of a Club affiliated with the Controlling Body that has appointed the Appeal Board;
 - (B) has been a coach or assistant or specialty coach of a Club affiliated with the Controlling Body that has appointed the Appeal Board;
 - (C) has been a Player; or
 - (D) has been an employee of a Club affiliated with the Controlling Body that has appointed the Appeal Board; or
- (ii) that person has failed to meet the appeal board training or education requirements set out by the AFL from time to time.

(d) Resignation and removal of Appeal Board members

- (i) A member of the Appeal Board may resign by providing written notice to the Controlling Body.
- (ii) A Controlling Body may remove a member of the Appeal Board at any time.

26.3 Procedure and Evidence

(a) Composition of Appeal Board

- (i) Except where the Controlling Body determines otherwise, at any Appeal Board hearing, the Appeal Board must comprise:
 - (A) the Chairperson of the Appeal Board or, in their absence, a member of the Appeal Board Panel who will act as Chairperson of the Appeal Board: and
 - (B) a minimum of one (1) and maximum of two (2) Appeal Board Panel members.
- (ii) Any Chairperson of the Appeal Board or Appeal Board Panel member who:
 - (A) has also been appointed as Chairperson or Tribunal Panel member; and
 - (B) sat on the Tribunal for the matter that is the subject of any Appeal Board hearing,

must not sit on the Appeal Board for the Appeal Board hearing.

(iii) Persons appointed as Chairperson of the Appeal Board and to the Appeal Board Panel may be rotated from time to time as determined by the Controlling Body.

(b) Representation

- (i) Subject to Section <u>26.3(b)(ii)</u>, at any Appeal Board hearing an Appellant
 - (A) appear in person; and
 - (B) be represented by:
 - (1) a Club Officer; or
 - (2) a barrister or solicitor on such terms as the Chairperson of the Appeal Board directs unless such representation is expressly prohibited under applicable Competition rules.

[Guidance note: Refer to Section <u>26.3(c)</u> for information about how and when a Person may attend an Appeal Board hearing. The wording "appear in person" does not necessarily mean the relevant person must be physically present at the Appeal Board hearing.]

- (ii) If an Appellant appears in person and elects not to be represented in accordance with Section 26.3(b)(i)(B) then the Appellant may act as their own advocate.
- (iii) Where an Appellant is under the age of eighteen (18) years at the time of the Appeal Board hearing:
 - (A) the Appellant must be represented under Section <u>26.3(b)(i)(B)</u> (and such representative must not be a parent or guardian of the Appellant); and
 - (B) a parent or guardian of the Appellant may attend any Appeal Board hearing in support of the Appellant.

- (iv) At any hearing before the Appeal Board, a Disciplinary Officer appointed by the Controlling Body shall be entitled to appear.
- (v) Where the Chairperson of the Appeal Board is of the opinion that an Appellant, a representative of an Appellant or a Disciplinary Officer appearing before the Appeal Board has failed to observe directions of the Appeal Board or otherwise acted in a contemptuous, irresponsible or discourteous manner, the Chairperson of the Appeal Board may dismiss the Appellant, representative or Disciplinary Officer and if appropriate, adjourn the proceedings to enable the Appellant or Controlling Body to obtain fresh representation.

(c) Attendance at Appeal Board hearing

- (i) Subject to Section <u>26.3(b)</u>, an Appellant, a representative of the Appellant and a Disciplinary Officer, must attend an Appeal Board hearing at the date, time and place/forum (including by video or telephone conferencing) fixed by the Controlling Body.
- (ii) Any Football Official, Player, parent or guardian of a Player must appear before the Appeal Board if requested to do so by the Controlling Body or Appeal Board.
- (iii) In respect of an Appeal Board hearing:
 - (A) the Chairperson of the Appeal Board may excuse an Appellant from appearing at an Appeal Board hearing if the Chairperson of the Appeal Board is satisfied that the Appellant is suffering from an injury or medical condition that will reasonably prevent that Appellant's attendance;
 - (B) any Appellant, including the Appellant's representative, or Disciplinary Officer, who wishes to attend an Appeal Board hearing via video or telephone must first seek the prior approval of the Controlling Body (except where video or telephone conferencing is the forum fixed by the Controlling Body);
 - (C) the Controlling Body or Appeal Board may, at any time prior to the Appeal Board hearing, vary the date, time or place/forum of the Appeal Board hearing and upon doing so, must advise all interested parties of such variation; and
 - (D) if an Appellant, or that Appellant's representative, or a Disciplinary Officer fails to appear at an Appeal Board hearing at the notified date, time and place/forum, the Appeal Board may proceed to hear and determine the matter and impose any sanction in the absence of that person.

(d) General Conduct of Appeal Board hearing

- (i) An Appeal Board hearing must be held in the State or Territory of the Appellant's registered Club, unless otherwise notified or approved by the Controlling Body.
- (ii) The Appeal Board:

- (A) may regulate any proceedings brought before it in such manner as the Chairperson of the Appeal Board determines; and
- (B) is not bound by the rules of evidence or by practices and procedures applicable to a court of law and may inform itself as to any matter in such manner as it determines.

(iii) The Appeal Board must:

- (A) subject to Section <u>26.3(d)(iv)</u>, provide any Person whose interest will be directly and adversely affected by its decision, a reasonable opportunity to be heard;
- (B) hear and determine the matter before it in an unbiased manner; and
- (C) make a decision that a reasonable Appeal Board could honestly arrive at.
- (iv) The Appeal Board will use reasonable endeavours to hear and determine any appeal before the Club of the Appellant is next scheduled to compete but to the extent that natural justice principles require that:
 - (A) the Appellant be given adequate notice of or sufficient time to prepare for a hearing; or
 - (B) an Appeal Board hearing be scheduled at a time which does not affect the preparation of the Appellant or the Club of the Appellant for the next scheduled Match,

those requirements are expressly excluded from this Policy Handbook.

(e) Review of evidence by Appeal Board

- (i) The Appeal Board must hear an appeal by way of a review of the evidence presented before the Tribunal or Controlling Body (as applicable) and will determine whether the Appeal Ground(s) nominated by the Appellant has been established.
- (ii) An Appellant may not produce fresh evidence at an Appeal Board hearing without leave of the Appeal Board.
- (iii) If an Appellant seeks leave to produce fresh evidence, the Appeal Board will not grant such leave unless the Appeal Board is satisfied that:
 - (A) the evidence could not by reasonable diligence have been obtained by the Person prior to the conclusion of the Tribunal hearing or Controlling Body investigation (as applicable); and
 - (B) the evidence is of sufficient probative value such that, considered with other evidence which was before the Tribunal or Controlling Body (as applicable), the Tribunal or Controlling Body would have reached a different decision.

(f) Onus and standard of proof on appeal

At an Appeal Board hearing, the Appellant will bear the onus of establishing, on the balance of probabilities, one or more Appeal Grounds.

(g) **Prohibited conduct**

- (i) A Person must not comment publicly on the contents of a Notice of Appeal prior to a determination by the Appeal Board or conclusion of the matter unless the Person establishes, to the reasonable satisfaction of the Controlling Body, that such public comment was not intended to influence or affect the conduct of an Appeal Board hearing.
- (ii) If a Person breaches Section <u>26.3(g)(i)</u>, that Person:
 - (A) will be deemed to have also breached Section <u>9.2(a)</u> of this Policy Handbook; and
 - (B) notwithstanding any other provision of this Policy Handbook, may be dealt with in such manner as the Tribunal or Controlling Body determines.

(h) Sanction on Person's Club

In addition to any sanction imposed or determination made under Section $\underline{26.3(g)}$, the Controlling Body may impose a sanction on that Person's Club:

- (A) where the Person was a Football Official of the Club; and
- (B) where the Person was not a Football Official of the Club, unless the Club satisfies the Controlling Body that the Person engaged in the relevant conduct without the knowledge of the Club.

(i) Costs

Notwithstanding Section <u>26.1(c)(i)(B)</u>, each party to an appeal will bear their own costs in relation to the appeal process regardless of the outcome.

26.4 Outcomes

(a) Decision of Appeal Board

- (i) A decision of the Appeal Board must be determined according to the opinion of a majority of the sitting Appeal Board. Where no majority is reached, the decision of the Appeal Board must be determined according to the opinion of the Chairperson.
- (ii) Where the Appeal Board determines that one or more Appeal Grounds has been established, the Appeal Board may confirm, reverse or modify the decision of the Tribunal or Controlling Body (as applicable) and make such orders and give such directions in such manner as it determines provided that any suspension imposed must be in accordance with Section 27.1(a).
- (iii) The Appeal Board is not obliged to give reasons for any decision it makes under this Policy Handbook.
- (iv) If the Appeal Board makes a decision under this Section <u>26.4(a)</u> in relation to a Person who was under the age of eighteen (18) years at the time the

Reportable Offence or Policy Breach occurred, the Controlling Body must not publish the decision of the Appeal Board such that it is viewable by the general public without the express permission of the relevant Person and their parent/guardian except that, to ensure effective administration of Competitions, access to such decisions and related sanctions may be available to the Controlling Body and any Club Official who has access to Competition records.

(b) Person to serve sanction

Subject to Section <u>26.4(c)</u>, where the Tribunal or Controlling Body imposes a sanction on a Person that prevents the Person from participating in a Match, Section <u>27.1</u> will apply and the Person must serve that sanction pending the determination of any appeal.

(c) Power to adjourn

- (i) Subject to Section <u>26.4(c)(ii)</u>, the Appeal Board may, of its own motion or upon application of any party to the appeal, order:
 - (A) that an appeal be adjourned; and/or
 - (B) a stay of the execution of the sanction imposed by the Tribunal or Controlling Body pending the determination of the appeal,

if the Appeal Board is satisfied that exceptional and compelling circumstances apply such that it would be harsh and unreasonable if such an order was not made.

- (ii) In making an order under Section <u>26.4(c)(i)</u>, the Appeal Board must have regard to:
 - (A) the merits of the appeal and the Appellant's prospects of success;
 - (B) the interests of other Clubs and Persons;
 - (C) the effect on the results of the relevant Competition; and
 - (D) the need to permit the due and proper administration of Australian Football.

(d) Success of appeal and refund of fixed fee

- (i) For the purposes of this Section <u>26.4(d)</u>, an appeal is:
 - (A) successful if the Appeal Board determines that one or more Appeal Grounds has been established; and
 - (B) unsuccessful if the Appeal Board determines that no Appeal Ground has been established.
- (ii) Where an appeal is successful, 50% (or such other proportion determined by the Controlling Body) of the fixed fee paid by the Appellant under Section 26.1(c)(i)(B) must be refunded to the Appellant on receipt by the Controlling Body of a refund request or appropriate tax invoice. For the avoidance of

- doubt, the remaining balance of the fixed fee paid by the Appellant under Section 26.1(c)(i)(B) will not be refunded.
- (iii) Where an appeal is unsuccessful, the fixed fee paid by the Appellant under Section 26.1(c)(i)(B) will not be refunded and will be retained by the Controlling Body, unless the matter involves a monetary sanction and/or the Appeal Board determines that it would be manifestly unjust and unfair not to refund part of the fixed fee in which case, part of the fixed fee may be refunded.

(e) Abandon appeal and refund of fixed fee

- (i) An Appellant may abandon an appeal prior to any Appeal Board hearing by giving written notice to the Controlling Body in which case 50% (or such other proportion determined by the Controlling Body) of the fixed fee paid by the Appellant under Section 26.1(c)(i)(B) must be refunded to the Appellant on receipt by the Controlling Body of a written refund request or appropriate tax invoice. For the avoidance of doubt, the remaining balance of the fixed fee paid by the Appellant under Section 26.1(c)(i)(B) will not be refunded.
- (ii) If an Appellant abandons an appeal during the conduct of the Appeal Board hearing, the fixed fee paid by the Appellant under Section <u>26.1(c)(i)(B)</u> will not be refunded and will be retained by the Controlling Body.

(f) Validity of appeal and hearing

- (i) Where there is any procedural irregularity in relation to an Appeal Board hearing, the Appeal Board must still hear and determine the appeal unless the Appeal Board is of the opinion that the irregularity has caused or will cause injustice.
- (ii) A decision of the Appeal Board is not invalid because of a defect or irregularity in, or in connection with, the appointment of an Appeal Board member.
- (iii) Subject to Sections <u>26.3(d)(iii)</u> and <u>26.3(d)(iv)</u>, any procedure or requirement regulating the function of the Appeal Board is directory in nature and a decision of the Appeal Board is not invalid by reason of that procedure or requirement not being fulfilled.

(g) Exhaust appeal rights

A Person must exercise their right of appeal under this Policy Handbook and have any appeal heard and determined by the Appeal Board before commencing any relevant proceedings or becoming a party to any relevant proceedings in a court of law.

27. General disciplinary provisions

27.1 Suspension of Person

- (a) A suspension under Part E of the Policy Handbook:
 - (i) where imposed for a Notice of Charge under Section <u>22.3</u>, <u>25.4</u> or <u>26.4(a)(ii)</u>, must be expressed as a number of Matches.

- (ii) where imposed for a Notice of Breach under Section 23.4, 25.4 or 26.4(a)(ii):
 - (A) must be expressed as a number of Matches where the Policy Breach is a breach of Section <u>10</u>;
 - (B) may be expressed as a number of Matches or a period of time for any other Policy Breach; and
- (iii) must not contain a suspended Match or suspended period of time suspension.

(b) Application and effect of a suspension

- (i) Where the offence or breach was committed by a Person whilst playing or participating in a Match, the Person must serve any suspension in the grade in which the offence or breach was committed.
- (ii) Where the offence or breach was committed by a Person whilst not playing or participating in a Match, the Person must serve any suspension as determined by the Controlling Body.
- (iii) During a period of a suspension determined in accordance with Sections 27.1(b)(i) or 27.1(b)(ii), a Person suspended is prohibited from playing or participating in any other Match conducted by any Controlling Body. [Guidance note: See Law 22.4.4(a) of the Laws of the Game]
- (iv) A Person may not serve a suspension in any:
 - (A) pre-season, off-season, trial or practice Matches but may participate in such Matches unless otherwise determined by the relevant Controlling Body;
 - (B) Competition bye round or where the relevant Person's team has a bye; or
 - (C) cancelled or forfeited Matches unless otherwise determined by a Controlling Body.
- (v) During a split round, a Person suspended may not serve the suspension in that same round in another grade (including representative football).
- (vi) A Person suspended in a junior Competition and who is graduating to a senior Competition must serve the suspension in the senior Competition.
- (vii) A Person suspended who is transferring from one Competition to another Competition will carry over the suspension to the new Competition but must not play a Match in that new Competition until the suspension has been served in accordance with this Section 27.
- (viii) A Controlling Body may, having regard to all the circumstances, still require a Player to serve any period of a suspension imposed by the Controlling Body if a suspended Player Transfers from one Competition to another Competition and who then:

- (A) without having played a Match in that other Competition, Transfers or Permits back to the Competition in which the offence occurred or to a different Competition; or
- (B) returns to the Competition conducted by the Controlling Body within 12 months of completing the suspension in another Competition. [Guidance note: As outlined in Law 22.4.4(c) of the Laws of the Game, this applies even though the Person has completed the suspension in another Competition]
- (ix) A suspended Player seeking a Transfer from a winter Competition to a summer Competition and vice versa will be subject to Law 22.4.4 of the Laws of the Game.
- (x) A Person suspended who is seeking to appeal the suspension is subject to Section <u>26.4(b)</u>.
- (xi) A Person who receives more than one suspension for offences under Part E of the Policy Handbook, whether arising from the same match or not, must serve those suspensions consecutively.
- (xii) A Person suspended (other than a Player suspended for a Reportable Offence):
 - (A) must not communicate with any Football Official on Match day for the duration of their suspension; and
 - (B) must not enter the playing area or Club change rooms at any Match on Match day for the duration of their suspension.
- (xiii) A Person suspended may not perform any Football Official duties on Match day for the duration of their suspension, including any role that would require that Person to be entered on a team sheet. [Guidance note: For example, a suspended Player cannot play or perform the role of runner or assistant coach during their suspension period.]
- (c) Unless otherwise specified by the relevant Controlling Body in exceptional and compelling circumstances, a suspended Person will not be eligible to play, coach or otherwise be involved in representative football if the representative fixture occurs while the Person is serving the suspension. The representative fixture will not count as part of the suspension.
- (d) Where a Person is suspended, and a scenario arises with respect to the application of that suspension that is not specifically dealt with in Sections 27.1(b) and 27.1(c), then the relevant Controlling Body or Controlling Bodies may apply the suspension at their discretion (acting reasonably and having regard to the principles set out in Sections 27.1(b) and 27.1(c)). If two Controlling Bodies are involved, both Controlling Bodies must agree on the application of the suspension under this Section 27.1(d).
- (e) Where a matter cannot be resolved under Section <u>27.1(d)</u>, an involved Controlling Body may refer the matter to the AFL for resolution.
- (f) Law 22.4 of the Laws of the Game will apply in conjunction with this Section <u>27.1</u> in relation to all Persons suspended under Part E of this Policy Handbook.

(g) Law 22.4.4 will be deemed to apply to a suspension arising from a Policy Breach in the same way as a suspension arising from a Reportable Offence.

27.2 Recording of Reportable Offences and Policy Breaches

- (a) Where a Notice of Charge is issued under Section <u>22.2</u> or Notice of Breach is issued under Section <u>23.4</u>, the Controlling Body must record the details of the Reportable Offence or Policy Breach, as applicable, against the relevant Person's profile in the Competition Management Platform (where that Person has a profile).
- (b) When the outcome of Notice of Charge or Notice of Breach is determined, including a finding by a Tribunal, the Controlling Body must record that outcome against the relevant Person's profile in the Competition Management Platform (where that Person has a profile).
- (c) The AFL or Controlling Body, as applicable, must securely retain relevant records of any Reportable Offence or Policy Breach until such records are no longer reasonably required.

27.3 Closure of a disciplinary matter

A disciplinary matter under Part E may be closed where:

- (a) in relation to a Notice of Report or an Incident Referral Form, a Controlling Body or Match Review Panel elects not to proceed with a Notice of Charge under Section 22.2;
- (b) a Complaint is dismissed by the AFL under Section <a>23.2(a)(i)(E) or by the Controlling Body under <a>23.2(c)(i)(G);
- (c) withdrawal of a Notice of Charge under Section <u>22.3(b)</u>, or a Notice of Breach under Section <u>23.4(c)</u>;
- (d) a Person enters an Early Guilty Plea in accordance with Section 22.4(a) or Section 23.5(a);
- (e) a determination is made by AFL under Section 23.3(a)(i)(B) or 23.3(a)(i)(C);
- (f) subject to any Appeal under Section <u>26</u>, a Complaint is dealt with by a Controlling Body under Section <u>23.3(b)(i)(B)</u>;
- (g) subject to any Appeal under Section <u>26</u>, a Notice of Charge or Notice of Breach is determined by a Tribunal in accordance with Section <u>25.4(a)</u>; or
- (h) any Appeal lodged in accordance with Section <u>26</u> is dismissed or determined by the Appeal Board;
- (i) there is an agreed outcome at Conciliation under Section <u>10.4</u>, or where there is no agreed Conciliation outcome and the AFL determines under Section <u>10.6</u> that a matter is not to proceed.

27.4 Communication

A Controlling Body or the AFL, as applicable, will communicate as appropriate with the involved parties throughout the management and resolution of a disciplinary matter, including notification of the outcome and closure of a matter.

27.5 Public comment & criticism

- (a) A Person must not make any unfair, unreasonable or excessive public criticism of:
 - (i) a Controlling Body decision or of any Controlling Body Officer;
 - (ii) a Tribunal decision or of any Tribunal member or any other matter touching or concerning the Tribunal or a determination made by it; or
 - (iii) an Appeal Board decision of any Tribunal member or any other matter touching or concerning the Tribunal or a determination made by it.
- (b) If a Person breaches Section <u>27.5(a)</u> that Person and that Person's Club may be dealt with in such manner as the Controlling Body sees fit including referral of such breach to the Tribunal.

27.6 No admission of liability

- (a) Except as required by law, any record of proceedings, plea by a Person or finding by a Tribunal or Appeal Board under Part E of this Policy Handbook shall apply only for the purposes of this Policy Handbook.
- (b) For the avoidance of doubt:
 - (i) any guilty plea by a Person under this Policy Handbook shall not be taken as an admission of liability for the purposes of any proceedings other than those prescribed under this Policy Handbook;
 - (ii) in respect of and for the purpose of any such other proceedings, any guilty plea in accordance with this Policy Handbook shall not be deemed to include an express acceptance of liability; and
 - (iii) any evidence presented to a Controlling Body, AFL, Tribunal or Appeal Board, including without limitation any statements made and any transcript of proceedings, as well as any finding by the Tribunal or Appeal Board, shall not be relied upon in any such other proceedings as evidence of liability.

27.7 Person subject of criminal investigation or proceedings

Notwithstanding Sections <u>23.2(h)</u>, <u>23.2(i)</u> and <u>25.3(j)</u>, a Person interviewed as a suspect in a criminal investigation, charged or arrested by a law enforcement agency in respect of a criminal offence shall not be required to give any information, give any evidence or make any statement to the AFL if they establish that to do so would breach any privilege against self-incrimination, or legal professional privilege. This Rule does not limit any other provision of this Policy Handbook.

APPENDIX 1 – Reportable Offences

1. Application

- (a) This Appendix 1 sets out the different categories of Reportable Offences and appropriate sanctions and courses of action in respect of Reportable Offences.
- (b) The categories of Reportable Offences are as follows:
 - (i) Classifiable Offences (Clauses 2 and 3 of this Appendix 1);
 - (ii) Direct Tribunal Offences (Clause 4 of this Appendix 1);
 - (iii) Low-level Offences (Clause 5 of this Appendix 1); and
 - (iv) Auditory Offences (Clause 6 of this Appendix 1).
- (c) This Appendix 1 is to be used by a Controlling Body (including any Match Review Panel, Match Review Officer or other person(s) responsible for assessing a Reportable Offence) to determine the appropriate category of offence, sanction or course of action prior to any Tribunal involvement under Section 25.

[Guidance note: Examples of Reportable Offences committed in the AFL/AFLW Competition are listed in Appendix 2. The AFL will make vision of these examples available to Controlling Bodies from time to time.]

2. Classifiable Offences

(a) Which Reportable Offences are Classifiable Offences?

A Classifiable Offence is a Reportable Offence specified in Table 1 below which may be graded by a Controlling Body in order to determine an appropriate base sanction for that Reportable Offence.

Table 1 – Classifiable Offences
Charging
Unreasonable or Unnecessary Contact to the Eye Region
Forceful Front-On Contact
Headbutt or Contact Using Head
Kicking
Kneeing
Rough Conduct
Striking
Tripping
Unreasonable or Unnecessary Contact to the Face

(b) Grading Classifiable Offences

(i) Unless otherwise specified in this Policy Handbook, a Controlling Body must grade a Classifiable Offence in accordance with Table 2 below:

Table 2 – Classification Table				
Conduct	Impact	Contact	Base Sanction	Early Guilty Plea
	Severe	High/Groin/Chest	5 or more Matches (Tribunal)*	N/A
		Body	4 or more Matches (Tribunal)*	N/A
	Lligh	High/Groin/Chest	4 Matches	3 Matches
Intentional	High	Body	3 Matches	2 Matches
	Modium	High/Groin/Chest	3 Matches	2 Matches
	Medium	Body	2 Matches	1 Match
	Low	High/Groin/Chest	2 Matches	1 Match
		Body	1 Match	Fine and/or Reprimand
	Severe	High/Groin/Chest	4 or more Matches (Tribunal)*	N/A
		Body	3 or more Matches (Tribunal)*	N/A
	High	High/Groin/Chest	3 Matches	2 Matches
Careless		Body	2 Matches	1 Match
		High/Groin/Chest	2 Matches	1 Match
	Medium	Body	1 Match	Fine and/or Reprimand
	Low	High/Groin/Chest	1 Match	Fine and/or Reprimand
		Body	1 Match	Fine and/or Reprimand

^{*}Refer to Clause 2(b)(vi) of Appendix 1.

- (ii) If applicable, a Controlling Body may grade a Classifiable Offence in accordance with the specific classification table adopted by the relevant Controlling Body provided such table has been approved by the AFL.
- (iii) For a Controlling Body to arrive at a grading set out in Table 2, the Controlling Body must use the interpretation provisions set out in Clause 3 of this Appendix 1 to assess whether:
 - (A) the Conduct is Intentional or Careless;
 - (B) the Impact is Severe, High, Medium or Low; and
 - (C) the Contact with the other Person is High/Groin/Chest or to the Body.
- (iv) A Controlling Body may refer a Classifiable Offence directly to the Tribunal on the basis that:
 - (A) it is a Classifiable Offence which the Controlling Body has graded as Severe Impact (together with any Contact or Conduct grading); or
 - (B) it is a Classifiable Offence which attracts a base sanction that the Controlling Body finds inappropriate.

[**Guidance note**: Refer to Section <u>22.4(c)</u>. See also Clause <u>4</u> (Direct Tribunal Offences) of this Appendix 1.]

- (v) References to 'Chest' in this Appendix 1 apply to Persons participating in female Competitions only.
- (vi) In respect of a charge for a Classifiable Offence where the Impact is graded by the Controlling Body as Severe, if only the minimum prescribed sanction (set out in Table 2 above) is sought, the Controlling Body will, in its discretion, specify that minimum prescribed sanction and the Player may enter an Early Guilty Plea and accept the sanction without the matter being referred directly to the Tribunal. If the Controlling Body does not specify that the minimum prescribed sanction is sought, the charge will be referred to the Tribunal and the charged Player will not have the option to enter an Early Guilty Plea.

3. Determining the grading of Classifiable Offences

(a) **Grading Conduct**

- (i) The Conduct will be graded as Intentional or Careless.
- (ii) Intentional Conduct
 - (A) A Person intentionally commits a Classifiable Offence if the Person engages in the conduct constituting the Reportable Offence with the intention of committing that Reportable Offence.
 - (B) An intention is a state of mind and may be formed on the spur of the moment.
 - (C) Whether or not a Person intentionally commits a Reportable Offence depends upon the state of mind of the Person when the Person

engages in the conduct with which they are charged. What the Person did is often the best evidence of their intention. In some cases, the evidence that the conduct itself provides may be so strong as to compel an inference of what the Person's intent was, no matter what they may say about it afterwards. If the immediate consequence of conduct is obvious and inevitable, the deliberate engaging of the conduct carries with it evidence of an intention to produce the consequence.

- (D) As an example, a strike will be regarded as Intentional where a Person delivers a blow to another Person with the intention of striking them.
- (E) The state of a Person's mind is an objective fact and has to be proved in the same way as other objective facts. The whole of the relevant evidence has to be considered. It is necessary to weigh the evidence of the Person as to what their intentions were along with whatever inference as to their intentions can be drawn from their conduct and/or other relevant facts. The Person may or may not be believed. Notwithstanding what the Person says, it may be able to be concluded from all the material that the Person intentionally engaged in the conduct constituting the Reportable Offence.

(iii) Careless Conduct

- (A) A Person's conduct will be regarded as Careless where it constitutes a breach of the duty of care owed by the Person. Each Person owes a duty of care to other Persons not to engage in conduct which will constitute a Reportable Offence being committed against those Persons.
- (B) To constitute a breach of that duty of care, the conduct must be such that a reasonable Player would not regard it as prudent in all the circumstances.
- (C) Further, a Person will be careless if they breach their duty to take reasonable care to avoid conduct which can be reasonably foreseen to result in a Reportable Offence.

[Guidance Note: An example of careless conduct would be where a Player collides with another Player who has taken a mark and where contact occurs just after the mark has been taken. The offending Player has a duty of care to take reasonable care to avoid acts which may be reasonably foreseen to result in a Reportable Offence. For instance, a failure to sufficiently slow their momentum or change direction to reasonably attempt to avoid or reduce the impact of any contact may constitute carelessness.]

(b) **Grading Impact**

- (i) The Impact will be graded as Low, Medium, High or Severe. In an assessment as to the level of Impact, the following factors will be considered:
 - (A) the extent of force and, in particular, any injury sustained by the Person who was offended against (as set out in any relevant medical report).
 - (B) the absence of injury does not preclude the classification of Impact as Low, Medium, High or Severe;

- (C) the potential to cause injury must also be factored into the determination of Impact, particularly in the following cases:
 - (1) intentional strikes, such as those with a swinging clenched fist, raised forearm or elbow;
 - (2) high bumps, particularly with significant head contact and/or momentum;
 - (3) any head-high contact with a Player who has their head over the ball, particularly when contact is made from an opponent approaching from a front-on position;
 - (4) forceful round-arm swings that make head-high contact to a Player in a marking contest, ruck contest or when tackling;
 - (5) any contact that occurs when the other Person should not reasonably be expecting or is not reasonably prepared for contact (i.e. contact off the ball);
 - (6) any dangerous tackle.
- (D) Low Impact is the minimum impact required for a Classifiable Offence which requires more than just negligible impact. However, the potential to cause injury may result in an upgrade to the level of Impact, including from negligible to a higher level of Impact;
- (E) strong consideration will be given to the distance the incident occurs from the ball and the expectation of contact of the other Person (especially in the case of intentional strikes);
- (F) consideration will also be given not only to the impact between the offending Person and the other Person, but also any other impact to the other Person as a result of that impact; [Guidance note: For example, where the other Person as a result of the impact from an offending Person is pushed into the path of a fast-moving third Person, the impact to the other Person may be classified as High or Severe Impact, even though the level of impact between the other Person and offending Person was only Low or Medium Impact.]
- (G) the body language of the offending Person in terms of flexing, turning, raising or positioning the body to either increase or reduce the force of impact; and
- (H) the following Table 3 sets out Impact guidelines and provides a summary of indicative Impact guidelines for Low, Medium, High and Severe Impact incidents:

Table 3 – Impact guidelines			
	Player: Minimal or no impact on the Match - the Player continued to play the majority of the Match and suffered no or minimal ongoing issues.		
	Person (other than Player): Person continued in their relevant capacity in relation to the Match for the majority of the Match and suffered no or minimal ongoing issues.		
	The Impact grading may be raised under the potential to cause injury		

	provisions in this Appendix 1.
Medium	Player: Clearly some impact on the Player, and/or the Player left the field for a lengthy period of time, and/or some possible lower level ongoing treatment(s) required, and/or may miss one Match.
	Person (other than Player): Clearly some impact on the Person, and/or the Person was prevented from continuing in their official capacity in relation to the Match for a lengthy period of time, and/or some possible lower level ongoing treatment(s) required.
	The Impact grading may be raised under the potential to cause injury provisions in this Appendix 1.
High	Player: Major impact on the Player, and/or the Player was unable to participate in the remainder of the Match, and/or major ongoing issues that require medical intervention and/or may miss some Matches.
	Person (other than Player): Major impact on the Person, and/or the Person was unable to continue in their official capacity in relation to the Match for the remainder of the Match, and/or major ongoing issues that require medical intervention and/or may miss some Matches.
	The Impact grading may be raised under the potential to cause injury provisions in this Appendix 1.
Severe	Person (including Player): Major impact and serious injury to the Person, and/or likely to miss a significant number of Matches.
	The sanction determination may be raised under the potential to cause injury provisions in this Appendix 1.

For the avoidance of doubt, Table 3 is included for guidance purposes and must be considered together with factors set out in Clause 3(b) of this Appendix 1.

(c) Grading Contact (High/Groin/Chest, Body)

- (i) High Contact is not limited to contact to the head and includes contact to the top of the shoulders or neck.
- (ii) A classification of High Contact may apply to a Careless or Intentional Dangerous Tackle (refer to Clause 8(f)(iii) of this Appendix 1) which has the potential for injury to be caused through dangerous high contact with the ground and where high contact with the ground does not actually occur.
- (iii) Contact to the Groin includes contact to the crease or hollow at the junction of the inner part of each thigh with the trunk together with the adjacent region, including the testicles and vulva.
- (iv) Contact to the Chest means contact to the breast(s) of the other Person (females only).
- (v) Where contact is both High and to the Body, it will usually be classified as High Contact.
- (vi) Where contact is both to the Chest and to the Body, it will be classified as Contact to the Chest (females only).

- (vii) Contact will be classified as High, to the Groin or, in the case of females only, to the Chest, where a Person's head, groin or chest makes contact with another Person or object such as the fence or the ground as a result of the actions of the offending Person. By way of example, should a Person tackle another Person around the waist and as a result of the tackle, the tackled Person's head makes forceful contact with the fence or the ground the Contact in these circumstances would be classified as High, even though the tackle was to the body.
- (viii) The Controlling Body has the discretion to grade contact as Body contact rather than High contact where the Body impact would result in a more significant sanction.

(d) Impact of a bad record on Classifiable Offence

- (i) The sanction for Classifiable Offences may be increased where a Person has a bad Disciplinary History.
- (ii) Where a Person has been found or pleaded guilty to two low-level Classifiable Offences within a 12 month period (those Classifiable Offences with a base sanction of 1 match as per Table 2), any third or subsequent low-level Classifiable Offence (with a base sanction of 1 match as per Table 2) within the same 12 month period (as at the date of the offence) will result in a base sanction of 2 matches, with the Person able to accept 1 match with an Early Guilty Plea. For the avoidance of doubt, the Low-level Offences referred to in Clause 5 of this Appendix 1 will not be affected by this bad record provision.
- (iii) A Controlling Body has the power pursuant to Section 22.4(c) to directly refer a Person to the Tribunal as a result of the Person's bad Disciplinary History. In this instance the Tribunal will not be bound by Table 2. Evidence in relation to the record of a Person may be tendered to the Tribunal without the leave of the Chairperson.

(e) **Injury**

The Controlling Body and the Tribunal can inquire and receive information as to the nature and extent of any injury suffered by a Person in relation to a Reportable Offence. The nature and extent of injury may be a relevant factor in determining the level of Impact, Contact and in some instances, the nature of the Conduct. A Club must provide a medical report upon request by the Controlling Body or Tribunal.

4. Direct Tribunal Offences

(a) Which Reportable Offences are Direct Tribunal Offences?

Direct Tribunal Offences are those Reportable Offences which are referred by the Controlling Body directly to the Tribunal for determination without grading (i.e. without an assessment of the Reportable Offence using Table 2), including the following Reportable Offences:

Table 4 – Direct Tribunal Offences Attempting to Strike an Umpire

Behaving in an Abusive, Insulting, Threatening or Obscene Manner Towards or in Relation to an Umpire

Eye Gouging
Intentional Contact with an Umpire

Spitting on Another Person

Spitting on or at an Umpire

Stomping

Striking an Umpire

Serious Misconduct

(b) **Determination of Direct Tribunal Offences**

The Tribunal will determine a Direct Tribunal Offence in accordance with Section <u>25</u>. The Tribunal will determine the appropriate sanction for a Direct Tribunal Offence in its absolute discretion.

5. Low-level Offences

(a) Which Reportable Offences are Low-level Offences?

Low-level Offences are the following low-level Reportable Offences which, having regard to the provisions of this Clause 5 and Clause 8 of this Appendix 1, do not require classification (i.e. do not require an assessment of the Reportable Offence using Table 2):

Table 5 – Low-level Offences
Attempt to Strike / Kick / Trip
Careless Contact with an Umpire
Disputing Decision
Instigator of a Melee / Wrestle
Interfering with a Player Kicking for Goal
Unreasonable or Unnecessary Contact with an Injured Player
Unreasonable or Unnecessary Contact with an Umpire
Not Leaving the Playing Surface
Obscene Gesture

Pinching
Prohibited Boots, Jewellery or Equipment
Scratching
Shaking, Climbing or Interfering with Goal or Behind Post
Spitting at Another Player
Staging
Striking
Kicking
Time Wasting
Tripping
Engaging in a Melee / Wrestle
Any Other Act of Low-Level Misconduct which is not a Classifiable Offence or Direct Tribunal Offence

(b) Sanctions for Low-level Offences

(i) Unless otherwise specified in this Policy Handbook, for Low-level Offences a Controlling Body must impose the base sanctions (with a reduced sanction for an early Guilty Plea) set out in Table 6 below:

Table 6 – Low-level Offence Base Sanctions			
1 st & 2 nd Low-level Offence		3 rd & Subsequent Low-Level Offen	
Base Sanction	Early Guilty Plea	Base Sanction	Early Guilty Plea
1 Match	Reprimand	2 Matches	1 Match

- (ii) If applicable, a Controlling Body may impose base sanctions for Low-level Offences in accordance with the specific Low-level Offence sanctions table adopted by the relevant Controlling Body provided such table has been approved by the AFL.
- (iii) A reference to second, third and subsequent Low-level Offences is a reference to a second, third or subsequent Low-level Offence occurring at any time in the previous 12 months (as at the date of the current offence). The second, third or subsequent Low-level Offence need not be the same offence as the first or any other Low-level Offence. For the avoidance of doubt, a prior offence other than a Low-level Offence will not count as a first offence for the purposes of the above Table 5.

- (iv) In assessing a Low-level Offence, the Controlling Body may determine that the Low-level Offence sanction is inappropriate for the conduct in which case the Controlling Body may:
 - (A) impose a base sanction of a 2 Match suspension, with the relevant Person entitled to receive a 1 Match suspension on entering an Early Guilty Plea; or
 - (B) refer the Low-level Offence directly to the Tribunal.
- (v) If a Notice of Charge is referred directly to the Tribunal under Clause 5(b)(iv)(B) of this Appendix 1, or if a Tribunal determines under Section 25.4(b) to substitute a charge such that the Reportable Offence becomes a Low-level Offence, the Tribunal will determine the appropriate sanction in its absolute discretion.

6. Auditory Offences

(a) Which Reportable Offences are Auditory Offences

An Auditory Offence is a Reportable Offence specified in Table 7 below which may be graded by a Controlling Body in order to determine an appropriate base sanction for that Reportable Offence.

Table 7 – Auditory Offences
Using Abusive, Insulting or Obscene Language towards or in relation to an Umpire
Using Abusive, Insulting, Threatening or Obscene Language

(b) Grading Auditory Offences

(i) Unless otherwise specified in this Policy Handbook, a Controlling Body must grade an Auditory Offence in accordance with Table 8 below.

Table 8 – Auditory Classification Table				
Receiver	Conduct	Volume	Base Sanction	Early Guilty Plea
	Threatening	Any	6 or more Matches (Tribunal)*	5
Umpire H	High-level	Loud	5	4
		Medium or Low	4	3
	level	Loud	3	2
		Medium or Low	2	1

	Threatening	Loud	4 or more Matches (Tribunal)*	3
Othor		Medium or Low	3	2
	High-level	Loud	3	2
		Medium or Low	2	1
	level	Loud	2	1
		Medium or Low	1	Reprimand

*Refer to Clauses <u>6(b)(iv)</u> and <u>6(b)(v)</u> of this Appendix 1 for treatment of offences graded as Threatening language directed at an Umpire and Threatening language directed at Another Person of Loud Volume.

- (ii) If applicable, a Controlling Body may grade an Auditory Offence in accordance with the specific classification table adopted by the relevant Controlling Body provided such table has been approved by the AFL.
- (iii) For a Controlling Body to arrive at a grading set out in Table 8, the Controlling Body must use the interpretation provisions set out in Clause 6(c) of this Appendix 1 to assess whether:
 - (A) the Receiver of the Conduct is an Umpire or Another Person;
 - (B) the Conduct is Threatening, High-level Abusive, Obscene or Insulting or Medium / Low-level Abusive, Obscene or Insulting; and
 - (C) the Volume of the Conduct is Loud, Medium or Low.
- (iv) Subject to Clause 6(b)(v) of this Appendix 1:
 - (A) in respect of a charge graded by the Controlling Body as Threatening language directed at an Umpire, that charge will be classified as Behaving in an Abusive, Insulting, Threatening or Obscene Manner Towards or in Relation to an Umpire, a Direct Tribunal Offence; and
 - (B) in respect of a charge graded by the Controlling Body as Threatening language directed at Another Person of Loud Volume, the Controlling Body may refer that charge directly to the Tribunal.
- (v) In respect of a charge under Clause <u>6(b)(iv)</u> of this Appendix 1, if only the minimum prescribed sanction (set out in Table 8 above) is sought, the Controlling Body will, at its discretion, specify that minimum prescribed sanction and the Player may enter an Early Guilty Plea and accept the sanction without the matter being referred directly to the Tribunal. If the Controlling Body does not specify that the minimum prescribed sanction is sought, the charge will be referred to the Tribunal and the charged Player will not have the option to enter an Early Guilty Plea.
- (vi) A Controlling Body may increase the Auditory Offence Base Sanction by one additional Match if the Auditory Offence conduct relates to either of following:
 - (A) conduct by an Adult toward an underage Umpire; or

- (B) conduct by an Accredited Coach toward an Umpire, regardless of whether that Accredited Coach was performing the role of Coach at the time).
- (vii) For the avoidance of doubt:
 - (A) if both conditions of Clause 6(b)(vi) are met, the Auditory Offence Base Sanction may only be increased by the Controlling Body by one Match:
 - (B) where the Controlling Body elects to increase the Auditory Offence Base Sanction under Clause 6(b)(vi), the correlating Early Guilty Plea suspension in Table 8, will also increase by one Match; and
 - (C) an underage Umpire is any Umpire under the age of 18 at the time of the offence.

(c) Interpretative provisions of Auditory Offences

- (i) Grading Conduct
 - (A) The Conduct of an Auditory Offence will be graded as:
 - (1) Threatening;
 - (2) High-level Abusive, Obscene or Insulting; or
 - (3) Medium / Low-level Abusive, Obscene or Insulting.
 - (B) Threatening language means language that conveys an intention to cause bodily harm or cause someone to feel vulnerable or at risk.
 - (C) High-level and Medium / Low-level Abusive, Obscene or Insulting language includes rude, shocking or offensive language which may abuse, insult or offend any person or group. In grading whether Abusive, Obscene or Insulting language is High-level or Medium / Low-level, the following factors will be considered:
 - (1) the nature of language;
 - (2) the tone of the language;
 - (3) the extent of aggression in the language;
 - (4) the actual or potential hurt caused by the language;
 - (5) the body language of the offending Person.

[Guidance note: See Section 22.2(c). Where any offence involves conduct that is considered to constitute vilification or discrimination, the offence is to be dealt with under Section 10.]

- (ii) Grading Receiver
 - (A) The Receiver will be graded as Umpire or Another Person.

- (B) Umpire means a field, boundary, goal or emergency umpire who has been appointed to officiate in the relevant Match.
- (C) Another Person means any person who is not an Umpire, regardless of whether that person is able to be identified or not.

(iii) Grading Volume

- (A) The Volume of an Auditory Offence will be graded as Loud, Medium or Low.
- (B) Loud means the language could be heard from more than 50 metres away or heard by spectators.
- (C) Medium means the language could be heard from more than 10 metres away, but less than 50 metres away, and/or heard by other Players and/or heard by Football Officials.
- (D) Low means the language could be heard from no more than 10 metres away, and/or conversational language.

7. Other factors regarding sanctions

(a) Reduction in base sanction for an Early Guilty Plea

The base sanction for a Classifiable Offence or Auditory Offence will be decreased where a Person submits an Early Guilty Plea (if available). As per Table 2 or Table 8 (as applicable):

- (i) an Early Guilty Plea in respect of a Classifiable Offence or Auditory Offence with a fixed base sanction of a two, three or four Match suspension will result in a one Match reduction in that suspension; and
- (ii) an Early Guilty Plea in respect of a Classifiable Offence or Auditory Offence with a base sanction of a one Match suspension will result in a reprimand and/or fine (at the discretion of the Controlling Body).

(b) No automatic reduction for exemplary record

- (i) A Person will not receive an automatic reduced base sanction for their exemplary Disciplinary History.
- (ii) If a Classifiable Offence or Auditory Offence is contested or referred to the Tribunal, a Person with a verifiable exemplary Disciplinary History may argue that their Disciplinary History constitutes exceptional and compelling circumstances under Section 25.4(a)(iv)(A). This may make it inappropriate to apply the sanctions in Table 2 or Table 8 (as applicable) to the determined classification. In such circumstances, the Tribunal may determine to reduce the Base Sanction applicable to the offence by one Match.
- (iii) For the avoidance of doubt:
 - (A) verifiable means that it must be able to be proven to the Tribunal's reasonable satisfaction; and

(B) exemplary means it must be of such a high standard that it would serve as a model for others.

(c) Reportable Offences in a Grand Final

The Controlling Body or Tribunal (as applicable) may apply (at its absolute discretion) a loading of up to 100% in relation to the base sanction for any Reportable Offence committed during a Grand Final.

[Guidance note: For example, if the usual base sanction for an offence was 2 matches and the Controlling Body applied the full 100% loading, the base sanction would become 4 matches. An early guilty plea discount would then apply to the 4 match base sanction, i.e. 4 matches reduced to 3 matches if the plea is accepted]

(d) Multiple Reportable Offences in a single Match

Where a Person is found guilty of multiple Reportable Offences from a single match:

- (i) the sanctions applicable to each Reportable Offence are to be assessed individually under this Appendix 1; and
- (ii) the individual sanctions must then be added together to form the final sanction applicable to the Person.

8. Other factors regarding certain Reportable Offences

(a) General

- (i) The Laws of the Game sets out a non-exhaustive list of specific Reportable Offences in Law 22.2.2 as well as providing for various categories of permitted contact which will not constitute a Reportable Offence (for example, legally using a hip, shoulder, chest, arms or open arms, providing the football is no more than five metres away, and contact which is incidental to a marking contest where a Player is legitimately marking or spoiling or attempting to mark or spoil the football).
- (ii) The Laws of the Game define certain Reportable Offences, but provide that in interpreting/classifying Reportable Offences, words, terms or phrases which are not defined in the Laws of the Game will be given their ordinary meaning.
- (iii) This Clause <u>8</u> provides guidance in relation to the characteristics of particular Reportable Offences and other relevant factors.

(b) Striking, Kicking

- (i) Striking and kicking are interpreted in accordance with their ordinary meaning. A strike would usually be by hand, arm or elbow and would generally not apply to other contact using the body. A strike can also occur with an open hand. A kick is generally applied to contact by foot or leg.
- (ii) A strike or kick requires more than negligible impact to be a Classifiable Offence. A Controlling Body may, however, consider the potential to cause injury to upgrade impact from negligible to a higher level of impact.
- (iii) Where a strike or kick does not have more than negligible impact, it is open to a Controlling Body to charge a Person with Striking or Kicking under Table

5 where it is satisfied that notwithstanding the result, the intention of the Person was to commit a Reportable Offence. Where no contact is made, a Controlling Body may charge a Person with an Attempt to Strike or Kick, which are both Low-level Offences.

(c) Classification of certain strikes

The following factors will be considered when determining the classification of the Reportable Offence of Striking:

- (i) Intent: Notwithstanding any other part of this Policy Handbook, the fact that an act of striking occurred behind the play or off the ball or during a break in play or with a raised forearm or elbow is usually consistent with the strike being intentional. Further, where a Player intends to forcefully push or fend an opposition Player off the ball (including to gain separation for the purpose of contesting the ball) and the effect is that the Player commits the Reportable Offence of Striking, the strike will usually be graded as Intentional.
- (ii) Impact: Notwithstanding any other part of this Policy Handbook, any Careless or Intentional strike which is of an inherently dangerous kind and/or where there is a potential to cause an injury (such as a strike with a raised elbow or forearm) will usually not be classified as Low Impact even though the extent of the actual physical impact may be low. Such strikes will usually be classified at a higher level commensurate with the nature and extent of the risk of injury involved. Strong consideration will also be given to the distance the incident occurs from the ball and the expectation of contact of the other Player.

(d) Misconduct

- (i) Misconduct has a wide meaning and generally is any conduct which would be reasonably regarded as unacceptable or unsportsmanlike or where it has the effect or potential to prejudice the reputation of any Person, Club or Controlling Body or to bring the game of Australian Football into disrepute.
- (ii) Acts of Serious Misconduct will be referred directly to the Tribunal.

[Guidance note: Generally, for conduct to constitute Serious Misconduct, the Controlling Body will consider that a sanction of at least 4 Matches is appropriate as a sanction for that conduct.]

- (iii) Any other act of Misconduct will be a Low-level Offence unless the Controlling Body determines that:
 - (A) the Low-level Offence sanction is inappropriate; and
 - (B) the act of Misconduct is not Serious Misconduct,

in which case the Controlling Body may impose a sanction of either a 2 Match or 3 Match suspension, with the relevant Person entitled to receive a 1 Match reduction in the sanction with an Early Guilty Plea (i.e. a 2 Match suspension reduced to a 1 Match suspension with an Early Guilty Plea).

(e) Forceful Front-On Contact

- (i) Bumping or making contact that is at least Low Impact to an opponent from front-on when that opponent has their head over the ball is a Reportable Offence. Unless Intentional, such conduct will be deemed Careless except where:
 - (A) the Player was contesting the ball and it was reasonable for the Player to contest the ball in that way; or
 - (B) the contact was caused by circumstances outside the control of the Player which could not reasonably be foreseen,

in which case such conduct will not constitute a Reportable Offence.

- (ii) Any Careless or Intentional Forceful Front-On Contact where High Contact has been made and that has the potential to cause injury will usually be graded at a minimum as Medium Impact, even though the extent of the actual physical impact may be low.
- (iii) A Player may bump another Player's body from side-on but any contact forward of side-on will be deemed to be front-on. A Player with their head down in anticipation of winning possession of the ball or after contesting the ball will be deemed to have their head over the ball for the purposes of this Clause 8(e) of this Appendix 1.

(f) Rough Conduct

Rough Conduct is interpreted widely and may be any contact which is unreasonable in the circumstances.

It is a Reportable Offence for a Person to intentionally or carelessly engage in Rough Conduct against another Person which is unreasonable in the circumstances. Without limiting the wide interpretation of Rough Conduct, regard will be had to the following recognised forms of Rough Conduct:

(i) Rough Conduct (High Bumps)

A Person will be guilty of Rough Conduct where in the bumping of another Person (whether reasonably or unreasonably) the Person causes contact that is at least Low Impact to be made with any part of their body to that Person's head, top of shoulders or neck. Unless Intentional, such conduct will be deemed to be Careless, except where:

- (1) the Person was contesting the ball and it was reasonable for the Person to contest the ball in that way; or
- (2) the contact to the other Person's head, top of shoulders or neck was caused by circumstances outside the control of the Person which could not be reasonably foreseen,

in which case such conduct will not constitute a Reportable Offence.

Any high bump which constitutes Rough Conduct that has the potential to cause injury will usually be graded at a minimum as Medium Impact, even though the extent of the actual physical impact may be low.

The purpose of Clause 8(f)(i) of this Appendix 1 is to, as far as practicable, minimise the risk of head injuries to Persons and this purpose must be front of mind for all Persons and will guide the application of the Clause.

For the purposes of this Policy Handbook, head clashes that occur when a Person has elected to bump are circumstances that can reasonably be foreseen. Players will ordinarily be liable if they elect to bump if not contesting the ball.

(ii) Rough Conduct (Bumps to the Body)

- (A) If Clause 8(f)(i) does not apply (for example, in the case of a bump to the body), a Person may still be guilty of Rough Conduct if the Person's conduct was unreasonable in the circumstances. In determining whether a bump was unreasonable in the circumstances the following factors will be considered (without limitation):
 - (1) whether the degree of force applied by the Person bumping was excessive for the circumstances;
 - (2) whether the Person being bumped was in a vulnerable position; and
 - (3) whether the Person could reasonably expect the contact having regard to the Person's involvement in play or ability to influence the contest.
- (B) Where a Person is charged with Rough Conduct for a bump to the body, the Contact may be graded as High/Groin/Chest where a Player is charged, and the bump involves contact to the chest (female only).

(iii) Rough Conduct (Dangerous Tackles)

The application of a tackle may be considered Rough Conduct where the tackle is unreasonable in the circumstances. In determining whether the application of a tackle constitutes a Reportable Offence and whether the tackle is Careless or Intentional, the following factors will be considered (without limitation):

- (A) whether the tackle consists of more than one action, regardless of whether the Person being tackled is in possession of the ball;
- (B) whether the tackle is of an inherently dangerous kind, such as a spear tackle or a tackle where a Player is lifted off the ground;
- (C) whether the Person being tackled is in a vulnerable position (for example, arm(s) pinned) with little opportunity to protect themself;
- (D) whether the Person being tackled is slung, driven or rotated into the ground with excessive force (for example, a run down tackle where the tackled player is driven into the ground with excessive force or where the tackle consists of a player dropping their knees and using their full body weight to bring the tackled player to the ground with excessive force).

(iv) Rough Conduct (Contact Below the Knees)

Under the Laws of the Game, making contact with an opponent below the knees is prohibited. A Person who keeps their feet is vulnerable to serious injury from other Persons who lunge, dive or slide toward them and make contact below the knees. This Clause 8(f)(iv) aims to protect such Persons from the risk of foreseeable injury. A Person may be guilty of Rough Conduct if the Person makes contact below the knees of another Person and does so in a manner which is unreasonable in the circumstances. It is not a defence that the Person who made contact below the knees was contesting the ball or was first to the ball. The primary responsibility of Persons with respect to contact below the knees is to avoid the risk of foreseeable injury. In determining whether contact below the knees is unreasonable in the circumstances, the following factors will be considered:

- (A) the degree of momentum and/or force involved in the contact;
- (B) whether the Person causes contact below the knees by sliding with their foot, feet, knee or knees in front of them;
- (C) whether the other Person was in a position that was vulnerable to contact below the knees (for example, standing over the ball or approaching from the opposite direction); and
- (D) whether the Person making contact had any realistic alternative ways of approaching the contest or situation.

Where contact is not made below the knees of the other Person but to another part of that Person's body, a Person may still be guilty under the general definition of Rough Conduct for making unreasonable contact by sliding or dropping into another Person with their knees or feet first.

(v) Rough Conduct (Smothers)

Where a Player elects to leave the ground in an attempt to smother the football, any reasonably foreseeable high contact with an opponent that is at least Low Impact will be deemed to be Careless at a minimum, unless the Player has taken all reasonable steps to avoid that high contact and/or minimise the force of that high contact (for example, by adopting a body position that minimises the force of the high contact).

(g) Contact with an Umpire

(i) Intentional Contact with an Umpire

(A) In considering whether contact with an umpire is intentional, regard may be had to whether the contact is aggressive, forceful, demonstrative and/or disrespectful. Where contact with an umpire is deemed intentional, the Person will be referred directly to the Tribunal. In determining the sanction for Intentional Contact with an Umpire, the Tribunal must have regard to the number of elements of the offence (aggressive, forceful, demonstrative and/or disrespectful) which are established.

- (B) A Player may also be charged with the offence of Intentional Contact with an Umpire by pushing or holding an opponent into an Umpire or their direct path.
- (C) Where contact with an umpire is forceful but only incidental (i.e. it is not otherwise aggressive, demonstrative or disrespectful), the Controlling Body has the discretion to not charge the Player with Intentional Contact with an Umpire (resulting in a direct referral to the Tribunal) and instead charge the Player with Careless Contact with an Umpire (but provided such Contact is not otherwise disrespectful, demonstrative or aggressive).

(ii) Unreasonable or Unnecessary Contact with an Umpire

Where contact with an Umpire is not aggressive, forceful, demonstrative or disrespectful but could otherwise be regarded as intentional, it will be classified as Unreasonable or Unnecessary Contact with an Umpire which is a Low-level Offence.

(iii) Careless Contact with an Umpire

A charge of Careless Contact with an Umpire is a Low-level Offence. In determining whether the contact was careless, the following factors will be considered:

- (A) whether contact occurs at a centre bounce or ball up;
- (B) whether the Person has set up behind the Umpire;
- (C) whether the Person has taken a path that intersects the Umpire's exit line from a stoppage;
- (D) the force of the contact;
- (E) whether the Umpire's decision making is impeded;
- (F) whether the Umpire goes to ground as a result of the contact;
- (G) any mitigating factors (for example, effort to avoid contact, offline bounce or throw, pushed by opponent into Umpire's path).

A Player may also be charged with the offence of Careless Contact with an Umpire by pushing or holding another Person into an Umpire or their direct path.

(iv) For the avoidance of doubt, nothing in this Clause 8(g) intends to prevent a Tribunal from substituting a charge under Section 25.4(b).

(h) Umpire abuse

(i) Using Abusive, Insulting or Obscene Language Towards or in relation to an Umpire will be an Auditory Offence unless the Controlling Body determines that the conduct constitutes the Direct Tribunal Offence of Behaving in an Abusive, Insulting, Threatening or Obscene Manner Towards or in Relation to an Umpire.

(ii) Where a Person is reported for an Auditory Offence that is directed at, or in relation to, an Umpire, and responds with further abuse that is directed at, or in relation to, an Umpire, the Controlling Body or Tribunal, may increase the penalty for the Auditory Offence by one (1) additional match.

(i) Using Abusive, Insulting or Obscene Language

Using Abusive, Insulting or Obscene Language will be an Auditory Offence unless the Controlling Body determines that the conduct constitutes the Direct Tribunal Offence of Serious Misconduct.

(j) Melee

(i) Engaging in a Melee/Wrestle

A Melee/Wrestle is defined as an incident involving Persons who are grappling or otherwise struggling with one another, and which is likely to bring the game of Australian Football into disrepute or prejudice the interests or reputation of the Controlling Body. In determining if a Person's conduct constitutes Engaging in a Melee/Wrestle, the following factors will be considered:

- (A) the duration the Person is involved in the Melee/Wrestle;
- (B) the vigour applied by the Person;
- (C) whether the Melee/Wrestle occurs at a break in play, particularly at guarter breaks and half time;
- (D) whether the Person contributed to an escalation of the Melee/Wrestle; and
- (E) the role of the Person (for example, Player or Football Official).

(ii) Instigator of a Melee/Wrestle

Where a Person's conduct results in retaliatory action which leads to a Melee/Wrestle, that Person's conduct may constitute a Reportable Offence of Instigator of a Melee/Wrestle. The Reportable Offence of Instigator of Melee/Wrestle is separate to the Reportable Offence of Engaging in a Melee/Wrestle and a Person may be found guilty of both Reportable Offences.

(k) Staging

Staging includes excessive exaggeration of contact in an unsportsmanlike manner. In determining whether a Person's conduct constitutes the Reportable Offence of Staging, the following factors will be considered:

- (i) whether the conduct affected, or was likely to affect, the Umpire decisionmaking;
- (ii) whether the conduct incited a melee; and/or
- (iii) whether the conduct was in the spirit of the game.

(I) Tripping

- (i) Tripping is interpreted in accordance with its ordinary meaning. In determining whether a Person's conduct constitutes the Reportable Offence of Tripping, to the following factors will be considered:
 - (A) how fast the opponent was moving;
 - (B) whether the trip was by hand or by foot/leg; and
 - (C) whether contact was made with a swinging motion.
- (ii) To constitute a Classifiable Offence, Tripping requires more than negligible impact. Where a trip does not have more than negligible impact, it is still open to the Controlling Body or Tribunal to charge a Person with Tripping under Table 5 where it is satisfied that notwithstanding the result, a Reportable Offence was committed. Where no contact or minor contact is made, the Panel can charge a Person with an Attempt to Trip, which is a Low-level Offence.

(m) Charging

- (i) Charging is as defined in the Laws of the Game as the act of a Player colliding with an opposition Player where the amount of physical force used is unreasonable or unnecessary in the circumstances, irrespective of whether the Player is or is not in possession of the football or whether the Player is within five metres of the football.
- (ii) In determining whether a Player's conduct constitutes the Reportable Offence of Charging, including whether the amount of physical force used was unnecessary or unreasonable in the circumstances, the following factors will be considered (without limitation):
 - (A) whether the opposition Player being charged was in a vulnerable position;
 - (B) whether the opposition Player being charged could reasonably expect the contact having regard to the Player's involvement in play or ability to influence the contest;
 - (C) the degree of momentum and/or force involved in the contact.

APPENDIX 2 – Example Reportable Offences

The following incidents are examples of Reportable Offences which were processed in accordance with these Guidelines. Vision of these incidents is available on request to the AFL.

Striking	Example 1 - Lachlan Cowan on Alex Neal-Bullen (Round 9, 2024)
3	Careless Conduct, Low Impact, Body Contact
	Example 2 - Rhyan Mansell on Jake Kolodjashnij (Round 12, 2024) Careless Conduct, Low Impact, High Contact
	Example 3 - Kallan Dawson on Jack Crisp (Round 14, 2024) Careless Conduct, Medium Impact, High Contact
	Example 4 - Eloise Jones on Mia King (Finals Week 3, 2023) Intentional Conduct, Low Impact, Body Contact
	Example 5 - Mason Redman on Jai Newcombe (Round 1, 2024) Intentional Conduct, Low Impact, High Contact
Kneeing	Example 6 - Jordon Sweet on Lloyd Meek (Finals Week 2, 2024) Careless Conduct, Low Impact, Body Contact
Rough Conduct (High Bumps)	Example 7 - Liam Baker on Lewis Melican (Round 3, 2024) Careless Conduct, Medium Impact, High Contact
	Example 8 - Tarni White on Madelane Collier (Round 1, 2024) Careless Conduct, Severe Impact, High Contact
	EXCEPTION: CONTESTING THE BALL Example 9 - Ollie Wines on Christian Petracca (Round 10, 2023)
	EXCEPTION: CIRCUMSTANCES OUTSIDE CONTROL Example 10 - Marcus Bontempelli on Jed Bews (Round 24, 2023)
Rough Conduct (Dangerous Tackles)	Example 11 - Katie Lynch on Jacqueline Parry (Round 1, 2023) Careless Conduct, Low Impact, High Contact
	Example 12 - Niamh McLaughlin on Sophie Conway (Round 7, 2024) Careless Conduct, Medium Impact, High Contact
	Example 13 - Harley Reid on Darcy Wilson (Round 12, 2024) Careless Conduct, High Impact, High Contact
	Example 14 - Dee Heslop on Rhiannon Watt (Round 10, 2023) Careless Conduct, Severe Impact, High Contact
Rough Conduct (Contact Below the Knees)	Example 15 - Sabrina Frederick on Bethany Lynch (Round 6, 2024) Careless Conduct, Low Impact, Body Contact
Forceful Front-On Contact	Example 16 - Giselle Davies on Katie Lynch (Round 8, 2024) Careless Conduct, Low Impact, High Contact
	Example 17 - Matt Crouch on Jack Carroll (Round 5, 2024) Careless Conduct, Medium Impact, High Contact
	Example 18 - Alex Davies on Lachlan Jones (Round 18, 2024)

	Careless Conduct, Severe Impact, High Contact
	EXCEPTION: CONTESTING THE BALL
	Example 19 - Bailey Humphrey on Keidean Coleman (Round 20, 2023)
Tripping	Example 20 - Brandan Parfitt on Jordan Dawson (Round 2, 2024)
	Intentional Conduct, Low Impact, Body Contact
Headbutt or Contact Using the	Example 21 - James Harmes on Steven May (Round 1, 2024)
Head	Intentional Conduct, Low Impact, High Contact

LOW-LEVEL OFFENCES

Careless Contact with an	Example 22 - Charlie Rowbottom & Madison Prespakis (Round 6, 2024)
Umpire	Example 23 - Anthony Caminiti (Round 20, 2024)
Engaging in a Melee or Wrestle	Example 24 - Kate Hore & Ellie McKenzie (Round 8, 2024)
Staging	Example 25 - Dakota Davidson (Round 5, 2024)
Tripping	Example 26 - Michael Walters on Brandon Starcevich (Round 1, 2024)
Other Misconduct	Example 27 - Bailey Banfield on Zac Guthrie (Round 22, 2024)
Attempting to Trip	Example 28 - Lachlan Schultz on Justin McInerney (Round 1, 2024)

APPENDIX 3 – Serious Criminal Offences

The following offences are considered Serious Criminal Offences for the purposes of this Policy Handbook:

- (a) offences relating to assault and/or violence in relation to a child, whether physical, sexual and/or emotional;
- (b) offences relating to violence or of a violent nature;
- (c) offences relating to culpable and/or dangerous driving that can attract a detention or custodial sentence;
- (d) offences relating to drug trafficking, abuse or supply;
- (e) offences relating to the exploitation of children;
- (f) offences relating to theft, felony and/or related offence of property or person;
- (g) offences relating to fraud and/or any activity related to fraudulent behaviour;
- (h) offences relating to embezzlement or any impropriety relating to monies or property;
- (i) any other offence that the AFL deems, having regard to all the circumstances, to be a serious criminal offence.

APPENDIX 4 – Non-exhaustive list of examples of disabilities

To provide practical guidance to administrators, this Appendix is a non-exhaustive list of disabilities which may give rise to grounds for granting age dispensation to a Player.

- 1) **Physical disabilities:** This may include physical issues that may be present since birth (e.g. cystic fibrosis, shortened or absent limbs), developmental conditions (e.g. cerebral palsy, muscular dystrophy) or those acquired through injury or trauma. (e.g. acquired brain injury, wheelchair reliance).
- 2) **Intellectual or neurodevelopmental disabilities:** The Player's intellectual or neurodevelopmental disability impairs learning and memory, language, behavioural, social, cognitive and emotional functioning to the extent that they face significant impairment in their ability to train for, and play, football.
- Sensory disabilities: such as deafness, blindness or vision impairment in which the Player's visual or auditory impairment results in impaired ability to train for, and play, football.
- 4) Psychosocial disability: where the presence of a psychiatric disability or mental disorder, as diagnosed by a mental health professional (classified under the ICD-11 or DSM-5-TR), impairs the Player's cognition, social, emotional, or behavioural functioning to the extent that they face significant impairment in their ability to train for, and play, football

APPENDIX 5 – Categories of Protective Equipment

1. Category 1 Protective Equipment

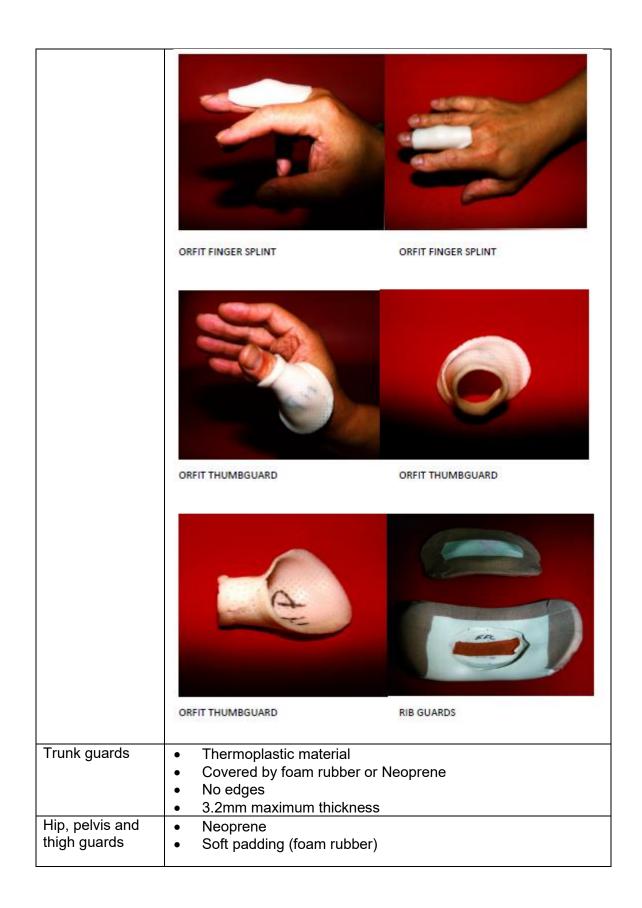
Category 1 Protective Equipment includes:

Protective Equipment	Guidance notes
Mouthguard	
Thigh padded shorts	Example – rhino shorts
Shin guard	A shin guard is defined as a guard that protects the shin, is below the standard sock and not designed for any other function
Ankle brace	
Simple Neoprene only devices	No straps or exposed plastic or metal
Breast protection	Example – Boob Armour, Zena Z1
Helmets	Soft padded or foam helmets only
Spectacles	Must meet requirements as outlined in Section 16.6

2. Category 2 Protective Equipment

Category 2 Protective Equipment includes:

Protective Equipment	Guidance notes	
Shoulder guards	Thermoplastic AC jointNo edges exposed	
Arm guards	 Thermoplastic material with exterior padding > 3mm Surgical foam or Neoprene No edges or straps exposed Minimum thickness as clinically indicated All appliances to be neoprene covered 	
Hand and finger guards	All appliances to be neoprene covered Thermoplastic material Not beyond end of the finger but acceptable if contoured around distal end of finger and this is clinically indicated Covered by tape No exposed edges and material thickness as indicated below: Finger = 1.6mm thickness Thumb = 3mm thickness Metacarpal = 3mm thickness Refer to images below:	



3. Category 3 Protective Equipment

Category 3 Protective Equipment includes any protective equipment other than Category 1 Protective Equipment and Category 2 Protective Equipment. Category 3 Protective Equipment may include:

- (a) knee braces (other than simple Neoprene only devices);
- (b) helmets (except soft padded or foam helmets);
- (c) gloves;
- (d) shoulder pads;
- (e) back supports;
- (f) arm guards;
- (g) prosthetics; and
- (h) face masks.

4. Materials approved for use

The following materials are approved materials for Protective Equipment:

Material	Guidance notes
Neoprene	
Thermoplastic	 Preference low temperature thermoplastic: Aquaplast ORFIT Braceform Polyflex II Orthoplast Maximum thickness is 3.2mm Together with padding as specified in this Appendix Note: The AFL's preference is products made from thermoplastic.
Foam / rubber padding	LeukofoamNeoprene

[Guidance note: Brand references included in this Appendix 5 are for guidance only and should not to be construed as AFL endorsement of such brands.]

APPENDIX 6 – Children & Young People Safe Practices

1. Professional boundaries

- (a) When working with Children and Young People, a Person in a Position of Authority must establish and maintain professional boundaries (both in-person and online) to ensure that the nature of the relationship between the Person and a Child or Young Person does not move from a professional one to a personal one and/or become harmful to, or exploitative of, the Child or Young Person and/or their family.
- (b) Unless they are also an Approved Person, a Person in a Position of Authority when working with Children and Young People, must not:
 - (i) provide any form of support to a child or their family unrelated to the scope of their role (e.g., financial assistance, babysitting, provide accommodation);
 - (ii) use a personal phone, camera, or video camera to take images or video footage of Children and Young People unless prior written authorisation from the Relevant Body is provided;
 - (iii) exhibit any type of favouritism towards a Child or Young Person;
 - (iv) transport Children and Young People other than in accordance with Clause 9 of the Child and Young Person Safe Practices in Appendix 6;
 - (v) give gifts/presents to Children and Young People other than the provision of official awards;
 - (vi) have one on one contact with a Child or Young Person outside of an Activity (includes direct contact such as in-person as well as indirect, such as by phone, or online); or
 - (vii) attend any private social function at the request of a Child or Young Person or their family.
- (c) If a Person becomes aware of a situation in which a Child or Young Person requires assistance that is beyond the scope of that Person's role, they must undertake, at the earliest opportunity, any or all of the following as is considered applicable to the situation:
 - (i) refer the matter to an appropriate support agency;
 - (ii) refer the Child or Young Person to an appropriate support agency;
 - (iii) contact the Child or Young Person's parent or guardian;
 - (iv) seek advice from a Relevant Body.

2. Harmful behaviour and language

- (a) Behaviour, language and tone of voice used by a Person in the presence of Children and Young People must:
 - (i) be respectful, provide clear direction, encourage or affirm them; and

- (ii) not be harmful to Children and Young People.
- (b) A Person must not use language or behaviour towards or in the presence of Children and Young People that is:
 - (i) inappropriate, abusive, derogatory, offensive, insulting, belittling or intended to humiliate:
 - (ii) culturally inappropriate, discriminatory or vilifying;
 - (iii) threatening or unreasonably or unnecessarily frightening; or
 - (iv) profane or of a sexual nature.
- (c) A Person must not:
 - (i) make inappropriate and/or intimate physical contact with a Child and Young Person which is sexual in nature or causes them to feel uncomfortable, or feel pain or distress;
 - (ii) engage in any form of sexual behaviour with or in the presence of Children or Young People;
 - (iii) engage in behaviour toward or in the presence of Children or Young People that would appear to a reasonable observer to have a sexual connotation; or
 - (iv) make sexual comments to a Child and Young Person or engaging in open discussions of a sexual or adult nature with (either on person or online), or in the presence a Child or Young Person; or
 - (v) use a computer, mobile phone, video camera, camera or Social Media to exploit or harass a Child or Young Person, or access child exploitation material.
- (d) A Person in a Position of Authority, must not:
 - (i) do things of a personal nature for a Child or Young Person that the Child or Young Person can do themselves;
 - (ii) force a Child or Young Person to train or compete when ill or injured;
 - (iii) employ training methods including physical punishment or overtraining which may cause harm to a Child or Young Person;
 - (iv) engage in conduct (either in-person or online) that places excessive or unnecessary emphasis on appearance, weight requirements or muscularity of a Child or Young Person, including practices such as:
 - (A) encouraging or enforcing excessive dieting or restrictive eating;
 - (B) excessive weigh-ins or focus on weight goals, body composition testing that is a sport requirement that carries punishment for the outcome (for example repeated bouts of exercises as punishment for weight gain); or

(C) unsafe practices that could put health at risk to reach weight or appearance requirements without adequate medical support (for example dehydration or restrictive eating).

3. Physical contact with Children and Young People

- (a) Any physical contact with a Child or Young Person must be necessary and appropriate to the delivery of an Activity and based on the needs of the Child or Young Person (including adjustments based on any additional needs due to impairment or disability) such as:
 - (i) assisting with the use of equipment or technique assistance or correction;
 - (ii) treatment by a health practitioner; or
 - (iii) administering first aid or strapping as necessary.
- (b) A Person must not have contact with Children and Young People participating in Activities that:
 - (i) involves touching of genitals, buttocks, or the breast area other than as part of delivering necessary medical or allied health services to those specific areas of the body;
 - (ii) is intended to cause pain or distress to a Child or Young Person (e.g., corporal punishment);
 - (iii) is improper or unnecessary physical contact not reasonably related to the relevant Activity (e.g. tickling or roughhousing);
 - (iv) is unnecessary, including assisting a Child or Young Person do something that they are able to do for themselves; or
 - (v) is initiated against the wishes of the Child or Young Person, except if such contact may be necessary to prevent serious physical harm to the Child or Young Person or to others, in which case:
 - (A) physical restraint must be a last resort;
 - (B) the level of force used must be appropriate to the specific circumstances, and aimed solely at restraining the Child and Young Person to prevent serious physical harm to them or others; and
 - (C) the incident must be reported to Relevant Body as soon as possible.
- (c) A Person must report to the Relevant Body any physical contact initiated by a Child and Young Person that is sexualised and/or inappropriate as soon as possible to enable the situation to be managed in the interests of the safety of the Child and Young Person, Relevant Persons, and any other participants.

4. Positive guidance & discipline

(a) When working with Children and Young People, a Person must use strategies that are fair, respectful, and appropriate to the developmental stage of each Child and Young Person to ensure:

- (i) the acceptable standards and limits of behaviour are communicated;
- (ii) an effective and positive environment; and
- (iii) the safety and/or wellbeing of Children and Young People.
- (b) Children and Young People must be provided with clear directions and given an opportunity to redirect their behaviour in a positive manner.
- (c) A Person must not take disciplinary action toward a Child or Young Person that involves physical punishment or any form of treatment (including verbal conduct) that could reasonably be considered as degrading, cruel, frightening or humiliating.

5. Supervision

- (a) Relevant Bodies must ensure that Children and Young People participating in Activities are adequately supervised.
- (b) Supervision must be constant, active, and diligent, prioritising the safety and wellbeing of Children and Young People, and where possible a Person must be able to observe each Child and Young Person.
- (c) Where direct supervision is not possible, a Person must know the location of each Child and Young Person and ensure that they can respond to individual needs and immediately intervene if necessary.

6. Use of electronic or online communications

- (a) Unless they are also an Approved Person (in respect to the relevant Child and Young Person), a Person in a Position of Authority must not communicate directly (one to one) with a Child and Young Person either electronically or online (including phone calls) without the inclusion of an Adult representative from the Controlling Body or Club, as applicable, and/or the Child and Young Person's parent or guardian.
- (b) When communicating with Children and Young People, a Person in a Position of Authority must ensure content is:
 - (i) directly associated with an Activity;
 - (ii) concise with personal or social content limited only to convey the message in a polite and friendly manner;
 - (iii) devoid of any sexualised or offensive language; and
 - (iv) not promoting unauthorised social activity or contact.

7. Photographs or video of Children and Young People

- (a) An Approved Person may photograph or film their Child and Young Person when participating in our sport.
- (b) A Person must not take or publish inappropriate photos or footage of a Child or Young Person.

- (c) When arranging official photography/videography of Children and Young People involved in an Activity, a Person or Controlling Body, as applicable, must:
 - (i) obtain prior written consent from the Child and Young Person's parent or guardian; [Guidance note: Where appropriate and possible, consent should also be sought from the Child and Young Person. Written approval could include electronic messaging formats such as email or SMS];
 - (ii) give due consideration to Children and Young People who are protected by a court order;
 - (iii) appoint a photographer/videographer who holds a current WWCC;
 - (iv) ensure the photographer/videographer is supervised at all times;
 - (v) ensure the context is directly related to participation in our sport;
 - (vi) ensure the Child and Young Person is appropriately dressed and posed; and
 - (vii) not distribute images or videos (including as an attachment to an email) to anyone outside the Relevant Organisation without parent/carer knowledge and approval.
- (d) A Person or Controlling Body, as applicable, must store images (digital or hard copy) in a manner that prevents unauthorised access by others and must be destroyed or deleted as soon as they are no longer required.
- (e) A Person or Controlling Body, as applicable, must not publish images or footage of a Child and Young Person or identify the Child and Young Person pictured, whether online or in print, without written consent from the Child and Young Person's parent or guardian. Where appropriate and possible, consent should also be sought from the Child and Young Person.
- (f) A Controlling Body must ensure any person officially engaged to take photographs of an Activity (such as an employee or event photographer) complies with the requirements of this Clause.

8. Drop off and pick up of Children and Young People

A Person, when working with Children and Young People, must:

- (a) communicate to parents / guardians the expected drop off and pick up requirements for Children and young People participating in an Activity;
- (b) have an accessible register of parent and carer emergency contact numbers and an operational phone; and
- (c) ensure that if a parent or carer is late, they make reasonable attempts to contact them. It is not the responsibility of the Person in a Position of Authority to transport Children and Young People home if their parent or carer is late for pick up.

9. Transporting Children and Young People

(a) Children and Young People must only be transported in circumstances that are directly related to an Activity.

- (b) A Person in a Position of Authority:
 - (i) other than in an emergency, or unless they are an Approved Person, must not transport Children and Young People without prior written approval from their parent or guardian;
 - (ii) must obtain approval from the Relevant Body to transport any Children and Young People;
 - (iii) must drive responsibly, not be impaired by alcohol or any other mind-altering substances, have an unrestricted drivers' licence and to the extent practicable, not be alone in the car with a Child and Young Person;
 - (iv) must only transport Children and Young People in a roadworthy vehicle where the manufacturer stated capacity is adhered to and seatbelts and child restraints are fitted as required.

10. Overnight stays and sleeping arrangements

- (a) Overnight stays involving Children and Young People must be approved and managed by the Relevant Body, other than stays with an Approved Person.
- (b) Written parent or guardian consent (includes electronic messaging formats such as email or SMS) must be obtained prior to the overnight stay. Where appropriate and possible, consent should also be sought from the Child and Young Person.
- (c) Practices and behaviour by Persons involved during an overnight stay must be consistent with the practices and behaviour expected during delivery of any Activity at all other times.
- (d) Standards of conduct that must be observed by Persons involved during an overnight stay include:
 - (i) Children and Young People must be provided with privacy when bathing, toileting, and dressing;
 - (ii) appropriate dress standards must be observed when Children and Young People are present such as no exposure to nudity;
 - (iii) Children and Young People must not be exposed to pornographic material, for example, through movies, television, the internet, or magazines;
 - (iv) Children and Young People must not be left under the supervision of unauthorised persons such as accommodation staff, or peers;
 - (v) sleeping arrangements must not compromise the safety of Children and Young People, including:
 - (A) an Adult must not sleep alone in the same room as Children and Young People unless they are the parent or have parental responsibility for those Children and Young People; and
 - (B) Children and Young People must not share a bed with an Adult or another Child and Young Person.

- (vi) Children and Young People must have the right to contact their parents, or an Approved Person, if they feel unsafe, uncomfortable, or distressed during the stay.
- (vii) Parents/guardians must be permitted to contact their Child and Young Person if required.

11. Change room arrangements

- (a) Children and Young People must be supervised in any change room that is in official use by a Controlling Body or Club (as applicable), whilst ensuring their right to privacy.
- (b) A Person in a Position of Authority must:
 - (i) not shower or change at the same time as supervising groups of Children and Young People;
 - (ii) not be alone with a Child and Young Person in a change room; and
 - (iii) ensure adequate supervision in public change rooms when they are used, providing the level of supervision required for preventing abuse by members of the public, Adult users, or general misbehaviour, while also respecting a Child and Young Person's privacy.
- (c) A Person must not use any camera or other recording device in a change room where that space is being used for changing clothes, showering, toileting, medical or personal care.
- (d) For the purposes of this section, recording device means any device capable of capturing images (still or moving), including but not limited to mobile phones, smartwatches, cameras, tablets, computers, and camera glasses.

12. Alcohol, drugs and medicine

A Person in a Position of Authority must not:

- (a) use, possess or be under the influence of an illicit drug in the presence of a Child and Young Person;
- (b) use or be under the influence of alcohol while supervising a Child and Young Person during an Activity;
- (c) be impaired by any other legal drug such as prescription or over-the-counter drugs while in the presence of a Child and Young Person or Children and Young People;
- (d) supply alcohol or drugs (including tobacco) to any Child and Young Person or Children and Young People; or
- (e) supply or administer medicines, except for:
 - (i) where the Person reasonably believes that the medicine is necessary for lifesaving medical treatment;
 - (ii) when permitted by law; or

(iii) with the consent of the parent, guardian, or carer of the Child and Young Person and under a valid prescription for that Child and Young Person and at the prescribed dosage.

13. Parent/Guardian involvement

Persons, Controlling Bodies and Clubs, as applicable, must:

- (a) ensure that a parent/guardian is involved in any significant decision, including the signing of any documentation in relation to their Child's involvement in an Activity;
- (b) not prevent parents/guardians from accessing their Child and Young Person when required; and
- (c) make parents/guardians aware of the standard of behaviour required when watching their Child and Young Person during an Activity and that any inappropriate conduct may result in remedial actions under the Policy Handbook.

APPENDIX 7 – AFL forms and templates

The following is a list of AFL forms and templates which are referred to in the Policy Handbook or otherwise support the function of the Policy Handbook are set out below and can be found here on the Play AFL website: https://play.afl/clubhelp/handbook-templates

Doc no. F	Form / Template	Section	For use by
B0300 A	Application to Play in Higher Age	3.1(b)(iii)	Player / Club
	Group		
B0301 L	₋ate Transfer Request	3.3(b)(ii)	Player / Club
B0302 F	Request to Club for Transfer Refusal	3.5(b)(iii)	Controlling Body
E	Evidence		
B0401 A	Age Dispensation Application to Play	4.1(b)	Player / Club
[Down		
B0501 S	Standard Player Declaration	<u>5</u>	Player / Club
B0601 A	Application to Umpire a Higher Grade	6.2(c)(iii)	Umpire
B0701 C	Deregistration Warning Notice	7.6(a)	Controlling Body
B0702 E	Deregistration Notice – Section 7.2(a)	7.2(a)	Controlling Body
	. ,	7.6(b)(i)	
В0703 🛭 🖺	Deregistration Notice – Section 7.3(a)	7.2(a)	AFL / State Football
	. ,	7.6(b)(i)	Body
В0704 🛭 🖺	Deregistration Notice – Section 7.3(b)	7.2(a)	AFL / State Football
	. ,	7.6(b)(i)	Body
B0706 E	Deregistration Notice – Section 7.4	7.4	AFL
		7.6(b)(i)	
B0707 F	Reregistration Application Form	7.7(a)	Player / Football
			Official
E2201 N	Notice of Report	22.1(a)(iii)	Umpire
E2202 II	ncident Referral Form	22.1(b)(i)	Umpire
		22.1(b)(ii)	Club
		22.1(c)	Executive Officer
E2203 N	Notice of Charge – Low Level	22.2(a)	Controlling Body
	Offence	22.2(b)(iii)	
		<u>22.3(c)</u>	
	Notice of Charge – Classifiable	22.2(a)	Controlling Body /
	Offence	22.2(b)(iii)	MRP
		<u>22.3(c)</u>	
E2205 N	Notice of Charge – Auditory Offence	<u>22.2(a)</u>	Controlling Body /
		22.2(b)(iii)	MRP
		<u>22.3(c)</u>	
	Notice of Charge – Direct Tribunal	<u>22.2(a)</u>	Controlling Body /
F	Referral	22.2(b)(iii)	MRP
		22.3(c)	
		22.4(c)	
	Notice of Complaint	23.1(a)(i)	Person / Club
E2302 N	Notice of Complaint - Vilification	10 23.1(a)(i)	Person / Club
E2303 N	Notice of Investigation – Person	23.2(e)(i)(A)	Controlling Body
	Notice of Investigation – Person	23.2(e)(i)(A)	Controlling Body
	under 18)		
	Notice of Investigation – Club	23.2(e)(i)(A)	Controlling Body
	Witness Statement Template	N/A	Person

E2307	Notice of Breach – Early Guilty Plea Offer	23.2(c)(i)(D) 23.2(d)(iii) 23.3(b)(i)(A) 23.4(b)	Controlling Body
E2308	Notice of Breach – Early Guilty Plea Offer (under 18)	23.2(c)(i)(D) 23.2(d)(iii) 23.3(b)(i)(A) 23.4(b)	Controlling Body
E2309	Notice of Breach – Direct Tribunal Referral	23.2(c)(i)(D) 23.2(d)(iii) 23.3(b)(i)(A) 23.3(b)(ii) 23.4(b)	Controlling Body
E2501	Tribunal Advocate & Witness Information Form (attached to Notice of Charge / Notice of Breach)	25.3(b) 25.3(e)(i)	Person / Club
E2502	Notice of Tribunal Outcome	<u>25.4(a)</u>	Controlling Body / Tribunal
E2601	Notice of Appeal	<u>26.1(c)</u>	Person / Club / Controlling Body
E2602	Notice of Appeal - Transfer Refusal	3.5(d)(ii) 26.1(c)	Player / Club

APPENDIX 8 – Revision History

REVISION HISTORY	
01.04.2022	Version 1.0 of the Policy Handbook published.
03.03.2023	Version 2.0 of the Policy Handbook published.
08.03.2024	Version 3.0 of the Policy Handbook published.
29.04.2024	Version 3.1 of the Policy Handbook published
23.08.2024	Version 3.2 of the Policy Handbook published
15.11.2024	Version 4.0 of the Policy Handbook published
18.03.2025	Version 4.1 of the Policy Handbook published
28.11.2025	Version 5.0 of the Policy Handbook published

